Historical review of clinical vaccine studies at Oswaldo Cruz Institute and Oswaldo Cruz Foundation - technological development issues

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This paper presents, from the perspective of technological development and production, the results of an investigation examining 61 clinical studies with vaccines conducted in Brazil between 1938-2013, with the participation of the Oswaldo Cruz Institute (IOC) and the Oswaldo Cruz Foundation (Fiocruz). These studies have been identified and reviewed according to criteria, such as the kind of vaccine (viral, bacterial, parasitic), their rationale, design and methodological strategies. The results indicate that IOC and Fiocruz have accumulated along this time significant knowledge and experience for the performance of studies in all clinical phases and are prepared for the development of new vaccines products and processes. We recommend national policy strategies to overcome existing regulatory and financing constraints.

Key words: clinical studies - vaccines - technological development - innovation - regulatory barriers

Clinical studies are crucial for the development and registration of new products and constitute today a structured process, mandated by strict legislation involving a growing number of participants, in a stepwise strategy.

The Oswaldo Cruz Institute (IOC) and the other technical units which constitute the Oswaldo Cruz Foundation (Fiocruz) are recognised as very important institutions for basic science and biological and technological research on tropical diseases in Brazil. These institutions have a long tradition of clinical studies which have proven to be vitally connected to the prevention of infectious diseases of public health importance for Brazil and other countries. In this paper, we review and analyse these studies, occurring over a period exceeding seven decades, from the perspective of technological development (TD). The understanding, in a historical sense, of the evolutionary stages of these clinical studies will hopefully provide a better understanding of the processes that were involved and may help policy and decision-makers to conceive of new alternatives and create possibilities for the design of new studies in the future.

MATERIALS AND METHODS

For the selection of the clinical studies we adopted the following criteria for inclusion: (i) studies conducted in human beings; (ii) prospective; (iii) vaccination as the basic intervention; (iv) longitudinal and individual follow-up of participants; (v) published in scientific medical journals; (vi) conceived and conducted according to ethical and legal criteria for clinical research in human beings, with tolerance to the absence of formal ethical and regulatory evaluations regarding the older studies; (vii) conducted with participation of at least one unit or professional of Fiocruz/IOC.

These restrictive criteria, besides being conceptually acceptable, met the requirement to limit the scope of the research within an acceptable range. Studies which did not meet these criteria were excluded. These included retrospective studies, clinical-epidemiological studies, seroepidemiological studies, pharmacovigilance studies and observational studies. Although the latter did not fit into the classical model of clinical studies, some of them could be classified as clinical studies, in a broader sense definition.

For studies conducted at the origin of IOC, which are outstanding and part of its history, these criteria were not strictly applied, which is justifiable, considering that the legislation on clinical studies came later. However, if they are not formally perfect, they have been conducted ethically, with the best science and methodology available at the time.

The search for papers was done by databases, including PubMed, from the National Center for Biotechnology Information, National Institutes of Health, United States of America, and LILACS, the Latin-American database from BIREME-Regional Library of Medicine, from Pan American Health Organization (PAHO)/World Health Organization (WHO). However, most papers were found through personal archives, consultations with colleagues, some reference books on the history of vaccines (Benchimol 2001, Artenstein 2010, Plotkin 2011) and other means, in a process with considerable degree of serendipity in chance encounters.

To recover original papers, we used, besides PubMed and LILACS, the SciELO database, Capes Periodicals Gateway and the libraries of the National School of Public Health, Bio-Manguinhos/Fiocruz and Mourisco Castle. Photocopies were also obtained from the Hinari Programme, from WHO and Oxford Journals.

We use terms "clinical trial" and "clinical study" interchangeably.

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TABLEI

Synopsis of studies with yellow fever (YF) vaccine in chronological order of publication

Reference	Rationale of the investigation	Comments
Soper and Smith (1938)	Use of substrain 17E in human beings with human immune serum and Reports of icterus and serum reactions hyperimmune serum from goats and monkeys.	Reports of icterus and serum reactions.
Smith et al. (1938)	Large scale vaccination with substrain 17D without animal serum.	Establishment of YF vaccine production at Oswaldo Cruz Institute.
Fox et al. (1942a)	Investigate icterus outbreak after YF vaccination.	Recommendation for elimination of human serum from YF vaccine production and establishment of seed lot system.
Fox et al. (1942b)	Investigate outbreak of encephalitis after vaccination with substrain 17D-NY 104.	Suspension of use of substrain 17D-NY 104.
Fox and Cabral	Duration of immunity after YF vaccine, with several substrains and in several	Immunity persists after YF vaccine during at least four years in adults, lower
(1943) Fox et al	age groups. Choice of a new seed lot dose-response alternatives for vaccine administration	immune responses in children less than 10 years of age. This study was done before a previous study (Fox et al. 1942a), but mublished
(1943)	(subcutaneous, intramuscular, intradermal).	later. Human serum still used on the vaccine. A new seed lot was chosen, the substrain 17D-NY 104, that proved later to be neurotropic.
Fox et al. (1948)	Additional investigations on duration of immunity after YF vaccine.	Additional indications of lower seroprotection and duration of immunity in children.
Groot and Ribeiro (1962)	Long term indications of seroprotection for 17 years.	This study was done in a region previously vaccinated with the 17D-NY 104 substrain.
Lopes et al. (1988)	Dose-response study.	A dose of 1000 plaque forming units (or its equivalent in LD_{50}) is more than enough for protection against YF.
Stefano et al. (1999)	Investigate the interference between measles vaccine and YF vaccine.	There is no interference between measles vaccine and YF vaccine.
Freire et al.	Investigate immune response and duration of immunity after YF vaccine 17DD	High levels of antibodies before YF vaccine inhibit the immune response. Per-
(2002)	at egg-passage 43.	sistence of immunity at 10 years after YF vaccination is questioned.
Camacho et al. (2004)	Immunogenicity of vaccine from new seed lot (17DD-013Z) compared to previous seed lot (17DD-102/84), World Health Organization (WHO) vaccine (17D-213/77) and placebo.	Advances on methodology and adherence to National Health Council norms. The new seed lot has adequate immunogenicity.
dos Santos et al.	Interspecific and specific immune response in vaccinated and revaccinated	YF vaccine induces immune response with activation and memory of humoral
(2002)		and cellular arms.
Camacho et al. (2005)	Reactogenicity of vaccine produced with new seed lot compared to previous seed lot (17DD-102/84), WHO vaccine (17D-213/77) and placebo.	I he new seed lot is safe.
dos Santos et al. (2007)	Study of lymphocyte subpopulations according to the time of processing of blood samples.	Flow cytometry by the lysis method may be done at times 0, 24 or 48 h after blood collection.
Martins et al. (2007)	Study of lymphocyte subpopulations in 10 healthy adults primovaccinated with 17DD vaccine.	The immune response is complex, activation and modulation seem to occur at the same time.
Santos et al. (2008)	T helper (TH)1/TH2 cells immune responses in 12 healthy adults vaccinated against YF.	After peripheral blood mononuclear cells ex vivo stimulation with 17DD vaccine, there is increase in interferon (IFN)- γ and interleukin-4, reaching maximum levels 15 days after vaccination.
Martins et al. (2008a)	Phenotypical response of innate immunity in 10 adults after YF vaccine.	There is a balanced response of activation and modulation, studied in neutrophils, eosinophils, monocytes, natural killer (NK) and NKT cells.

Reference	Rationale of the investigation	Comments
Neves et al. (2009)	Expression of toll-like receptor (TLR) and activation of NK cells in eight healthy adults vaccinated against YF.	activation of NK cells in eight healthy NK cells are activated soon after vaccination against ΥF. IFN-γ is increased on day 15 after vaccination.
Melo et al. (2011)	Duration of immunity after YF vaccine.	17DD vaccine protects for at least 10 years, but with decreasing titres. Small sample.
Silva et al. (2011)	Investigate the interference between YF vaccine and measles-mumps-rubella Negative and reciprocal interference of YF, rubella and mumps antigens. (MMR) vaccine when applied simultaneously.	Vegative and reciprocal interference of YF, rubella and mumps antigens.
Luiza-Silva et al. (2011a)	Cytokines in children from nine-43 months of age vaccinated against YF.	Cytokine signatures show proinflammatory cytokines on subjects who sero-convert (SC) to YF vaccine and regulatory on non SCs. Revaccinated one year later, there was SC of previously non SCs with a proinflammatory pattern.
Luiza-Silva et al. (2011b)	Cellular sources of cytokines in 10 healthy adult vaccinated against YF.	Pattern of activation and modulation. Production of IFN- γ on day 7 by NK cells and on days 15 and 30 by T CD4* (TH) cells.
Campi-Azevedo et al. (2012)	Cytokine profile in 80 children from nine-12 months of age, vaccinated with The YF reference vaccine from WHO has a immune response similar to the YF 17DD or 17D-213/77.	The YF reference vaccine from WHO has a immune response similar to the 7DD vaccine from Bio-Manguinhos/Oswaldo Cruz Foundation.
Martins et al. (2013b)	Dose-response to YF vaccine in healthy young male adults.	YF vaccine from Bio-Manguinhos is so immunogenic in doses \geq 587 IU as in the usual dose of about 27,476 IU.
Melo et al. (2013)	Memory after YF vaccine. Blood collected before vaccination two months and YF promiscuous antigens may be better immunogens. four years after vaccination.	YF promiscuous antigens may be better immunogens.

The period of study extended up to the year 2013 (Martins 2014).

RESULTS

Tables HV show, for each study, its rationale and its basic findings. Table V shows the number of clinical studies by kind of vaccine (viral, bacterial or parasitic) and its utilisation [commercial or by the National Immunisations Program (NIP)].

DISCUSSION

The clinical studies with vaccines under the scope of IOC and Fiocruz, besides their relevance to the public health of Brazil and many other developing countries, have contributed to the institutional TD.

These technical advances built institutional knowledge and skills in vaccine thermostability, new freezedrying formulation, use of certified inputs, improvement of quality control methodologies and the skills to incorporate new products through technology transfers, which resulted in scientific breakthroughs and have been landmarks of Fiocruz history.

Examples of tech transfer include the yellow fever (YF) vaccine (Rockefeller Institute), the polysaccharidic AC meningococcal vaccine (Institut Mérieux), the poliomyelitis and measles vaccines (BIKEN Institute), the Haemophilus influenzae Type b (Hib) vaccine, measles/ mumps/rubella (MMR) and rotavirus vaccines [GlaxoSmithKline (GSK)] and shortly the measles/mumps/rubella/varicella (MMRV) vaccine (also with GSK). Besides leading to the introduction of new vaccines into the NIP, in a relatively short time, technology transfers of these vaccines have made possible the creation, expansion and improvement of new platforms, production and laboratories and the creation of a qualified workforce that is now a most valuable asset of Fiocruz. The positive consequences of these innovative processes have been outstanding and should not be minimised. Moreover, transfer of technologies has been feasible because of the intrinsic capacity of the institution for absorbing, in a relatively short span of time, the newly involved technologies.

The clinical studies of measles vaccines were conducted to evaluate the successful technology transfer and implement the regular use of a vaccine to counteract one of the main causes of child mortality in Brazil (Puffer & Serrano 1973). The technology of production of this vaccine was obtained thanks to the Brazil-Japan Cooperation Agreement, which involved the participation of BIKEN Laboratory from Osaka University, with Japan International Cooperation Agency and Funding Authority for Studies and Projects as intermediaries. This agreement made possible the development of new projects, which included: (i) an improvement and adaptation of laboratories for the production of viral antigens and formulation, filling and lyophilisation in industrial scale, (ii) the provision of industrial equipment, (iii) "on the bench" training in Japan and the beginning of production operations and (iv) the clinical studies in the states of Pernambuco and Pará. This project gave Bio-Manguinhos the opportunity to build the infrastructure for industrial production to meet today's good manufactur-

Source: Martins (2014)

TABLE II

Synopsis of studies with other viral vaccines in chronological order of publication

Vaccine	Reference	Rationale of the investigation	Comments
Measles	Schatzmayr et al. (1982)	Seroconversion (SC) to measles vaccine by age in population of low social and economic status.	Supported the beginning of measles vaccination at nine months of age.
Measles	Oliva et al. (1986)	Immune response to measles CAM-70 vaccine in 341 children from six-12 months of age.	Measles CAM-70 vaccine seroconverted by neutralisation 100% of children ≥ 9 months of age without maternal antibodies.
Measles	Camacho et al. (2000)	Dose-response to measles CAM-70 vaccine in children, three vaccine groups, with 5,000, 1,000 and 200 50% tissue culture infective dose (TCID _{co.}).	The group of higher dose, $5,000~\rm{TCID}_{50}$ had better immunological response: $82\%~\rm{SC}$.
Measles	Lindgren-Alves et al. (2001)	Immune response to vaccine CAM-70 in 50 children, 21 perinatally infected with human immunodeficiency virus (HIV).	The immune response to measles vaccine is lower in HIV perinatally infected children, even after two doses.
Measles-mumps-rubella (MMR)	Boaventura et al. (2006)	To compare the immune response to three different MMR vaccines in schoolchildren in the state of Rio Grande do Sul, Brazil.	Satisfactory immune response to the three vaccines, but the Leningrad-Zagreb mumps component seems to be a stronger immunogen.
Oral poliomyelitis (OPV)	Schatzmayr and Homma (1969)	Immune response to three doses of OPV in 114 children from three months-three years of age in a semi-rural area of the state of Rio de Janeiro, Brazil.	SC to OPV types 1 and 3 was not satisfactory; type 1 dose should be increased. Vaccine in study had 500,000 TCID ₅₀ (type 1), 200,000 (type 2) and 300,000 (type 3) per dose.
OPV	Schatzmayr et al. (1986)	To compare the immune response between OPV and inactivated poliomyelitis vaccine (IPV) in 155 children at two-six months of age.	IPV induces more serum antibodies, especially to type 3.
OPV	Patriarca et al. (1988)	Immune response to trivalent OPV (TVOP) with increased type 3 dose compared with previous formulation.	Immune response to trivalent OPV (TVOP) with increased TVOP induces higher SC to type 3 with formulation containing sype 3 dose compared with previous formulation.
Hepatitis B (HB)	Motta et al. (2002)	To compare the immunogenicity of HB vaccine between preterm and term newborns.	Preterm newborns need an additional dose of HB vaccine.
HB	Martins et al. (2004)	Safety and immune response to recombinant HB vaccine from Butantan Institute, state of São Paulo, Brazil.	The HB vaccine from Butantan Institute is equivalent to Engerix B from GlaxoSmithKline (GSK) in children and inferior, but acceptable for use in newborns, adolescents and young adults.
HB	Luna et al. (2009)	Safety and immune response of newborns to the HB vaccine from Butantan Institute.	The HB vaccine from Butantan Institute is equivalent to Engerix B from GSK in newborns.
HB	Moraes et al. (2010)	Safety and immune response of adults to HB vaccine from Butantan Institute.	The HB vaccine from Butantan Institute is equivalent to Engerix B from GSK in adults from 31-40 years.
HB	Potsch et al. (2010)	To investigate the immune response to HB vaccine in HIV-infected adults, with double the routine dose and in four doses.	The immune response to HB vaccine is satisfactory with the new schedule.
HB	Motta-Castro et al. (2009)	Adherence to vaccination and immune response to HB vaccine in quilombo communities in central Brazil.	Low adherence to vaccination schedule and lower immune response in males and people ≥ 40 years of age.
HB	Potsch et al. (2012)	Immune response to HB vaccine in HIV-infected adults with double the routine dose and in four doses.	The immune response to HB vaccine is satisfactory with the new schedule.



Vaccine	Reference	Rationale of the investigation	Comments
Rotavirus	Mascarenhas et al. (2002a)	Reinfections by rotavirus in children vaccinated with Reinfections occur and may be severe, rhesus-human vaccine.	Reinfections occur and may be severe.
Rotavirus	Mascarenhas et al. (2002b)	Occurrence of rotavirus genotypes during clinical study with rhesus-human vaccine.	Occurrence of rotavirus genotypes during clinical study Many serotypes causing infections. P[8], G1, P[4] and G2 were with rhesus-human vaccine.
Influenza	Santini-Oliveira et al. (2012)	Immune response of HIV-infected adults to HIN1 pandemic influenza vaccine with adjuvant in two single or two double doses.	Immune response of HIV-infected adults to H1N1 pan- HIV-infected persons have a better immune response to H1N1 demic influenza vaccine with adjuvant in two single or influenza pandemic adjuvanted vaccine after two doses and doutwo double doses.
Quadrivalent papillomavirus (qHPV)	Giuliano et al. (2011)	Prevention of infection and lesions by vaccine types in heterosexual men and in men who have sex with men.	Prevention of infection and lesions by vaccine types in HPV is effective for the prevention of infections and lesions by heterosexual men and in men who have sex with men. with men.
qHPV	Moreira Jr et al. (2011)	Safety and adverse events following qHPV vaccine.	qHPV vaccine has low reactogenicity and is safe.
qHPV	Palefsky et al. (2011)	Efficacy of qHPV vaccine against anal intraepithelial neoplasia in men who have sex with men.	Efficacy of qHPV vaccine against anal intraepithelial qHPV vaccine reduces rates of anal intraepithelial neoplasia in neoplasia in men who have sex with men.
qHPV	Hillman et al. (2012)	Immune response to qHPV vaccine.	qHPV vaccine induces immune responses in 97.4% of men; immune responses in heterosexual men are higher than in men who have sex with men.

ing practices requirements. This required new organisational structures including independent departments for production, quality control and management which, in a step by step process, resulted in the provision of good quality vaccines for the NIP. Because of this successful activity, Bio-Manguinhos is now prepared to provide the MMR and MMRV vaccines to the NIP.

The clinical studies with poliomyelitis vaccines and many additional seroepidemiological studies resulted in changes and improvements in vaccine composition and eventually resulted in the elimination of this disease in Brazil and many other Latin American countries.

As with the measles vaccine, the oral polio vaccine (OPV) technology was obtained under the umbrella of the Brazil-Japan Cooperation Agreement. The technology transfer for this vaccine came through the Japanese Poliomyelitis Research Institute. These actions included redesigns and upgrades of facilities, provision of equipment, "on the bench" training within production laboratories, quality control and neurovirulence testing in nonhuman primates. The creation of this highly qualified group in 1982 allowed Bio-Manguinhos to take the responsibility for the quality control testing of the OPV vaccine used in the national routine immunisation program or in mass campaigns. Later, this responsibility was transferred to the National Institute for Quality Control. Another great contribution from Bio-Manguinhos was the formulation improvements of OPV vaccine, including a doubling of the dose of type 3 OPV, required to control poliomyelitis outbreaks in Northeast Brazil. This formulation change was accomplished in just two weeks after the decision was made. The highly satisfactory results led the PAHO to extend this recommendation to all Latin American countries and, afterwards, WHO recommended the use of the same formulation for all countries.

The clinical studies with diphtheria, tetanus and pertussis/Hib vaccine drove the technology transfer for the Hib portion of the vaccine. This resulted in the introduction of this vaccine into the routine vaccination schedule, which, in a very short time, drastically reduced the incidence of Hib meningitis.

Table V shows that the clinical studies with viral and bacterial vaccines led to the licensing of these essential vaccines. Almost half these studies were conducted with YF vaccine - a demonstration of the importance of this vaccine for Brazil and the world and the need to improve it, in order to reduce its serious adverse events.

In contrast to the other clinical studies, the ones for parasitic diseases have not led to the licensure of any vaccine. Due to genetic variation of parasites, the epitope multiplicity and the complexity of anti-infectious mechanisms that are very different from virus and bacteria, it has been a big challenge to develop vaccines for parasitic diseases. Here, there is a need for new and innovative approaches. For malaria, there have been attempts to block transmission from the mosquito using vaccines targeting the sexual stages of *Plasmodium falciparum* (Biswas et al. 2013). In the case of leishmaniasis, there may be a combination of strategies, for example, antigens in nanoparticles (Santos et al. 2013) or a combination of treatment and immunotherapy (Machado-Pinto et al. 2002,

Source: Martins (2014)

TABLE III

Synopsis of studies with bacterial vaccines in chronological order of publication

Vaccine	Reference	Rationale of the investigation	Comments
Bacillus Calmette-Guérin (BCG)	Camacho and Klein (1990)	Camacho and Klein To use BCG and purified protein derivative as a tool to evaluate The prevalence of TB infections in areas of the state of Rio de (1990) prevalence of tuberculosis (TB) infections.	The prevalence of TB infections in areas of the state of Rio de Janeiro, Brazil, was 4.13%.
BCG	Barbosa et al. (2003)	Cytokines immune response to BCG revaccination in schoolchildren.	Production of interferon (IFN)- γ increases after BCG revaccination and may be a marker of protection against TB.
BCG	Oliveira et al. (2013)	Production of IFN-γ after BCG revaccination.	In the group with IFN- γ production increases of 3.3 or more after BCG revaccination the immune responses to mycobacterial antigens remained higher one year after vaccination than on the group with lower IFN- γ increases.
Diphtheria, tetanus and pertussis/Haemophilus influenzae Type b (DTP/Hib)	Clemens et al. (2003)	To investigate the possibility of mixing the conjugate, Iyophilised, Hib The Hib conjugate, Iyophilised, vaccine from GSK, may be vaccine from GlaxoSmithKline (GSK) with the DTP/whole cell pertus- mixed with the DTPw vaccine from Butantan Institute. sis (DTPw) vaccine from Butantan Institute, state of São Paulo, Brazil.	The Hib conjugate, Iyophilised, vaccine from GSK, may be mixed with the DTPw vaccine from Butantan Institute.
DTP/Hib	Martins et al. (2008b)	Consistency of production and non-inferiority of DTPw/Hib vaccine Production of vaccine was consistent and the DTPw/Hib vacfrom Bio-Manguinhos/Oswaldo Cruz Foundation-Butantan Institute cine from Bio-Manguinhos/Butantan Institute is non-inferior in comparison with DTPw/Hib vaccine with the DTP component to DTPw/Hib vaccine with the DTP component from Butantan from Butantan Institute and the Hib component from GSK.	Production of vaccine was consistent and the DTPw/Hib vaccine from Bio-Manguinhos/Butantan Institute is non-inferior to DTPw/Hib vaccine with the DTP component from Butantan Institute and the Hib component from GSK.
DTP/Hib	Matos et al. (2009)	Functional evaluation of immune response to poli-ribitol- The immune response is mainly IgG1 and PRP avidity phosphate (PRP) from DTPw/Hib vaccine locally produced. increases during vaccination, reaching maximum levels after the third dose.	The immune response is mainly IgG1 and PRP avidity increases during vaccination, reaching maximum levels after the third dose.

Source: Martins (2014).

TABLE IV
Synopsis of studies with parasitic vaccines in chronological order of publication

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vaccine	Kelerence	Kanonale of the investigation	Comments
Malaria	Urdaneta et al. (1996)	Urdaneta et al. Adverse events after SPf66 malaria vaccine in Brazil. The malaria SPf66 vaccine is safe. (1996)	The malaria SPf66 vaccine is safe.
Malaria	Urdaneta et al. (1998)	Urdaneta et al. Efficacy of malaria SPf66 vaccine in endemic area. (1998)	There was no evidence of significant protection after SPf66 malaria vaccine against <i>Plasmodium falciparum</i> and <i>Plasmodium vivax</i> .
Leishmania	Mendonça et al. (1995)	Immunogenicity of <i>Leishmania</i> pentavalent vaccine in two doses of 360 µg with seven days interval.	Mendonça et Immunogenicity of <i>Leishmania</i> pentavalent vaccine Seventy-four percent of volunteers became positive on Montenegro test after vaccination; al. (1995) in two doses of 360 µg with seven days interval.
Leishmania	Marzochi et al. (1998)	Marzochi et al. Immunogenicity and safety of <i>Leishmania</i> monovatory (1998) lent vaccine in one or two doses of 1.440 mg.	safety of <i>Leishmania</i> monova- Induration area on Montenegro test was larger on the vaccinal groups than on placebo; two doses of 1.440 mg.
Leishmania	De Luca et al. (1999)		To compare immunogenicity of autoclaved and not Conversion, evaluated by the leishmanin skin-test (LST), was higher on the non autoclaved monovalent <i>Leishmania</i> vaccine.
Leishmania	De Luca et al. (2001)	Immunogenicity of monovalent <i>Leishmania</i> vaccine in doses of 180, 360 and 540 µg, in two or three doses.	De Luca et al. Immunogenicity of monovalent <i>Leishmania</i> vaccine Conversion by LST test was 89.5% on the vaccine groups and 0% on the placebo group. (2001) in doses of 180, 360 and 540 μg, in two or three dos- Immunogenicity was higher on the group with 360 μg and two doses had immunoes.
Necator americanus (Na-ASP-2)		Diemert et al. Safety and immune responses to Na-ASP-2 vaccine. (2012)	The Na-ASP-2 vaccine induced allergic reactions when given in an endemic area and study was interrupted.

Nascimento et al. 2010). Perhaps the traditional approach for highly effective preventive vaccines will have to be modified to include more modest but even so important objectives, such as disease attenuation or disease blocking transmission strategies.

The difficulties for the development of vaccines for some genetically unstable viruses, such as human immunodeficiency virus and hepatitis C, underscore the need to explore new technologies. These would include the presentation of antigens in virosomes and nanoparticles, chimeric vaccines, new adjuvants and new ways for delivering vaccines, such as in patches or microneedles. Other vaccines need improvement, such as for tuberculosis (TB), which needs better protection, and for pertussis and YF, which need improved safety.

Although some of the achievements have been remarkable, we should recognise that innovations at IOC and Fiocruz have been incremental and did not change paradigms (Kuhn 1970). The innovation which results in technological leaps is a process that begins many years before. It involves many groups working in cooperation on many different fields - microbiology, immunology, biochemistry, genetics. It requires the engagement of a critical mass of technological and human resources, a long term financial support and strong coordination and management.

The results presented here indicate that, over the last several years, there have been considerable quantitative and qualitative advances in the development of clinical studies under the scope of IOC and Fiocruz. These demonstrate that clinical studies no longer constitute a bottleneck for innovation, in terms of local capacity. However, regulatory and financial constraints still remain. There are also regulatory and operational issues that need to be addressed, in order to streamline processes without loss of safety and quality. Slow decision-making and excessive centralisation of regulatory and ethical processes may, in fact, decrease the quality of studies and may result in loss of opportunities in a competitive world.

It should be noted that, although not reviewed here, several clinical studies with meningococcal vaccines have been conducted at Bio-Manguinhos/Fiocruz, of which three have been Phase I studies and published in congress annals (Martins et al. 2009a, b, 2010).

Although several new innovative vaccines are in development, their complexity is increasing and will certainly require creativity and scientific and technological capacity to achieve a final product.

It should also be stressed that if we have been weak in technological innovation so far in Brazil, we have been innovators on the ethical concept that vaccines are a right of citizenship and that all people should have free access to them, as to basic sanitary services and education. The vaccine schedule of the Ministry of Health has significantly expanded and now includes all vaccines used by developed countries (Martins et al. 2013a). Moreover, there is a clear perception that vaccines have an excellent cost/benefit relationship and that they contribute to economic development (Bloom et al. 2005).

The contribution of vaccines for the improvement of health conditions of the Brazilian population is evident and outstanding. The infectious diseases targeted by

Source: Martins (2014)

TABLE V

Number of clinical studies by type of vaccine

Viral vaccines	Studies (n)	Licensed for use
Yellow fever	26	All
Hepatitis B	7	
Quadrivalent papillomavirus	4	
Oral poliomyelitis	3	
Measles	4	
Measles/mumps/rubella	1	
Rotavirus	2	
Influenza	1	
Total	48	
Bacterial vaccines		
Bacillus Calmette-Guérin	3	All
Diphtheria, tetanus	3	
and pertussis/Haemophilus		
influenzae Type b (tetravalent)		
Total	6	
Parasitic vaccines		
Leishmania	4	None
Malaria	2	
Necator americanus	1	
Total	7	
All clinical studies	61	54/61 (88.5%)

Source: Martins (2014).

vaccination (except TB) are under control. The Brazilian producers of vaccines made these conquests possible and the clinical studies with vaccines have been an essential part of this process.

The review of clinical studies under the scope of IOC and Fiocruz within the period of this study indicates the strength and potential of IOC and Fiocruz to conduct all phases of clinical studies with vaccines. However, the innovation component is still weak and should be strengthened. To achieve this objective as well as to accelerate the TD of new and innovative vaccines, we suggest: (i) the urgent development of a new legal and institutional framework for Bio-Manguinhos/Fiocruz, allowing flexibility and capacity to produce and to operate in the market. It is necessary to conciliate social commitment with speeding up of processes and entrepreneurial capacity, which are essential to industrial activity and technological competitiveness; (ii) to stimulate intra and inter institutional partnerships and the exchange of personnel, nationally and internationally; (iii) to stimulate group and personal cooperation through meetings and common projects; (iv) to promote public-private partnerships,

leading to technology transfers, to search for common development of new products and processes; (v) to stimulate innovation and creativity; (vi) to attract new talent, creating an inspiring and receptive atmosphere and environment to innovation and creativity; (vii) to search for expertise, wherever it may reside, to solve the technological and organisational problems of Bio-Manguinhos/Fiocruz; (viii) to increase the governmental financing for clinical studies and to stimulate the non-governmental financing; (ix) to stimulate excellence, at all levels.

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