

# Effect of Early-Life Geohelminth Infections on the Development of Wheezing at 5 Years of Age

Philip J. Cooper<sup>1,2,3</sup>, Martha E. Chico<sup>2</sup>, Maritza G. Vaca<sup>2</sup>, Carlos A. Sandoval<sup>2</sup>, Sofia Loor<sup>2</sup>, Leila D. Amorim<sup>4</sup>, Laura C. Rodrigues<sup>5</sup>, Mauricio L. Barreto<sup>4</sup>, and David P. Strachan<sup>6</sup>

<sup>1</sup>Facultad de Ciencias Medicas, de la Salud y la Vida, Universidad Internacional del Ecuador, Quito, Ecuador; <sup>2</sup>Laboratorio de Investigaciones FEPIIS, Quinde, Esmeraldas Province, Ecuador; <sup>3</sup>Institute of Infection and Immunity and <sup>6</sup>Population Health Research Institute, St. George's University of London, London, United Kingdom; <sup>4</sup>Instituto de Saude Coletiva, Universidade Federal da Bahia, Salvador, Brazil; and <sup>5</sup>Faculty of Epidemiology and Population Health, London School of Hygiene and Tropical Medicine, London, United Kingdom

## Abstract

**Rationale:** Exposures to geohelminths during gestation or early childhood may reduce risk of wheezing illness/asthma and atopy during childhood in tropical regions.

**Objectives:** To investigate the effect of maternal and early childhood geohelminths on development of wheeze/asthma and atopy during the first 5 years of life.

**Methods:** A cohort of 2,404 neonates was followed to 5 years of age in a rural district in coastal Ecuador. Data on wheeze were collected by questionnaire and atopy was measured by allergen skin prick test reactivity to 10 allergens at 5 years. Stool samples from mothers and children were examined for geohelminths by microscopy.

**Measurements and Main Results:** A total of 2,090 (86.9%) children were evaluated at 5 years. Geohelminths were observed in 45.5% of mothers and in 34.1% of children by 3 years. Wheeze and

asthma were reported for 12.6% and 5.7% of children, respectively, whereas 14.0% had skin test reactivity at 5 years. Maternal geohelminths were associated with an increased risk of wheeze (adjusted odds ratio, 1.41; 95% confidence interval, 1.06–1.88), whereas childhood geohelminths over the first 3 years of life were associated with reduced risk of wheeze (adjusted odds ratio, 0.70; 95% confidence interval, 0.52–0.96) and asthma (adjusted odds ratio, 0.60; 95% confidence interval, 0.38–0.94) but not skin prick test reactivity. The effects on wheeze/asthma were greatest with later age of first infection, were observed only in skin test–negative children, but were not associated with parasite burden or specific geohelminths.

**Conclusions:** Although maternal exposures to geohelminths may increase childhood wheeze, childhood geohelminths during the first 3 years may provide protection through a nonallergic mechanism. Registered as an observational study (ISRCTN41239086).

**Keywords:** geohelminths; atopy; wheeze; asthma; childhood

Asthma is the commonest chronic disease of childhood in industrialized countries, is estimated to affect more than 300 million worldwide (1), and has emerged as an important public health problem in many nonindustrialized regions (1, 2).

Increases in asthma prevalence, such as observed in urban regions of Latin America (2, 3), may be explained by environmental

changes leading to a decreased incidence of infectious diseases and reduction in diversity of environmental microbiota (4). Such changes have followed improvements in public services including sewage, clean water, and access to vaccines and anti-infective drugs. A consequence of improved environmental hygiene and anthelmintic drug provision has been a

decline in the prevalence of geohelminth parasites, common infections of poverty infecting more than 1 billion worldwide (5).

Helminth infections including geohelminths have potent effects on the human immune response, particularly T-helper cell type 2 responses that are critical for parasite killing and elimination (6). Chronic geohelminth infections are

(Received in original form June 21, 2017; accepted in final form September 27, 2017)

Supported by the Wellcome Trust (grant 088862/Z/09/Z).

Author Contributions: Conception and design, P.J.C., L.C.R., M.L.B., and D.P.S. Data collection, M.E.C., M.G.V., C.A.S., and S.L. Data analysis, P.J.C. and L.D.A. Drafted manuscript, P.J.C. All authors contributed to the writing and editing of the manuscript

Correspondence and requests for reprints should be addressed to Philip J. Cooper, Ph.D., Institute of Infection and Immunity, St. George's University of London, Cranmer Terrace, London SW17 0RE, UK. E-mail: pcooper@sgul.ac.uk

This article has an online supplement, which is accessible from this issue's table of contents at [www.atsjournals.org](http://www.atsjournals.org).

Am J Respir Crit Care Med Vol 197, Iss 3, pp 364–372, Feb 1, 2018

Copyright © 2018 by the American Thoracic Society

Originally Published in Press as DOI: 10.1164/rccm.201706-1222OC on September 28, 2017

Internet address: [www.atsjournals.org](http://www.atsjournals.org)

## At a Glance Commentary

### Scientific Knowledge on the

**Subject:** Geohelminths have been suggested to protect against the development of atopy and asthma in childhood, but evidence for a causal link is limited by a lack of longitudinal studies from birth.

### What This Study Adds to the

**Field:** This study explored the effects of maternal geohelminths and the acquisition of geohelminth infections by the child during the first 3 years of life on the development of atopy and wheeze at 5 years of age. The study, the first adequately powered longitudinal study of childhood geohelminths to be done in an endemic region, showed that although children of mothers infected with geohelminths had more wheeze, childhood infections to 3 years of age reduced the risk of wheeze but no strong effects were seen on atopy. However, geohelminth effects were independent of parasite species and infection intensity. Although our data indicate a complex relationship between geohelminths and wheeze/asthma, the issue of causality remains inconclusive and there remains a need for further studies in endemic populations to explore possible causal mechanisms.

associated with the modulation of antiparasite T-helper cell type 2 responses (6). It has been suggested that the modulation of allergy by geohelminths, particularly in early life, may protect against the development of atopy and asthma (6, 7) and could explain the apparently low prevalence of allergic diseases in the rural tropics where such parasites are common.

Data from experimental animal models of allergy provide compelling evidence that helminths can mediate protection against allergy (8) but data from human populations are less clear (9). Although cross-sectional studies tend to show inverse associations between geohelminths and allergen skin prick test reactivity (SPT) (10), the relationship with allergic symptoms and asthma is uncertain (11–14) and may depend on age at first exposure,

the intensity of infection, and species of infecting parasites (6, 15). Randomized intervention studies of the effects of anthelmintic treatment on atopy in schoolchildren have shown inconsistent effects on atopy (16–19) but no effects on asthma (17–19). However, helminth-mediated suppression of allergy may not be alterable by anthelmintic treatments given at school-age and early-life exposures may be key for protection (20).

To test the hypothesis that early exposures to geohelminths, either *in utero* through an infected mother or early childhood, reduce atopy and asthma development in later childhood, we followed an Ecuadorean birth cohort in an area of high geohelminth endemicity. We have reported previously our findings on effects of maternal geohelminths on allergic outcomes at 3 years (21) and report here our observations on the effects of maternal and early childhood geohelminths on wheeze/asthma and SPT at 5 years. Some of the results of these studies have been previously reported in the form of an abstract (22).

## Methods

### Study Design, Setting, and Participants

A prospective study from birth was done in the District of Quinde in Esmeraldas Province, Ecuador, as described (23). Inclusion criteria to enter the cohort were: 1) healthy baby aged less than 2 weeks, 2) maternal stool sample from the mother, 3) mother of at least 17 years, 4) family resident in District of Quinde for at least 2 years, and 5) accessible household. Exclusions were a negative response to any of these criteria. The District serves a population of approximately 150,000 with limited access to basic services. The economy in the District is based on agricultural activities, primarily African palm oil. Neonates were recruited in Hospital “Padre Alberto Buffoni,” the public hospital that serves the District between November 2005 and December 2009. Follow-up evaluations to collect data on geohelminths and/or study outcomes were done at 13, 24, 36, and 60 months.

### Questionnaires

A questionnaire was used to collect data on sociodemographic factors, family history of

allergy, and home environment by interview of the child’s mother around the time of birth of the child. Questionnaires were repeated at 60 months to collect data on the allergic symptoms.

### Measurement of Geohelminth Infections

Stool samples to detect geohelminth infections were collected from mothers during the third trimester or immediately following birth of the child, and in children at 13, 24, and 36 months of age. Samples were examined using a combination of methods, including saline mounts, modified Kato-Katz, formol-ether concentration, and carbon-coproculture methods (24). A positive sample was defined by the presence of at least one egg or larva from any of the four detection methods. *Ascaris lumbricoides* and *Trichuris trichiura* infection intensities were expressed as eggs per gram of feces using results of Kato-Katz.

### Measurement of Wheeze and Asthma

Wheeze was defined as any episode of parentally reported wheeze during the previous 12 months and any wheeze as any episode of wheeze during the first 5 years of life. Asthma was defined as parentally reported wheeze during the previous 12 months plus one or both of parentally reported wheeze up to 3 years and a doctor diagnosis of asthma.

### Allergen Skin Prick Test Reactivity

Allergic sensitization was measured using SPTs with 10 allergen extracts (Greer Laboratories): house dust mites (*Dermatophagoides pteronyssinus*/*Dermatophagoides farinae* mix), American cockroach (*Periplaneta americana*), *Alternaria tenuis*, cat, dog, grass pollen (nine southern grass mix), fungi (new stock fungi mix), egg, milk, and peanut, with positive histamine and negative saline controls. A positive reaction was defined as a mean wheal diameter at least 3-mm greater than that elicited by the saline control 15 minutes after pricking the allergen onto the volar side of the forearm with ALK-Abello lancets (ALK-Abello). Positive SPT was defined as a positive reaction to any of the allergens tested.

### Statistical Analysis

We estimated that at least 1,725 children would be followed up at 5 years of age,

considering that approximately 50% of mothers would be infected with geohelminths and that 35% of children would have at least one documented geohelminth infection during early childhood giving the study greater than 80% power and a significance level of 0.05 to detect a difference in asthma prevalence of at least 6% (23). The primary analysis was the associations between maternal geohelminth infections and childhood geohelminths to 13, 24, or 36 months and the presence of wheeze, asthma, and SPT at 5 years of age. Secondary analyses addressed the effects of geohelminth species and infection intensities in mothers and children on the development of outcomes including subgroups of SPT to mite and perennial allergens. Univariable and multivariable logistic regression were used to estimate associations between geohelminths and study outcomes. Potential confounders considered in the analyses are shown in Table 1. Urban-rural residence was defined by geographic boundaries. A socioeconomic status index was created using principal components analysis of seven socioeconomic variables as described (21). Potential confounders in univariable analyses with  $P$  less than 0.20 were kept in the final models using the same set of confounders to adjust all models. All statistical analyses were done using Stata 11 (StataCorp).

### Ethical Considerations

The protocol was approved by the ethics committees of the Hospital Pedro Vicente Maldonado and Universidad San Francisco de Quito, Ecuador. The study is registered as an observational study (ISRCTN41239086). Informed written consent was obtained from the child's mother. Anthelmintic treatment (single dose of 400-mg albendazole) was provided to mothers with geohelminth infections after delivery. Children with positive stools for geohelminths were treated with single doses of anthelmintic drugs as recommended by the Ecuadorean Ministry of Public Health (25).

## Results

### Cohort Participants

A total of 4,712 newborns were evaluated of which 2,404 were recruited. Analyses at 5 years of age were done using data from

2,090 (86.9%) children for whom complete data were available (Figure 1). Children included in the analysis had older and more educated mothers and lived in wealthier households (see Table E1 in the online supplement) compared with those not included. Maternal infections with malaria, HIV, and other helminths were infrequent (<0.5%).

### Frequencies of Exposures and Outcomes

Geohelminth infections were observed in 45.5% of mothers during pregnancy and in 11.7%, 24.2%, and 34.1% of children to 13, 24, and 36 months of age, respectively. Prevalence of maternal infections with individual parasites was *A. lumbricoides* (27.4%), *T. trichiura* (28.4%), and hookworm (5.7%). Among children, most infections were with *A. lumbricoides*: to 13 months (*A. lumbricoides* 9.1%; *T. trichiura* 3.0%), to 24 months (*A. lumbricoides* 18.0%; *T. trichiura* 10.5%), and to 36 months (*A. lumbricoides* 25.9%; *T. trichiura* 16.7%). Infections with other helminth parasites were infrequent: hookworm (0.6%), *Strongyloides stercoralis* (0.6%), and *Hymenolepis* spp. (1.5%) at 36 months. Infection intensities were greatest at 36 months of age; geometric mean intensities among infected children were 2,009 eggs per gram for *A. lumbricoides* and 256 eggs per gram for *T. trichiura*. The prevalence of wheeze or asthma at 5 years of age was 12.6% and 5.7%, respectively. At least one episode of wheeze during the first 5 years of life was reported for 33.4% of children, whereas 5.4% had wheeze reported for two or more observation times (i.e., 13, 24, 36, and 60 mo). The prevalence of SPT at 5 years was 14.0%: *D. pteronyssinus*/*D. farinae* (8.2%), cockroach (4.3%), mixed fungi (0.6%), dog (1.1%), cat (0.3%), mixed grasses (1.5%), peanut (0.6%), milk (0.4%), and egg (0.4%). Prevalence of SPT to perennial allergens was 11.2%.

### Determinants of Wheeze, Asthma, and SPT

The distributions of demographic and confounding factors for wheeze, asthma, and SPT at 5 years of age are shown in Table 1. Univariable associations between exposures or potential confounders and outcomes are shown in Table 1 and adjusted analyses in Table 2. In adjusted analyses, maternal geohelminths were

significantly associated with increased risk of wheeze at 5 years (adjusted odds ratio [OR], 1.41) but not asthma or SPT. In univariable analyses, childhood geohelminths acquired during the first 36 months of life were not associated with study outcomes (Table 1), but after adjustment for confounders, significant inverse associations were observed for childhood infections acquired during the first 36 months of life and wheeze (OR, 0.70) or asthma (OR, 0.60) (Table 2). Maternal geohelminths, with which childhood geohelminths were strongly associated (OR, 2.8; 95% confidence interval [CI], 2.3–3.4), were a strong negative confounder; the childhood geohelminth effect was seen only after controlling for this variable. Childhood geohelminths to 13 or 24 months of age were not associated with outcomes (see Figure E1). Maternal or childhood geohelminth infections were not significantly associated with any wheeze episode reported during the first 5 years of life (maternal: adjusted OR, 1.22; 95% CI, 1.00–1.49) (childhood to 36 months: adjusted OR, 1.04; 95% CI, 0.84–1.28). With respect to other risk factors in the adjusted analysis (Table 2), pneumonia during the first 13 months was associated with wheeze (OR, 2.32); asthma was associated with having a younger mother ( $\geq 30$  yr vs.  $\leq 20$  yr; OR, 0.38), maternal allergy (OR, 2.61), infant pneumonia (OR, 4.71), but was less frequent among children with more educated mothers; and SPT was associated with rural residence (OR, 1.81).

When we explored possible associations of maternal and childhood geohelminths with SPT to house dust mite allergens, the dominant allergens in the population, and to perennial allergens, we observed a significant inverse association between childhood geohelminths and SPT to perennial allergens (OR, 0.70; 95% CI, 0.51–0.98;  $P = 0.035$ ). This effect was present even for infections acquired during the first 13 months (OR, 0.60; 95% CI, 0.36–1.01;  $P = 0.054$ ).

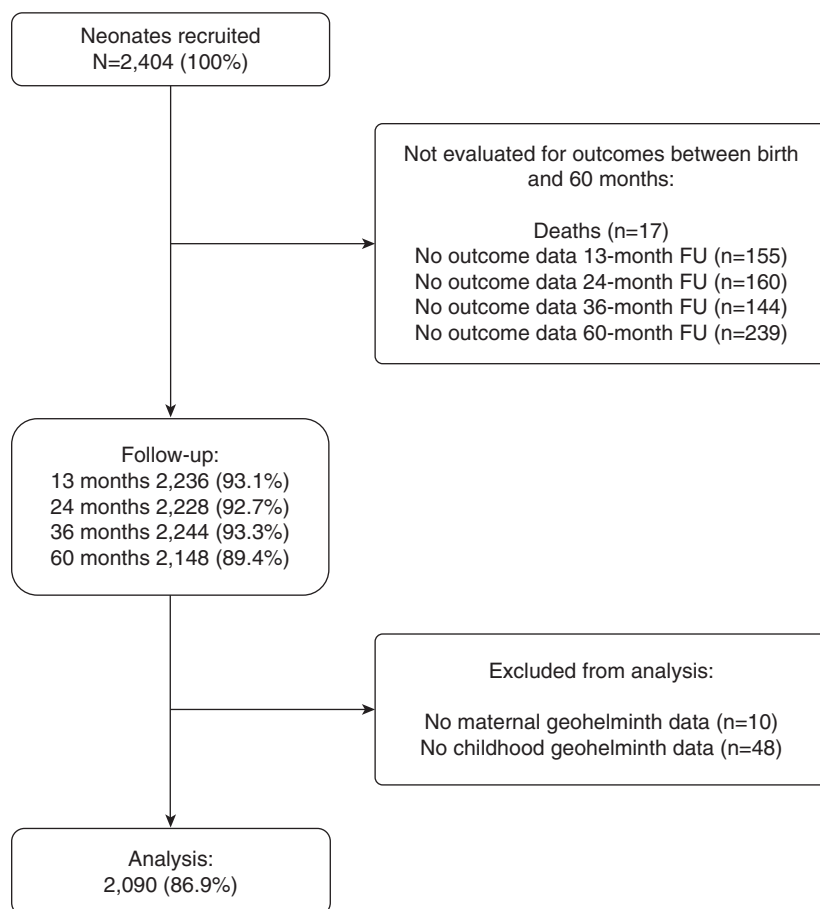
### Effects of Individual Geohelminth Parasites and Parasite Burdens on Wheeze/Asthma and SPT

We explored the effects of different geohelminth parasites and infection intensities on study outcomes. Univariable and adjusted analyses are shown in Tables

**Table 1.** Frequencies of Maternal and Childhood Geohelminth Infections to 36 Months of Age and Potential Confounders and Associations with Wheeze, Asthma, and SPT Reactivity to Any Allergen at 5 Years of Age

Variable	Wheeze			Asthma			SPT		
	%	OR (95% CI)	P Value	%	OR (95% CI)	P Value	%	OR (95% CI)	P Value
Any maternal geohelminth*									
No	1,140 (54.5)			5.1			13.7		
Yes	950 (45.5)		0.004	6.4	1.30 (0.90–1.89)	0.162	14.4	1.06 (0.83–1.36)	0.629
Any childhood geohelminths†									
No	1,377 (65.9)			6.2			14.9		
Yes	713 (34.1)		0.209	4.6	0.74 (0.49–1.11)	0.148	12.3	0.80 (0.62–1.05)	0.113
Maternal age, yr									
≤20	546 (26.1)			5.6			13.7		
21–29	1,005 (48.1)		0.140	6.9	1.24 (0.80–1.92)	0.331	14.0	1.02 (0.76–1.30)	0.873
≥30	539 (25.8)		0.757	3.3	0.57 (0.32–1.04)	0.067	14.3	1.05 (0.74–1.470)	0.794
Maternal ethnicity									
Afro-Ecuadorean	540 (25.8)			6.6			15.0		
Non-Afro-Ecuadorean	1,550 (74.2)		0.079	5.3	0.80 (0.53–1.18)	0.251	13.7	0.90 (0.68–1.18)	0.446
Maternal educational level									
Illiterate	308 (14.8)			7.1			11.4		
Complete primary	1,221 (58.4)		0.181	5.1	0.71 (0.43–1.17)	0.179	15.2	1.39 (0.95–2.05)	0.092
Complete secondary	561 (26.8)		0.172	6.0	0.84 (0.48–1.47)	0.541	13.0	1.17 (0.76–1.79)	0.481
Area of residence									
Urban	1,473 (70.5)			6.3			12.2		
Rural	617 (29.5)		0.379	4.0	0.63 (0.40–0.98)	0.039	18.3	1.61 (1.25–2.08)	<0.001
Sex									
M	1,063 (50.9)			5.9			13.9		
F	1,027 (49.1)		0.515	5.4	0.92 (0.63–1.33)	0.651	14.1	1.02 (0.97–1.30)	0.897
Socioeconomic status‡									
1	672 (32.1)			4.3			13.1		
2	704 (33.6)		0.665	5.8	1.37 (0.84–2.23)	0.205	15.1	1.18 (0.87–1.60)	0.296
3	714 (34.3)		0.558	6.8	1.62 (1.00–2.60)	0.052	13.9	1.07 (0.78–1.45)	0.675
Birth order									
1st	522 (25.0)			5.5			15.9		
2nd–4th	1,161 (55.4)		0.354	5.9	1.08 (0.69–1.69)	0.738	13.5	0.83 (0.62–1.10)	0.197
≥5th	407 (19.6)		0.802	5.1	0.92 (0.52–1.64)	0.777	13.0	0.79 (0.55–1.15)	0.219
Maternal allergy									
No	1,997 (95.3)			5.4			14.0		
Yes	98 (4.7)		0.260	12.2	2.46 (1.30–4.64)	0.005	14.3	1.03 (0.58–1.83)	0.928
Household overcrowding§									
≤3	1,180 (56.5)			5.1			14.2		
>3	910 (43.5)		0.148	6.3	1.25 (0.87–1.82)	0.230	13.9	0.97 (0.76–1.25)	0.841
Pets inside house									
No	1,561 (74.2)			5.2			13.8		
Yes	539 (25.8)		0.656	6.8	1.33 (0.89–1.98)	0.165	14.7	1.07 (0.81–1.42)	0.621
Large farm animals									
No	1,414 (67.7)			5.7			13.6		
Yes	676 (32.3)		0.103	5.5	0.95 (0.63–1.41)	0.785	14.9	1.18 (0.86–1.45)	0.402
Pneumonia to 13 mo									
No	1,919 (95.4)			5.1			14.7		
Yes	92 (4.6)		0.001	18.3	4.26 (2.42–7.49)	<0.001	7.61	0.48 (0.22–1.04)	0.064

Definition of abbreviations: CI = confidence interval; OR = odds ratio; SPT = skin prick test. SPT reactivity to any of 10 allergens. OR and 95% CI were estimated using logistic regression. Bold indicates  $P < 0.05$ . In maternal ethnicity, non-Afro-Ecuadorean represents 1,544 mestizo/six indigenous. Numbers of missing values (brackets) were: maternal allergy (13) and child geohelminth infections (27). \*Other helminths: *S. stercoralis*, 4.0%; *Hymenolepis* spp., 0.5%. †Other helminths: hookworm, 0.6%; *S. stercoralis*, 0.6%; *Hymenolepis* spp., 1.5%. ‡Socioeconomic status represents tertiles of z-scores obtained using a factor analysis with 1 representing the lowest and 3 the highest socioeconomic status. §Household overcrowding is defined as the number of people living in the household per sleeping room. ||Any of cows, pigs, mules, donkeys, and horses.



**Figure 1.** Participant flow through follow-up to 5 years of age and those included in and excluded from the analysis. FU = follow-up.

E2 and E3, respectively. No significant associations were observed between individual maternal geohelminth parasites and study outcomes. There was evidence for an increased risk of wheeze (OR, 1.44) in children whose mothers had light infection intensities with *A. lumbricoides* (see Table E3). Neither geohelminth species in children to 36 months nor parasite burdens at 36 months were significantly associated with study outcomes.

#### Effects of Infection Chronicity and Age of First Infection on Wheeze/Asthma and SPT

Chronic exposures to geohelminth infections were evaluated using two surrogate measures; as repeated infections in childhood (i.e., 0, 1, or  $\geq 2$  documented childhood infections with *Ascaris* and or *Trichuris* during the first 36 mo of life) or in a four-group analysis as combinations of maternal and childhood geohelminth infections (mother-/child-,

mother-/child+, mother+/child-, and mother+/child+). Repeated childhood infections had no significant effects on outcomes (data not shown). In the four-group adjusted analysis, maternal geohelminths in the absence of childhood infections were associated with an increased risk of wheeze (OR, 1.51; 95% CI, 1.08–2.10;  $P = 0.016$ ) and trends of increased risk for asthma (OR, 1.27; 95% CI, 0.79–2.03) and SPT (OR, 1.36; 95% CI, 0.99–1.87) were observed while the presence of childhood infections tended to attenuate such maternal effects (data not shown). The protective effect of childhood geohelminths against wheeze was strongest among children who acquired their first infection later in childhood (all adjusted analyses): first infection in first year versus never infected (OR, 0.86; 95% CI, 0.57–1.31); second versus never (OR, 0.68; 95% CI, 0.44–1.06); and third versus never (OR, 0.55; 95% CI, 0.33–0.93). A similar pattern was observed for asthma (third

vs. never: OR, 0.51; 95% CI, 0.24–1.09). However, for SPT strongest effects were observed for first infections acquired during the first year of life (first vs. never: OR, 0.66; 95% CI, 0.42–1.04).

#### Associations between Geohelminths and Asthma/Wheeze in Children with and without Atopy

SPT reactivity was associated with wheeze (adjusted OR, 1.97; 95% CI, 1.41–2.70) and asthma (adjusted OR, 1.97; 95% CI, 1.22–2.98). We explored the associations between maternal and childhood geohelminth infections to 36 months among children with and without atopy. Univariable and adjusted analyses children with and without atopy are shown in Table E4 and Table 3, respectively. In adjusted analyses, maternal helminths were positively (OR, 1.60) and childhood geohelminths negatively (OR, 0.60) associated with wheeze in children without atopy but no significant associations were seen for children with atopy. Childhood geohelminths were also inversely associated with asthma (OR, 0.52) among children without atopy.

#### Discussion

There is an unresolved debate about whether geohelminth infections protect against allergy and asthma in populations where these parasites are endemic. Such protection has important consequences for future risk of allergic diseases in populations where these infections are endemic but where improvements in hygiene and widespread use of anthelmintic drugs are expected to reduce parasite prevalence substantially. The effects of geohelminth infections on allergy, in common with other protective environmental exposures, such as pets and farming, are considered to be greatest when occurring in early life (20, 26). Here, we analyzed data from a birth cohort done in a rural district of tropical Ecuador with a high prevalence of geohelminth parasites, mainly *A. lumbricoides* and *T. trichiura*. Our data show that exposures to maternal infections and infections acquired during the first 3 years have contrasting effects on wheeze/asthma at 5 years. Exposures during the first 3 years, particularly when acquired for the first time at 3 years, seemed to provide protection against wheeze/asthma, whereas maternal

**Table 2.** Adjusted Analyses for Associations between Maternal and Childhood Geohelminth Infections to 36 Months of Age or Potential Confounders and Associations with Wheeze, Asthma, and SPT Reactivity to Any Allergen at 5 Years of Age

Variable	Wheeze		Asthma		SPT	
	OR (95% CI)	P Value	OR (95% CI)	P Value	OR (95% CI)	P Value
Any maternal geohelminth						
No	1		1		1	
Yes	<b>1.41 (1.06–1.88)</b>	0.017	1.28 (0.85–1.94)	0.238	1.17 (0.89–1.53)	0.262
Any childhood geohelminths						
No	1		1		1	
Yes	<b>0.70 (0.51–0.95)</b>	0.021	<b>0.60 (0.38–0.95)</b>	0.029	0.79 (0.59–1.06)	0.120
Maternal age, yr						
≤20	1		1		1	
21–29	1.16 (0.82–1.68)	0.431	0.86 (0.52–1.45)	0.577	1.25 (0.88–1.78)	0.218
≥30	0.86 (0.53–1.40)	0.547	<b>0.38 (0.18–0.79)</b>	0.010	1.37 (0.87–2.17)	0.172
Maternal ethnicity						
Afro-Ecuadorean	1		1		1	
Non-Afro-Ecuadorean	0.76 (0.56–1.03)	0.074	0.80 (0.52–1.24)	0.321	0.80 (0.59–1.07)	0.136
Maternal educational level						
Illiterate	1		1		1	
Complete primary	0.78 (0.52–1.16)	0.223	<b>0.55 (0.31–0.97)</b>	0.039	1.40 (0.92–2.13)	0.121
Complete secondary	0.72 (0.44–1.19)	0.200	0.57 (0.29–1.15)	0.118	1.15 (0.69–1.91)	0.601
Area of residence						
Urban	1		1		1	
Rural	0.84 (0.60–1.16)	0.286	0.66 (0.40–1.09)	0.106	<b>1.81 (1.35–2.43)</b>	<0.001
Sex						
M	1		1		1	
F	1.14 (0.87–1.49)	0.349	0.97 (0.66–1.43)	0.874	1.01 (0.78–1.30)	0.941
Socioeconomic status*						
1	1		1		1	
2	1.18 (0.84–1.66)	0.336	1.53 (0.92–2.56)	0.104	1.31 (0.95–1.80)	0.101
3	1.20 (0.83–1.74)	0.339	1.64 (0.94–2.84)	0.081	1.23 (0.86–1.76)	0.249
Birth order						
1st	1		1		1	
2nd–4th	1.15 (0.78–1.69)	0.487	1.43 (0.82–2.49)	0.206	0.72 (0.50–1.02)	0.068
≥5th	0.92 (0.52–1.64)	0.779	1.46 (0.64–3.32)	0.372	0.62 (0.36–1.07)	0.086
Maternal allergy						
No	1		1		1	
Yes	1.37 (0.78–2.43)	0.273	<b>2.61 (1.33–5.12)</b>	0.005	1.00 (0.55–1.82)	0.992
Household overcrowding <sup>†</sup>						
≤3	1		1		1	
>3	1.21 (0.90–1.62)	0.212	1.24 (0.81–1.90)	0.331	1.10 (0.83–1.46)	0.505
Pets inside house						
No	1		1		1	
Yes	1.01 (0.74–1.37)	0.974	1.26 (0.82–1.93)	0.300	1.06 (0.79–1.41)	0.715
Large farm animals <sup>‡</sup>						
No	1		1		1	
Yes	1.29 (0.96–1.73)	0.093	1.00 (0.65–1.54)	0.990	0.97 (0.73–1.29)	0.822
Pneumonia to 13 mo						
No	1		1		1	
Yes	<b>2.32 (1.39–3.87)</b>	0.001	<b>4.71 (2.60–8.54)</b>	<0.001	0.46 (0.21–1.02)	0.055

Definition of abbreviations: CI = confidence interval; OR = odds ratio; SPT = skin prick test.

SPT reactivity to any of 10 allergens. OR and 95% CI were estimated using logistic regression and adjusted for all variables. Bold indicates  $P < 0.05$ .

\*Socioeconomic status represents tertiles of z-scores obtained using a factor analysis with 1 representing the lowest and 3 the highest socioeconomic status.

<sup>†</sup>Household overcrowding is defined as the number of people living in the household per sleeping room.

<sup>‡</sup>Any of cows, pigs, mules, donkeys, and horses.

infections were associated with an increased risk of wheeze, an effect that was attenuated by childhood infections.

Few prospective studies have examined the effects of geohelminths on allergy in early childhood and, to our knowledge, none have been able to address adequately effects

on wheeze and asthma. Previous studies include: 1) a birth cohort in Ethiopia where the prevalence of geohelminths (<4%) was considered to be too low to explore effects on wheeze and eczema to 5 years (27); 2) an observational analysis within a randomized-controlled trial of anthelmintic

treatment during pregnancy that showed that maternal and childhood hookworm and childhood *T. trichiura* were associated with a reduced risk of eczema to 5 years (28); 3) a prospective study in Brazil that showed that *T. trichiura* infections, particularly at high parasite burdens,

**Table 3.** Adjusted Analyses for Associations between Geohelminth Infections to 36 Months and Asthma/Wheeze at 5 Years in Children with and without Atopy

Variable	SPT– (n = 1,797)				SPT+ (n = 293)			
	N	n (%)	OR (95% CI)	P Value	N	n (%)	OR (95% CI)	P Value
<b>Wheeze</b>								
Maternal geohelminths								
No	984	92 (9.4)	1	0.005	156	30 (19.2)	1	0.396
Yes	813	113 (13.9)	<b>1.60 (1.16–2.20)</b>		137	29 (21.2)	0.75 (0.38–1.47)	
Childhood geohelminths								
No	1,172	145 (12.4)	1	0.005	205	38 (18.5)	1	0.351
Yes	625	60 (9.6)	<b>0.60 (0.43–0.86)</b>		88	21 (23.9)	1.38 (0.70–2.71)	
<b>Asthma</b>								
Maternal geohelminths								
No	984	44 (4.5)	1	0.369	156	13 (8.3)	1	0.292
Yes	813	47 (5.8)	1.24 (0.78–1.98)		137	14 (10.2)	1.68 (0.64–4.41)	
Childhood geohelminths								
No	1,172	67 (5.7)	1	0.014	205	18 (8.8)	1	0.620
Yes	625	24 (3.8)	<b>0.52 (0.31–0.87)</b>		88	9 (10.2)	1.27 (0.49–3.28)	

*Definition of abbreviations:* CI = confidence interval; OR = odds ratio; SPT = skin prick test; SPT– = no allergen skin prick test reactivity; SPT+ = allergen skin test reactivity to any of 10 allergens.

OR and 95% CI were estimated using logistic regression and adjusted for maternal age, ethnicity, and educational status; area of residence; sex; socioeconomic status; birth order; maternal allergy; household overcrowding; pets inside the house; contact with large farm animals; and pneumonia to 13 months. Bold indicates  $P < 0.05$ .

during the first 5 years of life were associated with a reduced risk of SPT in later childhood (29); and 4) a previous analysis of this Ecuadorean cohort to 3 years that showed no significant effects of maternal infections on allergic outcomes (21).

None of our observed effects were explained by specific geohelminth species or parasite burdens. However, relatively few mothers had heavy parasite burdens with *A. lumbricoides* (5.0%) and *T. trichiura* (4.0%) limiting the power of this analysis to show effects at high parasite burdens. Similarly, few children at 3 years of age had heavy infection intensities ( $\leq 5.0\%$ ) with either parasite. The use of adult infection intensity categories for small children may not be appropriate given that even small parasite burdens might be expected to have comparatively greater effects on growth and the maturing immune response. However, categorization of intensities using the geometric mean as cutoff (29) did not affect the results. Repeated treatments for positive stool samples in this study and anthelmintic treatments obtained from other sources are likely to have affected the parasite burdens acquired by 3 years of age and blunted any atopy or wheeze modulating effect of chronic infections.

Previous studies have shown differing effects of *A. lumbricoides* infections on

wheeze/asthma depending on age of study population and prevalence. A study of 1 to 4 year olds in Ethiopia with a high prevalence of geohelminths showed an inverse association of wheeze with *A. lumbricoides* (12). However, in older individuals, *A. lumbricoides* infection or *Ascaris* sensitization was associated with asthma (11, 15, 30) or bronchial hyperresponsiveness (30, 31), an effect generally observed in areas of low prevalence (11, 14, 30). Both effects were largely independent of SPT (11, 12, 31) with stronger effects in those without atopy (32). Hookworm infection, which has been associated inversely with asthma symptoms in previous studies (15), was of low prevalence (5.7%) in study mothers, limiting our ability to infer hookworm-specific effects. *T. trichiura* infection has also been associated with increased asthma (33), although most studies showed no effect (13, 23, 31, 32, 34).

So how can we interpret our findings in the context of these studies? As for the previous Ethiopian study of young children (12), childhood infections with geohelminths over the first 3 years in this study were associated with a reduced risk of wheeze. It is not clear why such an effect only emerged at 3 years and is inconsistent with a conceptual model of early critical exposures (7). All documented geohelminth

infections in children were treated and these repeated and abbreviated infections acquired before 3 years may have had less impact on the host immune response than those detected later. The dominant protective effect of childhood geohelminths against wheeze was in children without atopy, the dominant phenotype in nonaffluent countries (14, 34, 35). Mechanisms by which environmental exposures reduce nonatopic asthma may include hyporesponsiveness to migratory larvae, contributing to the development of more robust antiinflammatory mechanisms in the lungs and a reduction in bronchial hyperresponsiveness (14). Geohelminths have been associated with the induction of immune hyporesponsiveness, an effect mediated by the increased expression of inhibitory CTLA-4 on CD4<sup>+</sup> T cells (36).

In contrast, we observed a greater risk of wheeze/asthma among children of infected mothers. We have shown previously in this cohort that maternal ascariasis is associated with increased immune responsiveness to *Ascaris* antigens in newborns (37). Thus, not only may *A. lumbricoides* infections in older children and adults increase wheeze/asthma (11, 14, 30, 31), but this effect may be transmitted from mother to child through *in utero* sensitization to *Ascaris* antigens. Contrasting effects of geohelminths on

wheeze/asthma in younger versus older subjects may depend on history of geohelminth exposures and type of inflammatory lung response. Earlier infections may modulate antiparasite inflammatory responses in highly endemic populations (7) allowing protective effects against wheeze/asthma to appear. Protective immunity to helminth parasites is age-dependent and nonsterile in endemic populations (38). With continued exposures and immune maturation (e.g., around school age), more effective antiparasite (38, 39) but heightened inflammatory responses may emerge. Such responses when transmitted by infected mothers to their infants may be downregulated when early geohelminth exposures are sufficient.

Suppression of the antiparasite response in early childhood is unlikely to occur in populations with a low prevalence and older age of first infection. This, however, does not explain the lack of parasite-specific effects on wheeze/asthma. Effects on wheeze are most consistent and biologically plausible for *A. lumbricoides* given that *T. trichiura* is restricted to the intestinal lumen. Lack of parasite-specific

effects could be explained by differential therapeutic efficacy of the anthelmintic used, albendazole, which is highly efficacious against *A. lumbricoides* (i.e., cure rates of >95%) but of limited efficacy against *T. trichiura* (<50%) (40). Such differential efficacy may have obscured parasite-specific effects. Certainly, these observations require replication in other studies.

Strengths of the study were the prospective design and high rates of follow-up as was the use of standardized instruments and study procedures to measure exposures and outcomes. Outcomes and geohelminth exposures were measured using standardized methods by experienced staff, all blind to exposure and outcome data, respectively. Detailed information on potential confounding factors were collected and controlled for, where appropriate, although we cannot exclude residual confounding by unknown or unmeasured factors. Potential limitations were: 1) use of maternal questionnaires to measure wheeze and asthma outcomes at 5 years could have led to misclassification, 2) the study had limited power for analysis of subgroup effects, and 3) lack of longitudinal

data for geohelminths among mothers limits our ability to infer longevity of infection.

In conclusion, we report the effects of maternal and childhood infections with geohelminths on the development of SPT and wheeze/asthma by 5 years of age in a birth cohort from rural Ecuador. Maternal and childhood infections to 3 years had contrasting effects on the risk of wheeze/asthma with maternal geohelminths increasing but childhood infections decreasing the risk, but only among children without atopy. Further follow-up of the cohort will clarify whether such effects are transient or longer lasting. ■

**Author disclosures** are available with the text of this article at [www.atsjournals.org](http://www.atsjournals.org).

**Acknowledgment:** The authors thank the ECUAVIDA study team for their dedicated work and the cohort mothers and children for their enthusiastic participation. They also thank the director/s and staff of the Hospital "Padre Alberto Buffoni" in Quinindé, Esmeraldas Province for their support. The study forms part of the SCAALA (Social Changes, Asthma and Allergies in Latin America) program of research.

## References

- Global asthma report 2014 [accessed 2016 Aug 30]. Available from: <http://www.globalasthmareport.org/burden/burden.php>.
- Eder W, Ege MJ, von Mutius E. The asthma epidemic. *N Engl J Med* 2006;355:2226–2235.
- Cooper PJ, Rodrigues LC, Cruz AA, Barreto ML. Asthma in Latin America: a public health challenge and research opportunity. *Allergy* 2009;64:5–17.
- Liu AH. Revisiting the hygiene hypothesis for allergy and asthma. *J Allergy Clin Immunol* 2015;136:860–865.
- Pullan RL, Smith JL, Jasrasaria R, Brooker SJ. Global numbers of infection and disease burden of soil transmitted helminth infections in 2010. *Parasit Vectors* 2014;7:37.
- Wammes LJ, Mpairwe H, Elliott AM, Yazdanbakhsh M. Helminth therapy or elimination: epidemiological, immunological, and clinical considerations. *Lancet Infect Dis* 2014;14:S1473–S3099.
- Cooper PJ, Barreto ML, Rodrigues LC. Human allergy and geohelminth infections: a review of the literature and a proposed conceptual model to guide the investigation of possible causal associations. *Br Med Bull* 2006;79-80:203–218.
- Maizels RM. Parasitic helminth infections and the control of human allergic and autoimmune disorders. *Clin Microbiol Infect* 2016;22: 481–486.
- Santiago HC, Nutman TB. Human helminths and allergic disease: the hygiene hypothesis and beyond. *Am J Trop Med Hyg* 2016;95: 746–753.
- Feary J, Britton J, Leonardi-Bee J. Atopy and current intestinal parasite infection: a systematic review and meta-analysis. *Allergy* 2011;66: 569–578.
- Palmer LJ, Celedón JC, Weiss ST, Wang B, Fang Z, Xu X. *Ascaris lumbricoides* infection is associated with increased risk of childhood asthma and atopy in rural China. *Am J Respir Crit Care Med* 2002; 165:1489–1493.
- Dagoye D, Bekele Z, Woldemichael K, Nida H, Yimam M, Hall A, et al. Wheezing, allergy, and parasite infection in children in urban and rural Ethiopia. *Am J Respir Crit Care Med* 2003;167: 1369–1373.
- Cooper PJ, Chico ME, Bland M, Griffin GE, Nutman TB. Allergic symptoms, atopy, and geohelminth infections in a rural area of Ecuador. *Am J Respir Crit Care Med* 2003;168:313–317.
- da Silva ER, Sly PD, de Pereira MU, Pinto LA, Jones MH, Pitrez PM, et al. Intestinal helminth infestation is associated with increased bronchial responsiveness in children. *Pediatr Pulmonol* 2008;43: 662–665.
- Leonardi-Bee J, Pritchard D, Britton J. Asthma and current intestinal parasite infection: systematic review and meta-analysis. *Am J Respir Crit Care Med* 2006;174:514–523.
- van den Biggelaar AH, Rodrigues LC, van Ree R, van der Zee JS, Hoeksma-Kruize YC, Souverein JH, et al. Long-term treatment of intestinal helminths increases mite skin-test reactivity in Gabonese schoolchildren. *J Infect Dis* 2004;189:892–900.
- Flohr C, Tuyen LN, Quinnell RJ, Lewis S, Minh TT, Campbell J, et al. Reduced helminth burden increases allergen skin sensitization but not clinical allergy: a randomized, double-blind, placebo-controlled trial in Vietnam. *Clin Exp Allergy* 2010;40:131–142.
- Cooper PJ, Chico ME, Vaca MG, Moncayo AL, Bland JM, Mafía E, et al. Effect of albendazole treatments on the prevalence of atopy in children living in communities endemic for geohelminth parasites: a cluster-randomised trial. *Lancet* 2006;367:1598–1603.
- Wiria AE, Hamid F, Wammes LJ, Kaisar MM, May L, Prasetyani MA, et al. The effect of three-monthly albendazole treatment on malarial parasitemia and allergy: a household-based cluster-randomized, double-blind, placebo-controlled trial. *PLoS One* 2013;8:e57899.
- Wlasiuk G, Vercelli D. The farm effect, or: when, what and how a farming environment protects from asthma and allergic disease. *Curr Opin Allergy Clin Immunol* 2012;12:461–466.



21. Cooper PJ, Chico ME, Amorim LD, Sandoval C, Vaca M, Strina A, *et al.* Effects of maternal geohelminth infections on allergy in early childhood. *J Allergy Clin Immunol* 2016;137:899–906.e2.
22. Cooper PJ, Chico M, Vaca M, Sandoval C, Loo S, Rodrigues LC, *et al.* Effects of early life exposures to geohelminths on atopy and wheeze in children. Presented at the 65th American Society of Tropical Medicine and Hygiene Annual Meeting. November 14–17, 2016, Atlanta, GA. Abstract 5248.
23. Cooper PJ, Chico ME, Guadalupe I, Sandoval CA, Mitre E, Platts-Mills TA, *et al.* Impact of early life exposures to geohelminth infections on the development of vaccine immunity, allergic sensitization, and allergic inflammatory diseases in children living in tropical Ecuador: the ECUAVIDA birth cohort study. *BMC Infect Dis* 2011;11:184.
24. World Health Organization. Diagnostic techniques for intestinal parasitic infections (IPI) applicable to primary health care (PHC) services. Geneva: World Health Organization; 1985.
25. Calvopiña M. Terapéutica antiparasitaria, 2nd ed. Ministerio de Salud Pública del Ecuador: Quito, Ecuador; 1997.
26. Schaub B, Vercelli D. Environmental protection from allergic diseases: from humans to mice and back. *Curr Opin Immunol* 2015;36:88–93.
27. Amberbir A, Medhin G, Alem A, Britton J, Davey G, Venn A. The role of acetaminophen and geohelminth infection on the incidence of wheeze and eczema: a longitudinal birth-cohort study. *Am J Respir Crit Care Med* 2011;183:165–170.
28. Mpairwe H, Ndibazza J, Webb EL, Nampijja M, Muhangi L, Apule B, *et al.* Maternal hookworm modifies risk factors for childhood eczema: results from a birth cohort in Uganda. *Pediatr Allergy Immunol* 2014; 25:481–488.
29. Rodrigues LC, Newcombe PJ, Cunha SS, Alcántara-Neves NM, Genser B, Cruz AA, *et al.*; Social Change, Asthma and Allergy in Latin America. Early infection with *Trichuris trichiura* and allergen skin test reactivity in later childhood. *Clin Exp Allergy* 2008;38:1769–1777.
30. Hunninghake GM, Soto-Quiros ME, Avila L, Ly NP, Liang C, Sylvia JS, *et al.* Sensitization to *Ascaris lumbricoides* and severity of childhood asthma in Costa Rica. *J Allergy Clin Immunol* 2007;119:654–661.
31. Calvert J, Burney P. Ascaris, atopy, and exercise-induced bronchoconstriction in rural and urban South African children. *J Allergy Clin Immunol* 2010;125:100–5.e1, 5.
32. Pereira MU, Sly PD, Pitrez PM, Jones MH, Escouto D, Dias AC, *et al.* Nonatopic asthma is associated with helminth infections and bronchiolitis in poor children. *Eur Respir J* 2007;29: 1154–1160.
33. Alcántara-Neves NM, Badaró SJ, dos Santos MC, Pontes-de-Carvalho L, Barreto ML. The presence of serum anti-*Ascaris lumbricoides* IgE antibodies and of *Trichuris trichiura* infection are risk factors for wheezing and/or atopy in preschool-aged Brazilian children. *Respir Res* 2010;11:114.
34. Cooper PJ, Vaca M, Rodriguez A, Chico ME, Santos DN, Rodrigues LC, *et al.* Hygiene, atopy and wheeze-eczema-rhinitis symptoms in schoolchildren from urban and rural Ecuador. *Thorax* 2014;69: 232–239.
35. Weinmayr G, Weiland SK, Björkstén B, Brunekreef B, Büchele G, Cookson WO, *et al.*; ISAAC Phase Two Study Group. Atopic sensitization and the international variation of asthma symptom prevalence in children. *Am J Respir Crit Care Med* 2007;176: 565–574.
36. Wammes LJ, Hamid F, Wiria AE, May L, Kaisar MM, Prasetyani-Gieseler MA, *et al.* Community deworming alleviates geohelminth-induced immune hyporesponsiveness. *Proc Natl Acad Sci USA* 2016;113:12526–12531.
37. Guadalupe I, Mitre E, Benitez S, Chico ME, Nutman TB, Cooper PJ. Evidence for in utero sensitization to *Ascaris lumbricoides* in newborns of mothers with ascariasis. *J Infect Dis* 2009;199: 1846–1850.
38. Woolhouse ME, Taylor P, Matanhire D, Chandiwana SK. Acquired immunity and epidemiology of *Schistosoma haematobium*. *Nature* 1991;351:757–759.
39. Turner JD, Faulkner H, Kamgno J, Cormont F, Van Snick J, Else KJ, *et al.* Th2 cytokines are associated with reduced worm burdens in a human intestinal helminth infection. *J Infect Dis* 2003;188: 1768–1775.
40. Vercruyse J, Behnke JM, Albonico M, Ame SM, Angebault C, Bethony JM, *et al.* Assessment of the anthelmintic efficacy of albendazole in school children in seven countries where soil-transmitted helminths are endemic. *PLoS Negl Trop Dis* 2011;5:e948.