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Mitochondrial genome instability in colorectal adenoma and adenocarcinoma

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Abstract Mitochondrial dysfunction is regarded as a hallmark of cancer progression. In the current study, we evaluated mitochondrial genome instability and copy number in colorectal cancer using Next Generation Sequencing approach and qPCR, respectively. The results revealed higher levels of heteroplasmy and depletion of the relative mtDNA copy number in colorectal adenocarcinoma. Adenocarcinoma samples also presented an increased number of mutations in nuclear genes encoding proteins which functions are related with mitochondria fusion, fission and localization. Moreover, we found a set of mitochondrial and nuclear genes, which cooperate in the same mitochondrial function simultaneously mutated in adenocarcinoma. In summary, these results support an important role for mitochondrial function and genomic instability in colorectal tumorigenesis.

Keywords Mitochondrial genome · Colorectal cancer · Heteroplasmy · Genome instability

Introduction

In worldwide cancer statistics, colorectal cancer is the third most common cancer diagnosed in men and second most common in women [1], while in Brazil, it is the fourth most common cancer in men and the third in women [2]. Colorectal cancer progress from multiple stages of adenomatous polyps, namely early adenoma, intermediate adenoma, and late adenoma, which are the precursor lesions of colorectal adenocarcinoma [3]. Fearon and Vogelstein [4] demonstrated that key genetic alterations are mutations in APC, KRAS, SMAD4/CDC4, and TP53 that correlates with each stage of adenocarcinoma progression.

Additionally, Weinberg et al. [5] showed that proliferation was inhibited when cancer cells were treated with mitochondria-targeted antioxidants, demonstrating that mitochondrial ROS is required to stimulate cellular proliferation. The oxidative phosphorylation system (OXPHOS) is the main source of mitochondrial ROS [6]. Although it was previously known that OXPHOS is downregulated in cancer cells [7, 8], some studies have suggested that OXPHOS is partially functional and required for carcinogenesis [9, 10]. OXPHOS is composed by four protein complexes embedded in the mitochondrial inner membrane, NADH dehydrogenase, succinate dehydrogenase, cytochrome c oxidoreductase, and cytochrome c oxidase [11]. All mitochondrial protein complexes, except for complex II, are constituted by proteins encoded in both mitochondrial and nuclear genome. The mitochondrial genome (mtDNA) is a circular molecule with 16,569 pair bases (pb) that contains 37

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genes: 22 transfer RNAs (tRNA), two ribosomal RNAs (rRNA), and 13 protein coding genes encoding members of the OXPHOS [12].

Mitochondrial genetic alterations can lead to OXPHOS dysfunction and play an important role in carcinogenesis [13]. In a study using cybrids (hybrid cytoplasm), Ishikawa and Hayashi [14] showed that low metastatic cells containing mitochondria derived from a high metastatic cell line displayed a high metastatic phenotype. [15], also using cybrids, showed that non-cancerous mitochondria inhibited oncogenic pathways, suggesting a mitochondrial-nucleus crosstalk in oncogenic regulation. Moreover, it was recently proposed that the complete lack of mtDNA is not beneficial for tumor growth and that some level of mitochondrial genomic instability can contribute to tumorigenesis [10].

Many studies have analyzed the mtDNA in colorectal cancer and demonstrated an increased instability in colorectal cancer using microsatellites and mtDNA copy number [16–19]. Here, we used next generation sequencing to analyze mitochondrial genome instability and genetic alterations in nuclear genes related with mitochondrial functions, and qPCR to evaluate mtDNA genome copy number. We observed higher levels of heteroplasmy, which is defined by the coexistence of different mtDNA in the same cell or tissue [20], and depletion of the relative mtDNA copy number in colorectal adenocarcinoma. More importantly, we found a set of mitochondrial and nuclear genes, involved in the same functions, simultaneously mutated in adenocarcinoma samples. Altogether, these data indicate that mitochondrial function and genomic instability have a fundamental role for colorectal cancer progression.

Materials and methods

Tissue sample collections and ethics statement

In this study, we analyzed nine patients (five men and four women) with clinical diagnosis of colorectal cancer submitted to surgical treatment at the Clinical Hospital of the Medical School of Ribeirao Preto of the University of São Paulo. From each patient, we collected normal tissue, adenoma, adenocarcinoma, and blood samples. The majority of patients presented age varying from 66 to 88 years old, except for one patient who was 35 years old at the diagnostic. According to histological criteria, one sample was classified as stage T1, one sample as T2, and six samples as T3, with tumors in ascending colon, sigmoid colon, and rectum (Table 1). All procedures were performed after approval of the Internal Human Ethics Committee (2374/2008 and 72902/2012) of the Clinical Hospital of the Medical School of Ribeirão Preto. Informed consent was obtained from all individual participants included in the study.

Adenoma and adenocarcinoma samples were collected from the same colonic topographic region (rectum, ascending, and sigmoid portions). Samples within the surgical safety border (+5 cm) were used as normal tissue. Histopathological examination confirmed that the adjacent tissues did not contain cancer cells. Peripheral blood was also collected from each patient. Tissue samples were stored in liquid nitrogen until analysis. DNA was extracted from tissue samples with TRIZOL® (Life Technologies, US) and from blood samples with the Super Quik-Gene-Rapid DNA Isolation kit (Promega). Both techniques were performed according to manufacturers' guideline.

Inclusion criteria were as follows: all patients included should have both tissues, colorectal polyp (adenoma) and adenocarcinoma, confirmed by histopathological diagnosis. Exclusion criteria were as follows: patients diagnosed with Lynch syndrome, inflammatory bowel disease, or familial adenomatous polyposis (FAP), and patients that were previously submitted to chemotherapy and radiotherapy.

mtDNA sequencing

Ion Personal Genome Machine (PGM) was used for sequencing the mtDNA. Thus, three pairs of primers were designed for overlapped DNA regions covering the whole mitochondrial genome (7.500, 8.500, and 4.919 bp; Table S1). To amplify such long fragments, LongRange PCR kit was applied according to manufacturers' guideline (Qiagen Inc., Germantown, MD). Thermal cycling conditions were as follows: 3 min at 93 °C, followed by 35 cycles of 15 s at 93 °C, 30 s at respective annealing temperature, an extension time of 1 min per kb at 68 °C (Table S1), and holding of 4 °C.

Then, the Wizard SV Gel and PCR Clean-Up System kit (Promega) was used to purify amplicons. Quantifications were made with a QuantiFluor™ (Promega). Integrity of amplicons was checked with a DNA 12000 kit for Bioanalyzer 2100 System (Agilent).

Shear Plus kit was applied for enzymatic fragmentation of long amplicons. Ion Xpress Plus Fragment Library and Ion Xpress Barcode Adaptors kits (Life Technologies) were applied on chopped amplicons, for adaptors and barcodes ligation.

mtDNA fragments around 200 bp were selected with E-Gel SizeSelect according to manufacturers' guidelines (Life Technologies). Agencourt AMPure XP kit (Beckman Coulter) was applied for purification of selected fragments. Libraries were then quantified with Ion Library Quantification kit (Life Technologies) in a 7500 Real-Time PCR system (Applied Biosystem).

Quantified libraries were used as template for Ion OneTouch™ 200 Template kit together with the Ion OneTouch™ System (Life Technologies). This allowed the link single fragments to the Ion Sphere™ Particles (ISPs)

Table 1 Clinical information of the patients

Patient	Gender	Age	Tumor site	Adenoma ^a	Adenocarcinoma ^b	Stage ^c
1	M	77	Sigmoid colon	T.A.L.D.	I.T.A	T1N0
2	F	88	Rectum	T.V.A.L.D.	I.T.A	T2N2
3	F	69	Rectum-sigmoid colon	T.A.L.D.	I.T.A	T3N1
4	M	87	Sigmoid colon	T.A.L.D.	I.T.A	T3N0
5	F	72	Ascending colon	T.A.M.D.	I.T.A	T3N1
6	M	66	Rectum	T.A.M.D.	I.T.A	–
7	F	35	Sigmoid colon	T.A.M.D.	I.T.A	T3N1
8	M	88	Rectum	T.A.L.D.	I.T.A	T3N2
9	M	75	Rectum-sigmoid colon	T.A.L.H.D.	I.T.A	T3N2

M male, *F* female

^a *T.A.L.D* tubular adenoma with low grade dysplasia, *T.A.M.D* tubular adenoma with moderate dysplasia, *T.A.L.H.D* tubular adenoma with low and high-grade dysplasia, *T.V.A.L.D* tubulovillous adenoma with low grade dysplasia

^b *I.T.A*. invasive tubular adenocarcinoma

^c TNM Staging System

(monoclonal sequences). Ion PGM 200 Sequencing kit (Life Technologies) and Ion 314 chip was used for sequencing.

In addition, we validated the mutations detected by Ion PGM sequencing using Sanger sequencing. First, we amplified the mtDNA fragments with primers and methods described in [21]. The sequencing was performed with BigDye Terminator v3.1 Cycle Sequencing kit in an ABI 3500XL Genetic Analyzer (Applied Biosystems).

mtDNA sequencing analysis

Ion PGM raw sequencing data were mapped against Revised Cambridge Reference Sequence (rCRS) (Andrews et al. 1999) with the TMAP aligner. Exclusion parameter for sequences were either polyclonal or Phred scores lower than 17 ($\geq Q17$). The identification and annotation of the variants were performed with the *Variant Caller for the mtDNA* plugin, specific for mtDNA variations, in the Ion Reporter Software. Geneious Basic 5.5.7 software was used to analyze the sequences obtained from the Sanger sequencing. The rate of heteroplasmy was calculated based on the ratio of the number of reads that mapped the mutated base to the number of reads that mapped the same nucleotide position. The MutPred and PolyPhen-2 softwares were used to predict the pathogenic effect of missense mutations [22, 23].

mtDNA copy number analysis

Two pairs of primers were designed using primer3 software [24, 25] (Table S2). One pair was used to amplify the mtDNA (Chr M: 9968-10150), while the other to target the nuclear DNA (Endogenous TUBB gene). Reactions with equal

amounts of total DNA, as determined by NanoDrop spectrophotometer (Thermo Scientific, US), were performed with Power SYBR Green Master Mix (Life Technologies) in a 7500 Fast Real-Time System (Applied Biosystems). It determined the relative copy numbers of mitochondrial DNA compared to the nuclear DNA, as reported previously by Venegas et al. [26].

Whole exome sequencing

Genomic DNA was quantified using Qubit fluorometer (Life Technologies). Briefly, 50 ng of DNA was used for library preparation with Nextera Exome Enrichment kit (Illumina Inc., San Diego, CA, USA). The probes in this kit were able to capture 62 Mb of the exome content. The Exome DNA library obtained was quantified using the KAPA SYBR FAST (KAPA Biosystems), in the Fast Real-Time PCR System equipment (Life Technologies). The resulting purified DNA library was applied into an Illumina flow cell for cluster generation using the TruSeq PE Cluster Generation Kit v5 (Illumina Inc. San Diego, CA, USA) and sequenced on the Illumina Genome Analyzer IIx (GAIIx), with TruSeq SBS kit v5 (Illumina Inc), paired-end, 2×76 cycle run, following manufacturer's protocols.

Reads were aligned against the genome reference hg19 using BWA (v0.7.5a) [27]. Prior to variant calling, singletons were filtered out using samtools (v0.1.19) [28], .bam files were coordinated and sorted via Picard (v1.105, <http://picard.sourceforge.net/>), and duplicates were removed via Picard. Prior to running GATK, read groups were added via Picard, and .bam file was re-ordered according to chromosome karyotype. Variants were called using the

UnifiedGenotyper of the GATK (v.2.8.1) based upon established best practices [29].

For the analysis of exome data, we selected subsets of genes involved in different mitochondrial functions, namely mitochondrial complexes assembly, mitochondrial stability, protein synthesis, membrane polarization and potential (MPP), mitochondrial transport, small molecule transport (SMT), targeting protein to mitochondria (TPTM), mitochondrion protein import (MPI), electron transportation chain (ETC), outer membrane translocation (OMT), inner membrane translocation (INT), mitochondrial fission and fusion (FF), mitochondrial localization and apoptotic genes. As some genes are involved in multiple functions, they account for different gene subsets (Table S6).

Statistical analysis

Statistical analysis was performed using the GraphPad Prism Software (v.6.0, 2014). Analysis of Variance followed by Tukey's multiple comparisons tests was applied for the heteroplasmy and mtDNA copy number analysis and differences in number of mutations, affecting mitochondrial and nuclear genes subsets. A probability of $P < 0.05$ was considered to be statistically significant.

Results

Identification and characterization of mtDNA mutations

A total of 24 mitochondrial genomes were successfully sequenced from the nine patients: eight adenoma and nine adenocarcinoma tissues, and seven blood samples. Three samples were excluded due to DNA low quality. Adenocarcinoma and blood samples from patient 1 (Table 1) were sequenced directly by the Sanger method. Other samples were sequenced by NGS in two runs: in the first run, adenocarcinoma and blood samples from patients 2 to 8 and in the second run, adenocarcinoma and blood samples from the patient 9 and adenoma samples from all patients. At the first run, we achieved an average coverage of $350\times$ per genome; however, at the second run, we obtained an average depth of coverage of $23\times$. In order to minimize errors, we only considered variants supported by at least five reads. Sequences have been deposited in GeneBank, and accession numbers can be found in supplementary material (Table S8). Tumor analysis revealed that 126 out of 224 (56.3 %) mutations occurred in protein coding genes, from which two (0.9 %) were indels, and 70 (31.3 %) and 54 (24.1 %) were synonymous and non-synonymous mutations,

respectively. The other 98 (43.8 %) mutations were found in D-loop region, tRNA and rRNA genes (Table S3).

Then, we investigated if there was any preferentially mutated gene in blood, adenoma, or adenocarcinoma samples. For that analysis, we normalized the number of mutations by the total base-pair of each gene. We did not find any difference among samples; however, MT-ND6 gene was highly mutated in all tissues when compared to other genes (Table S4) (Fig. 2a). Similar results were observed when we considered only non-synonymous mutations (Fig. 2b) (Table S5).

mtDNA somatic mutations

We found that most of mutations (75.9 %, 170/224) were germinative, i.e., they were identified in all tissues (Fig 1b and Table S3). However, we also found that 27 mutations (12.1 %) were exclusive of adenocarcinoma and 11 (4.9 %) of adenoma samples, and two (1 %) were present in both tissues. Adenocarcinoma and adenoma somatic mutations are described in Tables 2 and 3, respectively.

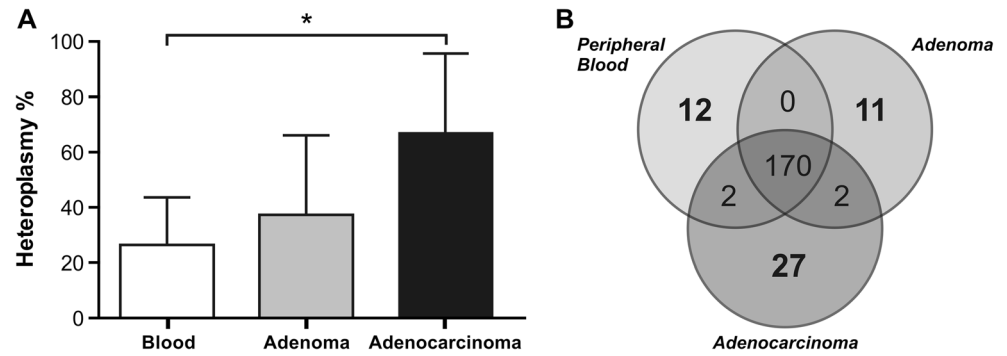
Analysis of adenocarcinoma somatic mutations revealed that 11.1 % (3/27) were found in the D-loop region, 14.8 % (4/27) in rRNA genes and 11.1 % (3/27) in the tRNA genes. Higher mutation rates were found in complex I genes, with 37.0 % (10/27), followed by complex IV, with 14.8 % (4/27), and III, with 11.1 % (3/27). Moreover in D-loop region, two mutations were found in the D310 sequence: m.309 C₅T₁ in adenocarcinoma samples and m.308 C₅T₁ found both in adenomas and adenocarcinomas. In adenomas, 27.3 % (3/11) of all the somatic mutations were found in the D-loop region and 9.1 % (1/11) in rRNA genes. Complexes I and III showed a similar incidence of mutations, 27.3 % (3/11). A lower frequency of mutation was observed in the complex V, 9.1 % (1/11).

Adenoma and adenocarcinoma samples showed the same proportion of somatic non-synonymous mutations (45.5 %, 5/11 and 44.5 %, 12/27, respectively). However, different complexes were more affected by these mutations in each tissue. Non-synonymous mutations were more frequent in complex I genes (66.7 %, 8/12) (Fig. 2c) of adenocarcinoma samples and in the complex III genes of adenoma samples (60.0 %, 3/5) (Fig. 2d).

Heteroplasmy analysis

The heteroplasmy level is the ratio of distinct mitochondrial genomes in a cell or individual, and it can be precisely calculated using next-generation sequencing [13]. In our study, we identified which mutations were in heteroplasmy (heteroplasmic sites) and calculated their levels to infer the mtDNA genomic instability rate in colorectal cancer. Heteroplasmic sites represented

Fig. 1 Heteroplasmy analysis in blood, adenoma, and adenocarcinoma samples. **a** Heteroplasmy levels were higher in colorectal adenocarcinoma when compared with blood mutations ($p=0.0329$). **b** Venn diagram showing mtDNA mutations found the samples



41.6 % (5/12), 45 % (5/11), and 37 % (10/27) of the somatic mutations identified in blood, adenoma, and adenocarcinoma samples, respectively. Although

heteroplasmic sites were less represented in adenocarcinoma, their levels were higher when compared to blood samples ($p=0.0329$) (Fig. 1a).

Table 2 Somatic mutations identified in colorectal adenocarcinoma

Gene	Nucleotide change	Amino acid change	Heteroplasmy	PolyPhen score	MutPred score
D-loop	m.308insC ₅ T ₁ ^{a,b}	–	HM	–	–
D-loop	m.309insC ₅ T ₁ ^b	–	HM	–	–
D-loop	m.499G>A ^b	–	HM	–	–
MT-RNR2	m.2065A>G	–	HM	–	–
<i>MT-RNR2</i>	<i>m.2128G>A</i>	–	18.87	–	–
<i>MT-RNR2</i>	<i>m.2571G>A</i>	–	74.59	–	–
MT-RNR2	m.2789C>T	–	65.64	–	–
MT-ND1	m.3594C>T ^b	V96V	HM	–	–
<i>MT-tRNA-Ile</i>	<i>m.4314delA</i>	–	81.43	–	–
MT-ND2	m.4831G>A ^b	G121D	HM	1	0.797
MT-ND2	m.4868A>G	W133W	83.58	–	–
<i>MT-tRNA Ala</i>	<i>m.5669G>A</i>	–	HM	–	–
<i>MT-COI</i>	<i>m.6816insC</i>	–	HM	–	–
MT-COI	m.6817T>C	F305S	HM	0.999	0.696
MT-COI	m.7256C>T ^b	N451N	HM	–	–
<i>MT-COII</i>	<i>m.7910G>A</i>	<i>E109K</i>	91.89	1	0.756
<i>MT-COIII</i>	<i>m.9545delG^a</i>	–	74.71	–	–
MT-tRNA Arg	m.10406G>A	–	44.3	–	–
<i>MT-ND4L</i>	<i>m.10570A>T</i>	<i>E34K</i>	20.63	0.131	0.825
<i>MT-ND4</i>	<i>m.11651G>A</i>	<i>V298M</i>	HM	0.85	0.48
<i>MT-ND5</i>	<i>m.12667G>A</i>	<i>D111N</i>	75.00	0.999	0.841
MT-ND5	m.12773G>A	G146D	HM	1	0.299
MT-ND5	m.13042G>A	A236T	HM	0.999	0.748
MT-ND5	m.13153A>G	I273V	92.41	0.003	0.484
MT-ND6	m.14318T>C	N119S	HM	0.013	0.344
MT-CYB	m.14971T>C	Y75Y	93.53	–	–
<i>MT-CYB</i>	<i>m.15276G>A</i>	<i>R177Q</i>	HM	1	0.833
MT-CYB	m.15843T>C ^a	M366T	HM	0.238	0.433
D-loop	m.16153G>A ^b	–	HM	–	–

The mutations in italic have not been reported in the Mitomap database [40]

^a Common mutation in colorectal adenoma and adenocarcinoma

^b Reported in other cancer studies

HM homoplasmic mutation

Table 3 Somatic mutations identified in colorectal adenomas

Gene	Nucleotide change	Amino acid change	Ploidy	PolyPhen score	MutPred score
D-loop	m.66G>T	–	52.0	–	–
D-loop	m.308insC ₅ T ₁ ^{a,b}	–	HM	–	–
D-loop	m.567insC	–	HM	–	–
MT-RNR1	m.827A>G	–	HM	–	–
MT-COIII	m.9545delG ^a	–	72.3	–	–
MT-ND2	m.4977T>C ^b	L170L	HM	–	–
MT-ATP6	m.8911T>C	L129L	4.39	–	–
MT-ND4	m.11435G>A	A676T	HM	0.999	0.743
MT-ND5	m.13597G>A	G1261A	HM	0.999	0.431
MT-CYB	m.15062T>C	S316P	8.08	0.999	0.855
MT-CYB	m.15282T>C	F536S	59.68	1	0.793
MT-CYB	m.15762G>A	G1016E	61.11	1	0.877
D-loop	m.16468T>C	–	HM	–	–

The mutations in *italic* have not been reported in the Mitomap database [40]

^a Common mutation in colorectal adenoma and adenocarcinoma

^b Reported in other cancer studies

HM homoplasmic mutation

Mutational cross-analysis of mitochondrial and nuclear genes

Additionally, we performed whole exome sequencing of normal colon, adenoma, and adenocarcinoma samples of patients 2, 3, and 4 (Table 1). Due to the small number of mutations

detected in adenoma tissues, in order to identify any nuclear gene subset preferentially mutated in adenocarcinoma samples, we considered only mutations found in adenocarcinoma and normal colon samples. We also normalized the number of mutations per gene, once the number of genes in each subset varied (Table S6). Among all analyzed categories, we found

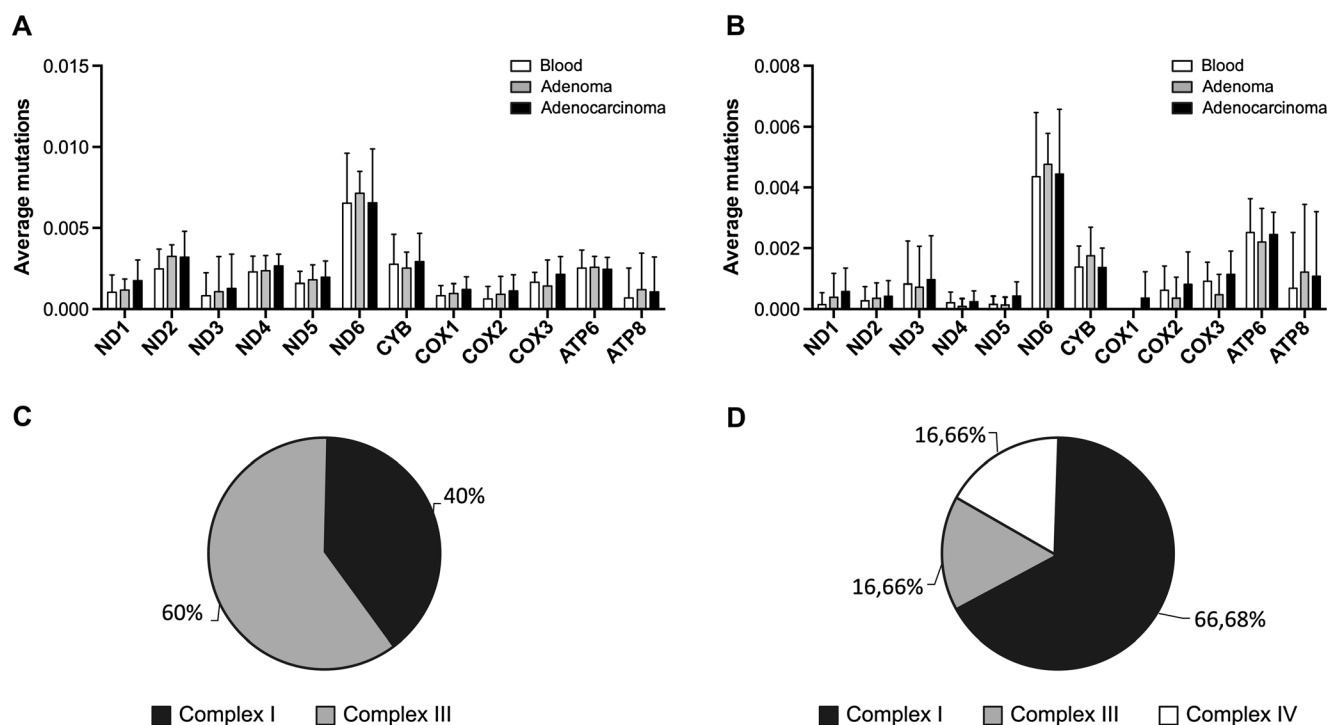


Fig. 2 mtDNA mutations frequencies per gene. **a** Total average of mutations and **b** average non-synonymous mutations per gene showed that MT-ND6 gene was highly affected in all tissues (Table S4 and S5). **c**,

d Represent the percentage of non-synonymous somatic mutations that affected the mitochondrial complexes found in adenoma samples (**c**) and adenocarcinoma samples (**d**)

that only fusion/fission ($p=0.0472$) and mitochondrial localization ($p=0.0231$) genes were more affected in adenocarcinoma than in normal colon (Fig. 3a).

Mutations identified in adenocarcinoma samples are listed in Table S7. The most affected gene in adenocarcinoma was SLC25A5 with 11 mutations, followed by POLG and COX10, both with five mutations (Table S7). We also observed that several genes involved in the same mitochondrial function were simultaneously mutated in nuclear and mitochondrial genomes, namely mitochondrial complex I genes NDUFS3, NDUFS4, NDUFS5, NDUFS6, NDUFB10, NDUF7, NDUF10, MT-ND1, MT-ND2, and MT-ND6, and genes related to mitochondrial translation TSFM, GFM1, and MT-tRNAs (red lines in Fig. 4).

Additionally, we evaluated the changes in mtDNA copy number in normal colon, adenoma, and adenocarcinoma samples. We observed a decrease of mtDNA copies in adenocarcinoma compared to normal colon ($p=0.0092$) (Fig. 3b).

Discussion

Several studies have analyzed mitochondrial instability comparing normal and colorectal tumor tissues [17–19]. However, only a few have reported mtDNA instability in different stages of colorectal cancer [18, 19]. In our study, we demonstrated higher levels of mtDNA instability, and that nuclear and mitochondrial genes involved in the same function are simultaneously mutated in colorectal adenocarcinoma. These results suggest that genomic instability of genes related with mitochondrial function can impact tumor progression, corroborating previous hypothesis of the existence of mitochondrial-nuclear cross-talk in the regulation of oncogenic pathways [15] and that mtDNA instability can act as a cancer hallmark [30].

Somatic mtDNA mutation in colorectal cancer

There is evidence that somatic mutations in mtDNA might play a role in cancer development [31, 14, 32]. Polyak et al. [33] published the first paper describing somatic mtDNA mutations in colorectal cancer. Here, we identified novel mtDNA mutations exclusive of colorectal adenoma or adenocarcinoma (Fig. 1b, Tables 2 and 3). We have also found mutations that were previously described in cancer and other diseases. For instance, the mutation m.13042G>A (Ala236Thr) in the ND5 gene was reported in patients diagnosed with mitochondrial disease and severe complex I impairment [34, 35], and the mutation m.4831G>A (Gly121Asp) in the ND2 gene was associated with anchorage-independent proliferation of cancer cell lines, increased ROS production, HIF1 α stabilization, and glucose metabolism alteration [36, 37].

A recent study showed that mitochondrial heteroplasmic sites are commonly present in healthy individuals [13]. However, increased levels of heteroplasmy are associated with the tumorigenesis [16] and can predict if mutant phenotypes will be expressed [38]. Here, we found similar frequencies of heteroplasmic sites among analyzed tissues and higher levels of heteroplasmy in colorectal adenocarcinoma ($p=0.0329$) (Fig. 1a). Some studies suggest that heteroplasmic mutations associated with mitochondrial dysfunction might confer a selective advantage for oncogenesis [16, 17, 38].

Cross-talk of mtDNA and nuclear gene mutations

Here, we demonstrated that several genes of the complex I were highly mutated in both genomes (Fig. 4), with MT-ND6 being the most unstable gene (Fig. 2a, b). Recently, it has been reported that the complete lack of mtDNA delays tumor progression, suggesting that some level of OXPHOS is required for tumorigenesis and metastasis [10]. Many cancer studies have reported that complex I genes are preferentially

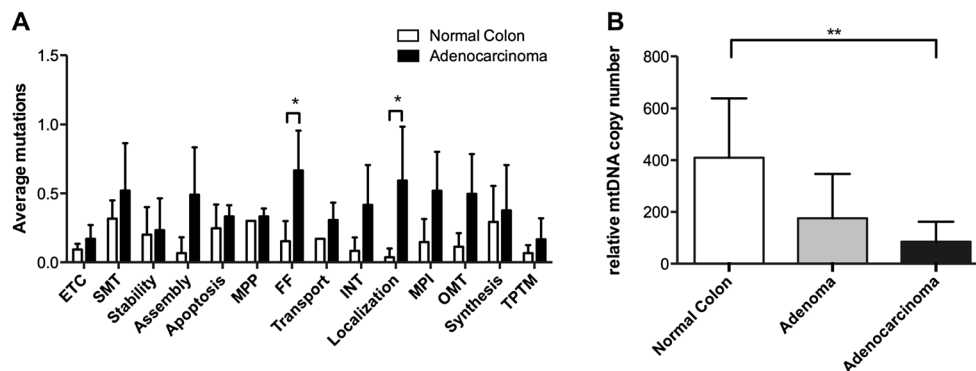


Fig. 3 Mutations frequencies per nuclear genes subset and mtDNA copy number analysis. **a** Average adenocarcinoma and normal-colon mutations found in each subset of nuclear genes related with mitochondrial functions. Statistical analysis revealed that fusion and fission ($p=$

0.0472) and mitochondrial localization genes subsets ($p=0.0231$) were more affected in adenocarcinoma samples. **b** Relative mtDNA copy number analysis showed a decreased number of mitochondrial genome content in adenocarcinoma when compared to normal colon ($p=0.0092$)

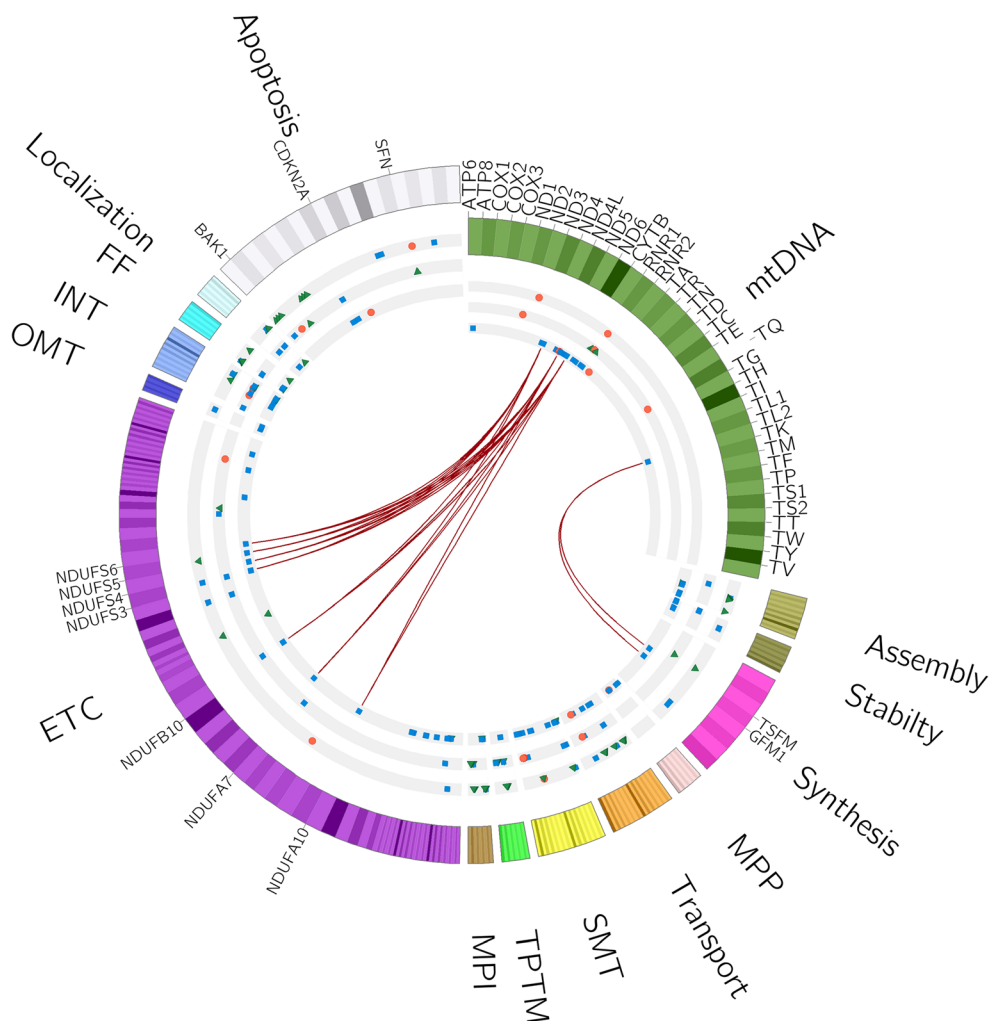


Fig. 4 Circos plot representing the mitochondrial genome (mtDNA) and each subset of nuclear genes involved in mitochondrial functions: mitochondrial complexes assembly (Assembly), mitochondrial stability (stability), protein synthesis (synthesis), membrane polarization and potential (MPP), mitochondrial transport (transport), small molecule transport (SMT), targeting protein to mitochondria (TPTM), mitochondrion protein import (MPI), electron transportation chain (ETC), outer membrane translocation (OMT), inner membrane translocation (INT), mitochondrial fission and fusion (FF),

mitochondrial localization (localization), and apoptotic genes (apoptosis). Patients 2 (inner), 3 (intermediate), and 4 (outer) are represented in the layers. For each patient, germinative mutations (*blue square*), adenocarcinoma mutations (*red circle*), and mutations present in both adenoma and adenocarcinoma (*green triangle*) mutations are represented within each gene subset. *Red lines* connect mutated genes from the nuclear and mitochondrial genome that act in the same pathway. Circos plot was draw with Circos.ca [53]

mutated in tumors [39, 32], and those mutations were associated with cancer progression mediated by ROS production, PI3K/Akt/PKC pathway activation, and HIF1 α [14, 13, 31, 40].

Interestingly, we also found mutations in mitochondrial and nuclear genes involved in mitochondrial translation, such as m.4314delA, m.5669G>A, m.10406G>A, rs77102248, rs7187776, and rs3088215 (Fig. 4, Table S3 and S7). Mutations in tRNA genes can modify their secondary structure in mitochondria [41], interfering in the RNA stability and in the translational machinery [42]. Also, mutations in elongation factors EFG1 and EFTS were associated with decreased levels of oxidative phosphorylation complexes, defects in

mitochondrial translation [43], and reduced amount of assembled complexes I, IV, and V [44].

Moreover, we demonstrated that FF and mitochondrial localization genes were more affected in adenocarcinoma when compared to normal colon. Mutations in localization, and fusion and fission genes can affect the cell dynamics. Desai et al. [45] showed that mitochondrion redistribution to the anterior region of the cancer cell is required for persistent migration. Also, a study with breast cancer cell lines showed that expression of FF genes regulates cell migration and invasion [46].

It was previously hypothesized that nuclear and mtDNA mutations are co-selected to promote cancer cell survival [7]. Our study demonstrated that some nuclear

and mitochondrial genes that cooperate in the same mitochondrial function were mutated in adenocarcinoma samples. We further suggest that mutations in both genomes are necessary to promote the mitochondrial impairment required for tumorigenesis. Functional studies are necessary to confirm this hypothesis.

mtDNA copy number analysis

mtDNA copy number variation have also been associated with human cancer progression [47]. Those alterations can result in the loss of mitochondrial transmembrane potential and induce a mitochondrial retrograde signaling, resulting in altered expression of nuclear genes [48]. Our results showed a decreased mtDNA copy number in adenocarcinoma (Fig. 3b), which are in accordance with previous studies [49, 50]. The mtDNA depletion could be explained by mutations in D-loop region and POLG gene, both involved with mtDNA synthesis [49, 51], and by mutations in fusion and fission genes that were previously associated with mtDNA depletion [52].

Conclusion

The present study reports for the first time the analysis of mitochondrial genome instability in noncancerous tissues, adenoma, and adenocarcinoma from the same patients. The results demonstrated increased heteroplasmy level and mtDNA depletion, features that can lead to mitochondrial dysfunction in colorectal cancer. Moreover, we found an enrichment of mutations in genes involved with fusion and fission, and mitochondrial localization, suggesting an alteration in the mitochondrial dynamics in adenocarcinoma. Our data also indicates that nuclear and mitochondrial mutations cooperate to enhance the mitochondrial impairment in cancer cells. Further studies are necessary to functionally characterize the impact of this impairment in cancer development.

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Ethical approval All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

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Conflicts of interest None.

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