

# Self-rated health and substance use among individuals in HIV care in Rio de Janeiro, Brazil: a cross-sectional study

Iona K Machado<sup>1,2</sup>, Paula M Luz<sup>3</sup>, Jordan E Lake<sup>1</sup>,  
 Rodolfo Castro<sup>3,4</sup>, Luciane Velasque<sup>4</sup>, Jesse L Clark<sup>1</sup>,  
 Valdilea G Veloso<sup>3</sup>, Beatriz Grinsztejn<sup>3</sup> and Raquel B De Boni<sup>3</sup>

## Abstract

Self-rated health (SRH) is associated with morbidity and mortality in HIV-uninfected populations but is understudied in HIV. Substance use may affect SRH in addition to its deleterious effect on HIV disease. This analysis aimed to estimate SRH and substance use prevalence and evaluate factors associated with poor SRH among individuals in HIV care in Rio de Janeiro, Brazil. A convenience sample of HIV-infected adults completed one item of SRH, the Alcohol, Smoking and Substance Involvement Screening Test, and the Patient Health Questionnaire-2 (PHQ-2). Logistic regression models identified factors associated with poor SRH. Participants' ( $n = 1029$ ) median age was 42.9 years, 64.2% were male, and 54.5% were nonwhite. Poor SRH was reported by 19.5% and the use of alcohol, tobacco, marijuana, and crack/cocaine by 30.1, 19.5, 3.9, and 3.5%, respectively. Less than high school education (adjusted odds ratio [aOR] 1.54, 95% confidence interval [CI]: 1.08–2.20), lack of sexual activity in previous 12 months (aOR 1.53, 95% CI: 1.01–2.30), crack/cocaine use (aOR 3.82, 95% CI: 1.80–8.09), positive PHQ-2 screen (aOR 3.43, 95% CI: 2.09–5.62), and HIV-1 RNA  $\geq 40$  c/ml (aOR 2.51, 95% CI: 1.57–4.02) were significantly associated with poor SRH as identified by logistic regression analyses. Alcohol, marijuana, and sedative use were not significantly associated with poor SRH. These results emphasize the need for substance use and mental health screening and treatment in this population. Further research may elucidate the consequences of poor SRH on treatment adherence, morbidity, and mortality in HIV-infected individuals.

## Keywords

Self-rated health, substance use, HIV/AIDS, self-assessment

Date received: 5 September 2016; accepted: 2 January 2017

## Introduction

Self-rated health (SRH) assessments refer to questions that assess the respondent's perception of his/her own health status. Different methods are used to evaluate SRH including validated questionnaires, like the Short Form Health Survey (SF-36), and the question, 'How would you rate your health in general?'<sup>1</sup> This question is particularly useful for its ease of implementation and is frequently used to assess national population health.<sup>1</sup> The single-item of SRH became commonly used in the 1980s when an association between the single-item of SRH and mortality was demonstrated.<sup>1–3</sup> In prior literature, associations with mortality persisted even when accounting for depression and physical function, but weakened when controlling for objective health measures.<sup>1</sup> Poor SRH

could therefore be associated with worse long-term health outcomes.

Accordingly, the number of studies searching for factors that predict good or poor SRH is increasing. Poor SRH is predicted by older age,<sup>4</sup> race,<sup>5–7</sup> low education,<sup>5,7–9</sup> low income,<sup>5,8,9</sup> socioeconomic disparity,<sup>10</sup> low physical activity,<sup>5,8,9,11</sup> comorbid disease and other objective health markers,<sup>1,3,8,12</sup> and depressive

<sup>1</sup>University of California, Los Angeles, Los Angeles, CA, USA

<sup>2</sup>Columbia College of Physicians & Surgeons, New York City, NY, USA

<sup>3</sup>Instituto Nacional de Infectologia Evandro Chagas, Fundação Oswaldo Cruz, Rio de Janeiro, Brazil

<sup>4</sup>Universidade Federal do Estado do Rio de Janeiro, Rio de Janeiro, Brazil

## Corresponding author:

Iona K Machado, 630 W. 168th St., New York, NY 10032, USA.  
 Email: ikm2105@columbia.edu

symptoms.<sup>4,13</sup> Studies from developed countries addressing gender differences have reported mixed results.<sup>3,7,9,14</sup>

Some of the aforementioned variables have been implicated in the syndemic theory of HIV, in which a co-occurring disease such as depression or an adverse psychosocial health condition, such as low socioeconomic status or substance use, may nurture or worsen the disease and related health outcomes, like SRH, in a given community.<sup>15</sup> Though poor SRH in general populations has been consistently linked to syndemic conditions such as low education and income, the effects of substance use on SRH are less understood, likely due to variations in the studied populations, substance use definitions, and research methodologies. While the literature on the effects of illicit drug use on SRH is scarce, more research is published on tobacco and alcohol use. Results from a Canadian national health survey administered to nearly 14,000 adults reported that ever smoking was associated with a 74% increased chance of reporting poor SRH.<sup>9</sup> The cumulative results of several studies on the association between alcohol and SRH are mixed<sup>3,8,16–19</sup> with variations in study populations and methodologies.

Despite the relevance of syndemics to SRH and other poor long-term health outcomes in HIV, SRH is understudied in persons living with HIV/AIDS. One U.S. study of over 1700 HIV-infected adults found an association between poor SRH and death.<sup>20</sup> Other international literature has shown that the absence of symptoms or medication side effects,<sup>21</sup> high socioeconomic status, having a community-based network,<sup>22</sup> and the absence of anxious and depressive feelings<sup>23</sup> were associated with good SRH, but HIV-related markers like CD4<sup>+</sup> T lymphocyte counts were not included in adjusted analyses. With respect to the effect of substance use on SRH in HIV-infected persons, Mrus et al.,<sup>24</sup> using a two-item measure of SRH for a sample of 1649 adults, found that injection drug use history was associated with poor SRH. In another U.S. study of 184 adults, HIV-infected persons with alcohol use disorder that completed a 21-item variant of the Medical Outcome Survey: Health-Related Quality of Life (another measurement of SRH) reported lower health-related quality of life than those with either HIV or alcohol use disorder,<sup>25</sup> thereby supporting the synergistic relationship between substance use and long-term health outcomes.

In the 2013 Brazilian National Health Survey, 33.9% rated their health as fair, bad, or very bad,<sup>26</sup> similar to the proportion found (35%) in one cohort of Brazilian HIV-infected persons on antiretroviral therapy (ART).<sup>21</sup> Moreover, 24% of adults reported consuming at least one alcoholic beverage per week

and 15% used tobacco products daily or occasionally, but no information is available regarding current marijuana or crack/cocaine use. Apart from the aforementioned study of Brazilian HIV-infected individuals, there are no data on SRH or substance use prevalence in this population. Considering the relevance of SRH and substance use to long-term health outcomes and the current lack of information, we aimed to estimate the prevalence of poor SRH and its associated factors, including substance use, among HIV-infected adults in care in Rio de Janeiro, Brazil.

## Methods

### *Study design*

The STD/AIDS Clinical Research Laboratory at Instituto Nacional de Infectologia Evandro Chagas at Fundação Oswaldo Cruz (INI/FIOCRUZ), in Rio de Janeiro, Brazil, is a reference center for HIV treatment and research. As recommended by Brazil's HIV treatment guidelines, patients have at least biannual appointments for follow-up care at INI.<sup>27</sup> A cross-sectional study of a convenience sample of 1050 HIV-infected adults ( $\geq 18$  years of age) who attended a routine appointment at INI between August 2013 and December 2015 was performed. The sole exclusion criterion was inability to provide informed consent. Trained nurses administered a structured interview that assessed SRH, depression, substance use, and sexual activity. These data were linked to INI's HIV cohort database, a longitudinal database maintained since 1998 that includes demographic and clinical information, as previously described.<sup>28</sup> Ethical approval was granted by the INI Institutional Review Board to the cross-sectional study (CAAE 17844113.2.0000.5262) as well as the parent cohort study (CAAE 0032.0.009.000-10).

### *Outcome*

SRH was measured by the question 'How is your health?' with possible answer choices of 'Very bad,' 'Bad,' and 'Neither good nor bad' categorized as 'Poor SRH' and 'Good' and 'Very good' categorized as 'Good SRH,' as previously dichotomized.<sup>26</sup>

### *Demographic, clinical, and behavioral variables*

Sociodemographic factors were self-reported on the participant's first clinic visit. 'Sex' was defined as sex at birth (male/female). Age at interview was defined as the difference in years between the questionnaire administration date and birth date, and a priori dichotomized so as to explore the effect of 'older age' for

participants  $\geq 50$  years old, as suggested by Blanco et al.<sup>29</sup> Educational level was dichotomized as no high school education versus  $\geq$ high school education. Race was categorized as ‘white,’ ‘black,’ or ‘mixed.’ Years with HIV diagnosis and years in HIV care were calculated as the difference in years between the interview date and the dates of the first positive HIV test and of the first clinic visit, respectively. The study instrument ascertained marital status, dichotomized as ‘single’ versus ‘married or living with partner,’ and sexual orientation, with response choices of ‘homosexual/gay,’ ‘heterosexual,’ and ‘bisexual’ dichotomized as ‘heterosexual’ versus ‘other.’

$CD4^+$  T lymphocyte counts and HIV-1 RNA levels closest to the study administration date and within the prior 12 months were selected for analysis. Hepatitis B or C virus coinfection was defined as any record of a positive hepatitis B antigen test or hepatitis C antibody test. Metabolic disease was defined as meeting  $\geq 1$  of the following criteria by laboratory values taken within one year of the study administration: hypercholesterolemia (total cholesterol  $>239$  mg/dl), hypertriglyceridemia (triglycerides  $>199$  mg/dl), dyslipidemia (LDL  $>159$  mg/dl or HDL  $<40$  mg/dl), hypertension (diastolic blood pressure  $>100$  mmHg), and diabetes (fasting blood glucose  $\geq 126$  mg/dl, random blood glucose  $\geq 200$  mg/dl, or hemoglobin A1c  $\geq 6.5\%$ ). Lifetime history of an AIDS-defining illness was defined using the CDC 1993 criteria.<sup>30</sup>

Current tobacco, alcohol, marijuana, crack/cocaine, and nonprescription sedative use were assessed using the Portuguese validated version of the WHO’s Alcohol, Smoking and Substance Involvement Screening Test,<sup>31</sup> specifically: ‘In the last 3 months, with what frequency did you use...?’ Possible answers were ‘Never,’ ‘1–2 times,’ ‘1–3 times/month,’ ‘1–4 times/week,’ and ‘5–7 days/week,’ dichotomized into ‘never’ and ‘any’ use. Binge drinking was assessed by the question ‘Have you ingested 5 or more alcoholic drinks in one occasion? One drink is one can of beer (300 mL) OR a glass of wine (120 mL) OR a shot of liquor (cachaça, vodka, whisky; 30 mL)’ with responses of ‘no, never,’ ‘yes, but not in the last 3 months,’ and ‘yes, in the last three months.’ This was dichotomized as ‘yes in the last three months’ or ‘no, not in the last 3 months.’

Depression screening used the Patient Health Questionnaire-2 (PHQ-2), validated in Brazilian primary health care populations,<sup>32</sup> with the cutoff for a positive depression screen as a PHQ-2 value  $\geq 3$ . The study instrument’s one item of sexual history asked participants to ‘mark all’ sexual partners that the participant had in the last 12 months: men, women, transsexuals, transvestites, and none. This was dichotomized into ‘any’ and ‘none.’

### Statistical analyses

Categorical variables are described by their absolute and relative frequencies. Unadjusted logistic regression evaluated univariate associations between demographic, clinical, and behavioral variables and poor SRH. Stepwise backward logistic regression modeling was performed with all variables with p-values  $<0.10$  in univariate modeling, removing terms of greatest non-significance until a final model was reached where all remaining variables presented a p-value  $\leq 0.05$ . No variable was removed from the model if it changed the adjusted odds ratio (aOR) of another variable by more than 15%. To account for a large number of participants with missing  $CD4^+$  T lymphocyte counts ( $n = 442$ ) and HIV-1 RNA levels ( $n = 429$ ), a sensitivity analysis was conducted using the aforementioned statistical methods for participants with both  $CD4^+$  T lymphocyte counts and HIV-1 RNA levels ( $n = 576$ ). Guided by previous findings,<sup>33</sup> collinearity between 90-day crack/cocaine use and 90-day tobacco use was tested. When it was found, tobacco was excluded from regression models. Since collinearity between 90-day sedative and crack/cocaine use was found only in subset data, sedative use was also excluded from the regression model for the subset analysis. Age,<sup>4</sup> sex at birth,<sup>3,7,9,14</sup> and race<sup>5–7</sup> were kept a priori in the final adjusted model because these variables were previously associated to SRH. Current  $CD4^+$  T lymphocyte count was kept in the final model despite borderline significance because it significantly changed the effect of HIV-1 RNA viral load. All statistical analyses were performed with R Statistical Software version 3.2.2.

### Results

Of the 1050 study participants, 1029 were included for data completeness. Table 1 characterizes the overall study population. The participants were 64.2% male and 45.6% white, with a median age of 42.9 years (interquartile range 34.7, 50.6). About half of the population had some high school education or more and two-thirds identified as heterosexual. The median time since HIV diagnosis was 8.2 years, and the median time from initiation of HIV care was 6.1 years. Of the 587 participants with a  $CD4^+$  T lymphocyte count measured in the year prior to study administration, the median count was 599 cells/mm<sup>3</sup>.

In this population, 19.5% ( $n = 201$ ) reported poor SRH and 80.5% ( $n = 828$ ) reported good SRH with a distribution of very good 36% ( $n = 368$ ), good 45% ( $n = 460$ ), neither good nor bad 15% ( $n = 155$ ), bad 3% ( $n = 36$ ), and very bad 1% ( $n = 10$ ). A total of 30.1 and 19.5% of study participants reported 90-day alcohol and tobacco use, respectively, while less than

**Table 1.** Characteristics of study participants by self-rated health (SRH) status (good versus poor) and unadjusted odds ratios (OR) with 95% confidence intervals (95% CI), INI-Fiocruz from 2013 to 2015.

	Total	Good SRH	Poor SRH	OR (95% CI)	p-value
Total	1029	828	201		
Age (years)					0.031
Median (IQR)	42.9 (34.7, 50.6)	42.6 (34.7, 50.2)	44.3 (34.8, 52.3)	1.01 (1, 1.02)	0.128
<50	751 (73)	617 (74.5)	134 (66.7)	REF	
≥50	278 (27)	211 (25.5)	67 (33.3)	1.46 (1.05, 2.04)	0.025
Sex at birth					0.002
Male	661 (64.2)	551 (66.5)	110 (54.7)	REF	
Female	368 (35.8)	277 (33.5)	91 (45.3)	1.65 (1.2, 2.25)	0.002
Race <sup>a</sup>					0.068
White	466 (45.6)	389 (47.3)	77 (38.3)	REF	
Black	196 (19.2)	151 (18.4)	45 (22.4)	1.51 (1, 2.28)	0.052
Mixed	361 (35.3)	282 (34.3)	79 (39.3)	1.42 (1, 2.01)	0.051
Education <sup>a</sup>					<0.001
<High school	479 (46.8)	411 (49.9)	68 (34.2)	REF	
≥High school	544 (53.2)	413 (50.1)	131 (65.8)	1.92 (1.39, 2.65)	<0.001
Sexual orientation <sup>a</sup>					0.005
Homosexual/gay	309 (30.5)	269 (33)	40 (20)	REF	
Heterosexual	652 (64.3)	502 (61.7)	150 (75)	2.01 (1.38, 2.94)	<0.001
Bisexual	53 (5.2)	43 (5.3)	10 (5)	1.56 (0.73, 3.36)	0.251
Civil status					0.575
Married or living with partner	363 (35.3)	296 (35.7)	67 (33.3)	REF	
Single	666 (64.7)	532 (64.3)	134 (66.7)	1.11 (0.8, 1.54)	0.52
12-month sexual activity <sup>a</sup>					0.003
Yes	830 (80.8)	683 (82.7)	147 (73.1)	REF	
None	197 (19.2)	143 (17.3)	54 (26.9)	1.75 (1.22, 2.52)	0.002
Years with HIV diagnosis					0.431
Median (IQR)	8.2 (4.1, 14.1)	8.1 (4.1, 14.2)	8.9 (4.4, 13.9)	1 (0.98, 1.03)	0.761
Years in HIV care					0.513
Median (IQR)	6.1 (3.2, 10)	6 (3.2, 10)	6.4 (2.8, 9.9)	0.99 (0.96, 1.02)	0.562
CD4 T lymphocyte count (cells/mm <sup>3</sup> )					<0.001
Median (IQR)	599 (386, 828.5)	610 (414, 852)	468.5 (249.8, 713.5)	1 (1, 1)	0.003
≥500	358 (34.8)	307 (37.1)	51 (25.4)	REF	
<200	60 (5.8)	38 (4.6)	22 (10.9)	3.49 (1.91, 6.37)	<0.001
200–500	169 (16.4)	132 (15.9)	37 (18.4)	1.69 (1.05, 2.7)	0.029
Missing	442 (43)	351 (42.4)	91 (45.3)	1.56 (1.07, 2.27)	0.02
HIV-1 RNA level					<0.001
Undetectable	423 (41.1)	363 (43.8)	60 (29.9)	REF	
Detectable	177 (17.2)	125 (15.1)	52 (25.9)	2.52 (1.65, 3.84)	<0.001
Missing	429 (41.7)	340 (41.1)	89 (44.3)	1.58 (1.11, 2.27)	0.012
Time on ART (days)					0.754
Median (IQR)	6.1 (2.7, 12.8)	6 (2.7, 13)	7 (2.9, 12.4)	1 (1, 1)	0.948
≥90 days	945 (91.8)	762 (92)	183 (91)	REF	
<90 days	84 (8.2)	66 (8)	18 (9)	1.14 (0.66, 1.96)	0.648
Lifetime AIDS-related disease					0.008
None	610 (59.3)	508 (61.4)	102 (50.7)	REF	
1+	419 (40.7)	320 (38.6)	99 (49.3)	1.54 (1.13, 2.1)	0.006

(continued)

**Table I.** Continued.

	Total	Good SRH	Poor SRH	OR (95% CI)	p-value
Hepatitis B infection					0.798
No	982 (95.4)	789 (95.3)	193 (96)	REF	
Yes	47 (4.6)	39 (4.7)	8 (4)	0.84 (0.39, 1.82)	0.657
Hepatitis C infection					0.619
No	953 (92.6)	769 (92.9)	184 (91.5)	REF	
Yes	76 (7.4)	59 (7.1)	17 (8.5)	1.2 (0.69, 2.11)	0.518
Metabolic variable					0.581
No	435 (42.3)	354 (42.8)	81 (40.3)	REF	
Yes	594 (57.7)	474 (57.2)	120 (59.7)	1.11 (0.81, 1.51)	0.527
PHQ-2 <sup>a</sup>					<0.001
Negative	938 (91.6)	775 (94.1)	163 (81.5)	REF	
Positive	86 (8.4)	49 (5.9)	37 (18.5)	3.59 (2.27, 5.68)	<0.001
90-day tobacco use <sup>a</sup>					0.036
No	826 (80.5)	676 (81.8)	150 (75)	REF	
Yes	200 (19.5)	150 (18.2)	50 (25)	1.5 (1.04, 2.17)	0.029
90-day alcohol use <sup>a</sup>					0.181
No	716 (69.9)	568 (68.8)	148 (74)	REF	
Yes	309 (30.1)	257 (31.2)	52 (26)	0.78 (0.55, 1.1)	0.155
90-day marijuana use					0.134
No	989 (96.1)	800 (96.6)	189 (94)	REF	
Yes	40 (3.9)	28 (3.4)	12 (6)	1.81 (0.91, 3.63)	0.093
90-day crack/cocaine use					<0.001
No	993 (96.5)	810 (97.8)	183 (91)	REF	
Yes	36 (3.5)	18 (2.2)	18 (9)	4.43 (2.26, 8.67)	<0.001
90-day sedative use					0.059
No	1008 (98)	815 (98.4)	193 (96)	REF	
Yes	21 (2)	13 (1.6)	8 (4)	2.6 (1.06, 6.36)	0.036
90-day binge drinking <sup>a</sup>					0.776
No	840 (82.6)	677 (82.8)	163 (81.9)	REF	
Yes	177 (17.4)	141 (17.2)	36 (18.1)	1.06 (0.71, 1.59)	0.776

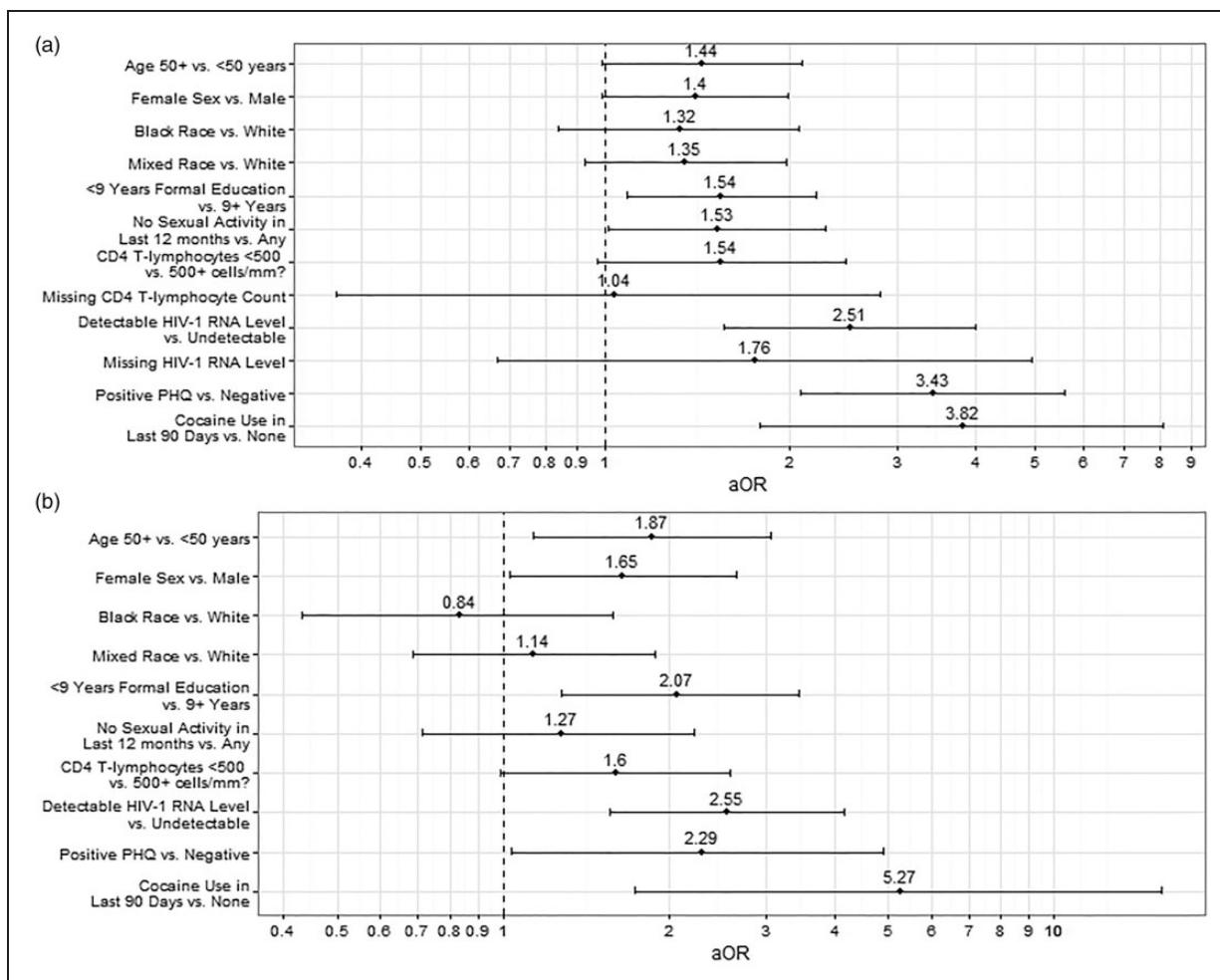
<sup>a</sup>There were missing data for race (n=6), education (n=6), sexual orientation (n=2), 12-month sexual activity (n=2), 90-day tobacco (n=3) and alcohol use (n=4), and binge drinking (n=12).

ART: antiretroviral therapy; IQR: interquartile range; PHQ-2: Patient Health Questionnaire-2.

5% reported 90-day marijuana, crack/cocaine use, or sedative use. Overall, 8.4% of participants were identified as having depressive symptoms per the PHQ-2 depression screen. Unadjusted analysis showed that age  $\geq 50$  years; female sex; less than high school education; heterosexual self-identification; absence of 12-month sexual activity; a lifetime diagnosis of an AIDS-defining illness; CD4<sup>+</sup> T lymphocyte count  $<500$  cells/mm<sup>3</sup>; detectable HIV-1 RNA level; and reported tobacco, crack/cocaine, or sedative use in the last 90 days were significantly associated ( $p < 0.05$ ) with poor SRH (Table 1).

In adjusted analyses, those with poor SRH were less likely than those with good SRH to attend high school ( $p = 0.016$ ) and have engaged in sexual activity in the

last 12 months ( $p = 0.043$ ). Persons with poor SRH were more likely to have a recent detectable HIV-1 RNA level ( $p < 0.001$ ), report crack/cocaine use in the last 90 days ( $p < 0.001$ ), and have a positive depression screen on the PHQ-2 ( $p < 0.001$ ) (Figure 1(a)). The effect sizes of recent crack/cocaine use (aOR = 3.82) and positive PHQ-2 screen (aOR = 3.43) were at least a third larger than the effect size of detectable HIV-1 RNA level (aOR = 2.51). Age  $\geq 50$  years ( $p = 0.057$ ), female sex ( $p = 0.057$ ), and a current CD4<sup>+</sup> T lymphocyte count  $<500$  cells/mm<sup>3</sup> ( $p = 0.067$ ) approached significance in the adjusted analysis (Figure 1(a)). The results of the sensitivity analysis of participants with complete data for both a recent CD4<sup>+</sup> T lymphocyte count and a recent HIV-1 RNA level (n = 576) were not



**Figure 1.** Adjusted odds ratios (aOR) with 95% confidence intervals derived from multivariable regression analyses using statistically significant variables ( $p < 0.1$ ) associated with poor SRH from unadjusted logistic regression analysis. (a) All study participants ( $N = 1029$ ) and (b) subset of study participants with recent CD4 count and HIV-1 RNA level ( $N = 576$ ).  
SRH: self-rated health.

significantly different from those of the overall analysis (Figure 1(b), see Supplementary Material Table S1).

## Discussion

Of individuals in HIV care at INI, 80.5% reported good SRH. The high prevalence of good SRH compared to that found in the Brazilian general population (66.1%)<sup>26</sup> may reflect the fact that our sample was recruited at a multidisciplinary care center and is likely to have better access to care than the general population. In addition, participants may be primed to respond to SRH questions from the perspective of an HIV-infected individual, using other HIV-infected peers or their own prior health experiences as a frame of reference, and, consequently, may find themselves to be in comparatively good health.<sup>1</sup> Our prevalence of good SRH was also higher than that of a multicenter

Brazilian cohort of HIV-infected persons (65%) by 15%,<sup>21</sup> possibly because there are unmeasured factors related to health services at play and because current ART regimens are better tolerated than those available in 2008 when the study was conducted. Poor SRH was associated with lower schooling, no reported sexual activity in the last 12 months, positive 90-day recall of crack/cocaine use, a positive PHQ-2 screen, and HIV-1 RNA levels  $\geq 40$  copies/ml.

The prevalence of 90-day alcohol use (30.1%), marijuana use (3.9%), and crack/cocaine use (3.1%) was similar to a one-week prevalence found in the same cohort,<sup>34</sup> although 90-day tobacco use (19.5%) was smaller than that of 12-month use (29%),<sup>33</sup> as expected. All estimates, however, were lower than that of U.S. HIV-infected cohorts, where 50–70% report smoking,<sup>35</sup> 53% report drinking in the past month,<sup>36</sup> and 24 and 9% report 90-day marijuana and crack/cocaine use,

respectively.<sup>37</sup> These studies used computer-assisted questionnaires which may confer less social desirability bias than a nurse-administered questionnaire such as that of our study.<sup>38</sup> Moreover, these lower prevalences may reflect the difficulty in reaching and linking substance users with HIV care.<sup>39,40</sup>

Both a positive depression screen and current crack/cocaine use showed the largest effect sizes on poor SRH in our analysis, roughly a third larger than the most strongly associated clinical variable, a detectable HIV-1 RNA level. Depression is a significant contributor to SRH, not only because of its effects on objective health measures,<sup>41</sup> but also because it distorts self-perception.<sup>1,3</sup> Hence, it is important to screen for depression in HIV-infected persons. The association between crack/cocaine and poor SRH adds to a small, conflicting body of literature in which one study found that crack/cocaine smokers were more likely to report poor SRH,<sup>16</sup> while another U.S. survey of roughly 19,000 adults aged 50 or older did not find an association (though the analysis was limited by small sample of crack/cocaine users).<sup>17</sup> In our analysis, this association presented a large effect size even after controlling for standard measures of HIV disease severity implying that crack/cocaine use may affect other non-HIV related clinical variables or the process of self-evaluation of health. For example, crack users may see crack addiction as worse for their health than alcohol or tobacco addiction<sup>16</sup> and consequently evaluate their SRH as poor. Adding to the conflicting body of literature, there was no association between alcohol use and SRH. In sum, the data suggest that substance use screening should be a part of routine HIV care.

The association between poor SRH and low levels of education may reflect limited access to resources, like information about health-promoting behaviors and social support networks, or a conception of health rooted in a weaker base of clinical information.<sup>42,43</sup> Not only was the proportion of study participants reporting sexual activity in the last year (80.5%) similar to that of Brazilians aged 15–64 (77.3%), but the breakdown by gender was also similar: 81% of men and 73.7% of women in the national population, and 85.2% of men and 73% of women in our study population reported 12-month sexual activity.<sup>44</sup> Those with no recent sexual activity could be mentally distressed or too physically ill,<sup>45</sup> or may suffer from decreased libido from chronic illness,<sup>46</sup> all of which may thereby affect SRH. This adds another dimension to the importance of asking HIV-infected persons about their sex lives or lack thereof, as it may have a negative impact on SRH. HIV-related measures, CD4<sup>+</sup> T lymphocyte count and HIV-RNA level, affected SRH as previously described.<sup>1,24</sup> However, a limitation of this study was the large number of missing laboratory information.

This could have excluded a population that are poorly linked to care and therefore may be sicker with poorer SRH; however, the sensitivity analyses did not yield major differences in the demographics (see Supplementary Material for details, Table S1) nor the multivariable analyses (Figure 1) between those with complete laboratory information and those without. One notable difference was that the effect size of a positive PHQ-2 screen decreased when participants with missing information were removed from the analysis, suggesting that PHQ-2 is a weaker correlate with SRH in the presence of clinical information (Figure 1(b)). Another limitation of the study was the inclusion only of participants who were attending scheduled outpatient appointments, who were more likely to be female, non-white, and have less education than the eligible population that did not complete the study (Table S2). Though patients linked to care may be expected to have higher CD4<sup>+</sup> T lymphocyte counts and lower HIV-1 RNA levels, and therefore report better SRH, there were no differences between these two populations on these measures (Table S2). However, HIV-infected individuals that did not attend their outpatient appointments may be missing their appointments due to other social and behavioral variables that may negatively influence their SRH, such as drug and problematic alcohol use. In fact, the prevalence of drug and alcohol use was too small to stratify into occasional and heavy users. It is possible that heavy users would be more likely to report poor SRH than occasional users. Additionally, this study did not address other chronic health diseases that may adversely affect SRH, such as cancer and heart disease. Given the cross-sectional design of the study, causality may not be inferred and, although results relating to SRH are similar to other Brazilian estimates, given the non-probabilistic nature of the sample, results may not be generalizable to all individuals in care for HIV in the country.

This study has identified that individuals with lower education, with positive screening for depression, and cocaine users have an increased chance of reporting poor SRH. Considering that the screen for SRH (measured by a single question) is easy to implement in clinical settings, this question may be useful to screen for psychological and social distress in primary/secondary health services that are not focused on these problems. Given that the previously reported association between SRH and mortality has implications on the population level, SRH would be important to evaluate in future research.<sup>1–3</sup>

## Conclusions

The proportion of HIV-infected adults in care that report poor SRH was lower in our sample than in

other studies of HIV-infected Brazilians and the Brazilian general population, a result that deserves further investigation. Since participants presenting a positive screen for depression and use crack/cocaine were more likely to report poor SRH, it is important to incorporate mental health and substance use screening and treatment into the care of HIV-infected persons. Additional research is needed to elucidate the effect SRH may have on treatment adherence, morbidity, and mortality.

### Acknowledgements

We would like to thank the participants enrolled in the INI HIV/AIDS program and the dedicated staff at INI.

### Declaration of conflicting interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

### Funding

The author(s) disclosed receipt of the following financial support for the research, authorship, and/or publication of this article: This work was funded by the National Institutes of Health grants R25 MH087222 (South American Program in HIV Research) and K23 AI110532 in addition to the NIH-funded Caribbean, Central and South America network for HIV epidemiology (CCASAnet), a member cohort of the International Epidemiologic Databases to Evaluate AIDS (leDEA, U01AI069923), and the Conselho Nacional de Desenvolvimento Científico e Tecnológico (CNPq, 476024/2013-7).

### Ethical approval

All procedures performed in this study, including informed consent obtained from all individual participants included in the study, were in accordance with the ethical standards of the institutional and national research committees and with the 1964 Helsinki declaration and its later amendments.

### References

- Jylhä M. What is self-rated health and why does it predict mortality? Towards a unified conceptual model. *Soc Sci Med* 2009; 69: 307–316.
- DeSalvo KB, Bloser N, Reynolds K, et al. Mortality prediction with a single general self-rated health question: a meta-analysis. *J Gen Intern Med* 2006; 21: 267–275.
- Idler EL and Benyamin Y. Self-rated health and mortality: a review of twenty-seven community studies. *J Health Soc Behav* 1997; 38: 21–37.
- Pinquart M. Correlates of subjective health in older adults: a meta-analysis. *Psychol Aging* 2001; 16: 414–426.
- Lorraine PJ, Hammock RL and Blanton JM. Predictors of self-rated health status among Texas residents. *Prev Chronic Dis* 2005; 2: A12.
- Cagney KA, Browning CR and Wen M. Racial disparities in self-rated health at older ages: what difference does the neighborhood make? *J Gerontol Ser B Psychol Sci Soc Sci* 2005; 60: S181–S190.
- Franks P, Gold MR and Fiscella K. Sociodemographics, self-rated health, and mortality in the US. *Soc Sci Med* 2003; 56: 2505–2514.
- Wu S, Wang R, Zhao Y, et al. The relationship between self-rated health and objective health status: a population-based study. *BMC Public Health* 2013; 13: 9.
- Cott CA, Gignac MA and Badley EM. Determinants of self rated health for Canadians with chronic disease and disability. *J Epidemiol Community Health* 1999; 53: 731–736.
- Kondo N, Sembajwe G, Kawachi I, et al. Income inequality, mortality, and self rated health: meta-analysis of multilevel studies. *BMJ* 2009; 339: b4471.
- Benyamin Y. Why does self-rated health predict mortality? An update on current knowledge and a research agenda for psychologists. *Psychol Health* 2011; 26: 1407–1413.
- Jylhä M, Volpato S and Guralnik JM. Self-rated health showed a graded association with frequently used biomarkers in a large population sample. *J Clin Epidemiol* 2006; 59: 465–471.
- Chang-Quan H, Xue-Mei Z, Bi-Rong D, et al. Health status and risk for depression among the elderly: a meta-analysis of published literature. *Age Ageing* 2010; 39: 23–30.
- Szwarcwald CL, Souza-Júnior PRB de, Esteves MAP, et al. Socio-demographic determinants of self-rated health in Brazil. *Cad Saude Publica* 2005; 21: S54–S64.
- Singer M and Clair S. Syndemics and public health: reconceptualizing disease in bio-social context. *Med Anthropol Q* 2003; 17: 423–441.
- Falck RS, Wang J, Carlson RG, et al. Crack-cocaine use and health status as defined by the SF-36. *Addict Behav* 2000; 4: 579–584.
- Blazer DG and Wu L-T. The epidemiology of substance use and disorders among middle aged and elderly community adults: national survey on drug use and health. *Am J Geriatr Psychiatry* 2009; 17: 237–245.
- Frisher M, Mendonça M, Shelton N, et al. Is alcohol consumption in older adults associated with poor self-rated health? Cross-sectional and longitudinal analyses from the English Longitudinal Study of Ageing. *BMC Public Health* 2015; 15: 703.
- Valencia-Martín JL, Galán I and Rodríguez-Artalejo F. Alcohol and self-rated health in a Mediterranean country: the role of average volume, drinking pattern, and alcohol dependence. *Alcohol Clin Exp Res* 2009; 33: 240–246.
- Fleishman JA and Crystal S. Functional status transitions and survival in HIV disease: evidence from the AIDS costs and service utilization survey. *Source Med Care* 1998; 36: 533–543.
- Souza Junior PRB, Borges De Souza Junior PR, Szwarcwald CL, et al. Self-rated health by HIV-infected individuals undergoing antiretroviral therapy in Brazil. *Cadernos De Saude Publica* 2011; 27: S56–S66.

22. Dageid W and Grønlie AA. The associations between resilience, social capital and self-rated health among HIV-positive South Africans. *J Health Psychol* 2015; 20: 1463–1473.
23. Sun W, Wu M, Qu P, et al. Psychological well-being of people living with HIV/AIDS under the new epidemic characteristics in China and the risk factors: a population-based study. *Int J Infect Dis* 2014; 28: 147–152.
24. Mrus JM, Schackman BR, Wu AW, et al. Variations in self-rated health among patients with HIV infection. *Qual Life Res* 2006; 15: 503–514.
25. Rosenbloom MJ, Sullivan EV, Sasoon SA, et al. Alcoholism, HIV infection, and their comorbidity: factors affecting self-rated health-related quality of life. *J Stud Alcohol Drugs* 2007; 68: 115–125.
26. IBGE IB de G e, Estatística. Pesquisa Nacional de Saúde 2013: percepção do estado de saúde, estilos de vida e doenças crônicas, <http://biblioteca.ibge.gov.br/visualizacao/livros/liv91110.pdf>.
27. Brasil MDS. Protocolo clínico e diretrizes terapêuticas para manejo da infecção pelo hiv em adultos. [http://www.aids.gov.br/sites/default/files/anexos/publicacao/2013/55308/protocolofinal\\_31\\_7\\_2015\\_pdf\\_31327.pdf](http://www.aids.gov.br/sites/default/files/anexos/publicacao/2013/55308/protocolofinal_31_7_2015_pdf_31327.pdf) (2013, accessed 23 January 2017).
28. Grinsztejn B, Veloso VG, Friedman RK, et al. Early mortality and cause of deaths in patients using HAART in Brazil and the United States. *AIDS* 2009; 23: 2107–2114.
29. Blanco JR, Jarrín I, Vallejo M, et al. Definition of advanced age in HIV infection: looking for an age cut-off. *AIDS Res Hum Retroviruses* 2012; 28: 1000–1006.
30. CDC. 1993 revised classification system for HIV infection and expanded surveillance case definition for AIDS among adolescents and adults. *MMWR Recomm Rep* 1992; 41: 1–19.
31. Henrique IFS, De Micheli D, De Lacerda RB, et al. Validacao da Versão Brasileira do Teste de Triagem do Envolvimento com Álcool, Cigarro e Outras Substâncias (ASSIST). *Rev Assoc Med Bras* 2004; 50: 199–206.
32. de Lima Osório F, Vilela Mendes A, Crippa JA, et al. Study of the discriminative validity of the PHQ-9 and PHQ-2 in a sample of Brazilian women in the context of primary health care. *Perspect Psychiatr Care* 2009; 45: 216–227.
33. Torres TS, Luz PM, Derrico M, et al. Factors associated with tobacco smoking and cessation among HIV-Infected individuals under care in Rio de Janeiro, Brazil. *PLoS One* 2014; 9: 1–15.
34. De Boni RB, Shepherd BE, Grinsztejn B, et al. Substance use and adherence among people living with HIV/AIDS receiving cART in Latin America. *AIDS Behav* 2016; 11: 2692–2699.
35. Nahvi S and Cooperman NA. Review: the need for smoking cessation among HIV-positive smokers. *AIDS Educ Prev* 2009; 21: 14–27.
36. Galvan FH, Bing EG, Fleishman JA, et al. The prevalence of alcohol consumption and heavy drinking among people with HIV in the United States: results from the HIV Cost and Services Utilization Study. *J Stud Alcohol* 2002; 63: 179–186.
37. Mimiaga MJ, Reisner SL, Grasso C, et al. Substance use among HIV-infected patients engaged in primary care in the United States: findings from the Centers for AIDS Research Network of Integrated Clinical Systems cohort. *Am J Public Health* 2013; 103: 1457–1467.
38. Krumpal I. Determinants of social desirability bias in sensitive surveys: a literature review. *Qual Quant* 2013; 47: 2025–2047.
39. Bell C, Metsch LR, Vogenthaler N, et al. Never in care: characteristics of HIV-infected crack cocaine users in 2 US cities who have never been to outpatient HIV care. *J Acquir Immune Defic Syndr* 2010; 54: 376–380.
40. Tobias CR, Cunningham W, Cabral HD, et al. Living with HIV but without medical care: barriers to engagement. *AIDS Patient Care STDS* 2007; 21: 426–434.
41. Leserman J. Role of depression, stress, and trauma in HIV disease progression. *Psychosom Med* 2008; 70: 539–545.
42. Kawachi I, Kennedy BP and Glass R. Social capital and self-rated health: a contextual analysis. *Am J Public Health* 1999; 89: 1187–1193.
43. Dowd JB and Zajacova A. Does the predictive power of self-rated health for subsequent mortality risk vary by socioeconomic status in the US? *Int J Epidemiol* 2007; 36: 1214–1221.
44. Brasil MDS. Pesquisa de Conhecimentos, Atitudes e Práticas na População Brasileira, [http://bvsms.saude.gov.br/bvs/publicacoes/pesquisa\\_conhecimentos\\_atitudes\\_praticas\\_populacao\\_brasileira.pdf](http://bvsms.saude.gov.br/bvs/publicacoes/pesquisa_conhecimentos_atitudes_praticas_populacao_brasileira.pdf) (2011, accessed 23 January 2017).
45. Maticka-Tyndale E, Adam BD and Cohen J. Sexual desire and practice among people living with HIV and using combination anti-retroviral therapies. *Can J Hum Sex* 2002; 11: 33–41.
46. Basson R, Rees P, Wang R, et al. Sexual function in chronic illness. *J Sex Med* 2010; 7: 374–388.