

1 **Influence of *CYP2C8*, *CYP3A4* and *CYP3A5* host genotypes on early**
2 **recurrence of *Plasmodium vivax***

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20 Running Head: **Chloroquine CYPs on early recurrence of *P. vivax***

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25 **ABSTRACT**

26 CYP450 enzymes are involved in biotransformation of chloroquine (CQ), but the
27 role of the different metabolism profiles of this drug has not been properly
28 investigated in relation to *P. vivax* recurrences. To investigate the influence of
29 CYPs genotypes associated with CQ-metabolism on early recurrence rates of
30 *P. vivax*, a case-control study was carried out. Cases included patients
31 presenting an early recurrence (CQ-recurrent), defined as recurrence during the
32 first 28 days after initial infection, plasma concentrations of CQ plus
33 desethylchloroquine (DCQ, the major CQ metabolite) higher than 100 ng/mL. A
34 control (CQ-responsive) with no parasite recurrence over the follow-up was also
35 included. CQ and DCQ plasma levels were measured on Day 28. CQ CYPs
36 (*CYP2C8*, *CYP3A4* and *CYP3A5*) genotypes were determined by real-time
37 PCR. An *ex vivo* study was conducted to verify CQ and DCQ efficacy in *P. vivax*
38 isolates. The frequency of alleles associated with normal and slow metabolism
39 was similar between the cases and controls for *CYP2C8* (OR=1.45, 95%
40 CI=0.51-4.14, p=0.570), *CYP3A4* (OR=2.38, 95% CI=0.92-6.19, p=0.105) and
41 *CYP3A5* (OR=4.17, 95% CI=0.79-22.04, p=1.038) genes. DCQ levels were
42 higher than CQ, regardless of the genotype. Regarding the DCQ/CQ rate, there
43 was no difference between groups or between those patients who had a normal
44 or mutant genotype. DCQ and CQ showed similar efficacy *ex vivo*. CYPs
45 genotypes had no influence on early recurrence rates. Similar efficacy of CQ
46 and DCQ *ex vivo* could explain the absence of therapeutic failure, despite
47 presence of alleles associated with slow metabolism.

48

49 **INTRODUCTION**

50 Malaria remains an important public health problem worldwide. In 2018,
51 there were 219 million cases, and 435,000 deaths were caused by malaria (1).
52 In Brazil, the Amazon region is the main area of transmission and *Plasmodium*
53 *vivax* and accounts for 88.4% of reported cases in the country (2). An important
54 obstacle to *P. vivax* malaria elimination in *P. vivax* endemic areas stems from
55 the frequent recurrences caused by this parasite. These recurrences are
56 characterized as a relapse when they are caused by activation of hypnozoites in
57 the liver; a reinfection if parasitemia returns due to a new infected mosquito bite;
58 and a recrudescence when there is an early return of asexual parasitemia
59 despite adequate levels of chloroquine (CQ) and the metabolite
60 desethylchloroquine (DCQ) in host plasma, which often indicates the presence
61 of drug resistant parasites leading to therapeutic failures (3).

62 CQ undergoes hepatic biotransformation through the N-dealkylation
63 pathway into two main metabolites: DCQ and bisdesethylchloroquine (BDCQ).
64 DCQ is the major CQ metabolite, with detected plasma concentrations from 20
65 to 50% of those of CQ (4). In contrast, BDCQ plasma or blood concentrations
66 never reach more than 10 to 15% of CQ levels (5). The antimalarial action of
67 DCQ, evaluated only for *Plasmodium falciparum*, was equally active against a
68 CQ-sensitive strain, but significantly less active than the parent compound
69 against CQ-resistant strain (6) For *P. vivax*, *in vivo* study to assess resistance to
70 CQ, only CQ and DCQ levels are generally measured (7, 8), since they are the
71 major CQ metabolites (4).

72 *P. vivax* resistance to CQ has been reported, with a high prevalence in
73 Indonesia and Papua New Guinea (9). In the Brazilian Amazon, CQ resistance
74 rates range from 5 to 11% *in vivo* (8, 10, 11) and 9.8% to 10.7% *ex vivo* (12,
75 13). Although the mechanisms that lead to *P. vivax* resistance to CQ are not
76 well understood, some studies have shown an association between gene
77 expression and variation in copy number of the *pvcr-t-o* and *pvmdr-1* genes and
78 resistance to CQ (7, 14). CQ remains at therapeutic levels against *P. vivax* until
79 35 days after starting treatment (3, 15). After this period, with decreasing
80 plasma levels of CQ and DCQ, the return of parasitemia is due to reinfection or
81 relapse (9). Several studies used D28 as the cutoff point to assess possible
82 therapeutic failures by CQ (7, 8, 10, 11, 16), following the recommendation of
83 the World Health Organization for monitoring effectiveness of antimalarials (17).

84 Recent studies have demonstrated the importance of host genetics in
85 antimalarial treatment outcomes (18-21), based on single nucleotide
86 polymorphisms (SNPs) detected in genes encoding drug metabolizing enzymes
87 (22). The presence of certain genotypes related to these enzymes may be
88 associated with an increase in drug metabolism rates, generating adverse
89 effects, and an increase in the elimination rate, or a decrease in the
90 metabolization rate could lead to therapeutic failure (20, 22, 23).

91 The biotransformation of primaquine (PQ) mediated by cytochrome P-450
92 enzymes is attributed to the *CYP2D6*, *CYP3A4*, *CYP1A2* and *CYP2C19*
93 enzymes (22, 24). Therapeutic failures in the treatment of *P. vivax* with PQ are
94 generally attributed to the presence of *CYP2D6* polymorphisms (19), a
95 relationship also reported in Brazil (21, 25). *CYP2C8*, *CYP3A4* and *CYP3A5*
96 were reported as the major enzymes involved in the formation of DCQ from CQ

97 (26). An effect of the *CYP2C8*2/*3/*4* gene on gametocyte clearance rate on
98 patients undergoing CQ and PQ malaria treatment has been reported (27).

99 Pharmacogenetics has gained great importance over the last few years,
100 since it can enable patients to received personalized drug therapy for various
101 diseases (28). However, the frequency of CYP alleles associated with slow
102 metabolism for CQ in individuals from the Brazilian Amazon has not been fully
103 studied, in particular whether the presence of these alleles influences early
104 recurrence. In addition, there is a paucity of studies to understand which
105 molecule (CQ or DCQ) has the best antimalarial action on *P. vivax*, and whether
106 a profile of low drug metabolism contributes to increased early recurrence rates.

107 This study aimed to investigate the frequency of genotypes associated with
108 slow CQ metabolism for the main metabolizing CYPs in patients from the
109 Brazilian Amazon and verify the influence of these alleles in early recurrence of
110 *P. vivax* malaria.

111

112 RESULTS

113

114 Allele frequencies of CYPs associated with CQ metabolism

115 Twenty-six cases (CQ-recurrent) and 99 controls (CQ-responsive) were
116 included. Clinical and laboratorial characterization of the patients included in
117 this study are presented in Table 1. All individuals with CQ-recurrent *P. vivax*
118 had positive qPCR for *P. vivax* and their mean blood levels of CQ plus DCQ
119 were greater than 100 ng/mL at D28.

120 The allele frequencies of *CYP2C8* ($p=0.3196$), *CYP3A4* ($p=0.0916$) and
121 *CYP3A5* ($p=0.1064$) were similar in cases and controls. Most individuals carried
122 alleles associated with normal enzyme activity (*1 or *1A). Alleles associated
123 with slow enzyme activity were found in both cases and controls (Table 2).

124 The most frequent diplotype for *CYP2C8* was *1/*1 (76.2% in cases and
125 82.8% in controls) and *1/*3 (15.3% in cases and 10.1% in controls). For
126 *CYP3A4*, the most frequent diplotypes were *1A/*1A (65.3% in cases and
127 81.2% in controls) and *1A/*1B (30.7% and 16.1%, respectively). For *CYP3A5*,
128 *1/*1 (88.4% in cases and 96.9% in controls) was the most frequent diplotype;
129 1/*3 was found in cases (7.6%) and controls (2.0%); *1/*6 was found in cases
130 (3.8%) and controls (1.0%). The frequency of diplotypes associated with normal
131 and slow metabolism was similar between cases and controls for *CYP2C8*
132 (OR=1.45, 95% CI=0.51-4.14, $p=0.570$), *CYP3A4* (OR=2.38, 95% CI=0.92-
133 6.19, $p=0.105$) and *CYP3A5* (OR=4.17, 95% CI=0.79-22.04, $p=1.038$) genes.

134

135 **Genotypes and *P. vivax* malaria recurrences**

136 In addition to following up until D28, we also investigated the occurrence of
137 vivax malaria recurrences in patients up to 1 year by passive case detection
138 using the SIVEP-Malaria platform (The Brazilian official Malaria Epidemiological
139 Surveillance Information System). When considering individuals with alleles
140 associated with normal and slow metabolism for *CYP2C8*, *CYP3A4* and
141 *CYP3A5*, no significant differences were found in the recurrence rates in cases
142 and controls over the 1-year follow-up (Table 3).

143

144 Drug levels and CQ CYPs genotypes

145 When we compared CQ and DCQ concentrations between cases and
146 controls, no significant differences were found. Furthermore, no significant
147 differences were found between the mean concentration of CQ and DCQ in
148 individuals carrying alleles associated with slow metabolism (Table 3). DCQ
149 concentration was higher than CQ irrespective of genotype, indicating that most
150 individuals had drug biotransformation ability despite the mutated genotypes
151 found (for cases, *CYP2C8*1* [DCQ]=108.4nM (95% CI=89.7-127.1) and
152 *CYP2C8*2/*3/*4* [DCQ]=130.6nM (95% CI=57.8-203.4); for the controls,
153 *CYP2C8*1* [DCQ]=93.3nM (95% CI=68.2-118.4) and *CYP2C8*2/*3/*4* [DCQ]=
154 79.9nM (95% CI=40.2-119.6).

155 The possibility of genotype influencing metabolite/drug ratio was analyzed.
156 However, there was no significant difference between the DCQ/CQ ratio in
157 individuals carrying alleles associated with normal and slow metabolism of
158 *CYP2C8* and *CYP3A5*. The metabolite/drug ratio was higher in individuals
159 carrying alleles associated with normal *CYP3A4* metabolism than in individuals
160 with mutated genotype in the control group (Figure 1). For *CYP3A4*, significant
161 difference was observed, (cases: *CYP3A4*1A*, mean DCQ/CQ=1.76 and
162 *CYP3A4*1B*, mean DCQ/CQ=1.55, $p=0.629$; controls: *CYP3A4*1A*, mean
163 DCQ/CQ=1.89 and *CYP3A4*1B*, mean DCQ/CQ=1.21, $p=0.0347$). No
164 difference in CQ and DCQ levels (metabolite/drug ratio) was found between
165 cases and controls for *CYP2C8* and *CYP3A5* ($p>0.05$) (Figure 1).

166

167

168 **Clearance of *P. vivax* parasitemia and CQ CYPs alleles**

169 Most individuals had clearance of asexual parasitemia on D2 (58/125;
170 46.4%) or D3 (39/125; 31.2%). Late clearance (from D4 to D7) was recorded for
171 23 patients (18.4%). Slow clearance of asexual parasitemia did not predict early
172 recurrences (OR=2.49, 95% CI=0.92-6.75, p=0.088). Genotypes associated
173 with normal and slow metabolism were found in these patients in a similar
174 frequency (Table 3), which suggests that the presence of a mutated genotype is
175 not associated with the clearance time for *P. vivax*. There was also no
176 difference regarding mean asexual parasitemia in D1, D2, D3 and D7 between
177 patients with alleles associated with normal activity and low activity in all the
178 enzymes studied (Figure 2). Only 1 patient belonging to the control group
179 (1.01%, 1/99), showed gametocyte clearance on D7. No association was
180 observed between late gametocyte clearance (D3 to D7) and genotypes
181 associated with slow metabolism of CYP in the cases (p=1.000) or controls
182 (p=0.259).

183

184 ***P. vivax* ex vivo assay**

185 Twenty-four malaria patients not included in the cases or controls were enrolled
186 in the *ex vivo* assays to evaluate the efficacy of CQ and DCQ against *P. vivax*.
187 None of these isolates was resistant to CQ. The mean IC50% of CQ was
188 11.67nM (95% CI=6.520-16.82) and mean IC50% of DCQ was 8.95nM (95%
189 CI=4.25-13.65) (Figure 3). None of these 24 patients presented early
190 recurrence.

191

192 **DISCUSSION**

193 This is the first study to investigate the role of CYP genotypes in early
194 recurrence of *P. vivax* malaria in samples from the Brazilian Amazon, and the
195 first results regarding an evaluation of the sensitivity of CQ and DCQ in *P. vivax*
196 isolates.

197 The frequency of allelic gene variants has already been used to predict the
198 activity of drug metabolizing enzymes (29). In Brazil, the frequency of these
199 variants for CYP450 enzymes has been described (30-33), but there is still little
200 information regarding the influence of these genotypes on antimalarial therapy
201 failure, including CQ. Studies of *P. falciparum* have demonstrated the
202 importance of pharmacogenetics in the elimination of this parasite (34, 35).

203 For the *CYP2C8* gene, variant *2 is more frequent in Africans (11-17%) and
204 *CYP2C8**3 is more frequent in Caucasians (15%)(36). The frequency of
205 *CYP2C8**4 is higher in European populations (4-7%) (37). For *CYP3A4*, the
206 frequency of the *1*B* allele is variable, ranging from - 3.6% among white
207 Americans to 76% in Africans (38). For *CYP3A5*, the highest frequencies of *3
208 are among Asians (60-75%) and Caucasians (85-95%); *6 is present in Africans
209 (22%) and rare in Caucasians and Asians (22). The frequency of mutated
210 alleles for *CYP2C8*, *CYP3A4* and *CYP3A5**6 found in our study is in agreement
211 with other studies of Brazilian populations (27, 30, 33). Only the frequency
212 found for variant *CYP3A5**3 was lower.

213 The *CYP2C8**2 variant is associated with a 50% reduction in metabolic
214 activity, and *CYP2C8**3 with a 85% reduction in normal enzyme activity
215 compared to the wild type allele, which was found in a study with paclitaxel and

216 araquidonic acid drugs, *in vitro* (36, 39). In Burkina Faso and Ghana, the role of
217 *CYP2C8* variants in the response to amodiaquine and the correlation between
218 *CYP2C8*2* and low levels of enzyme metabolism have been investigated,
219 confirming their influence on drug metabolism (40, 41). However, they do not
220 demonstrate the association between alleles associated with low enzyme
221 activity and the treatment outcome.

222 Studies conducted in Papua New Guinea and Thailand described the risk of
223 *P. vivax* recurrence in individuals with late parasitemia clearance (41, 42). In our
224 study, the clearance of asexual parasitemia at D7, and gametocytemia after D3,
225 were not predictors of early recurrence by *P. vivax* and were not associated with
226 the presence of mutated CYP genotypes. A recent study in Brazil found an
227 association between *CYP2C8*2*3/*4* and gametocyte clearance rate on
228 patients undergoing CQ/PQ malaria treatment. From baseline to the first day of
229 treatment, homozygous individuals for wild-type *CYP2C8* achieved greater
230 gametocyte reduction ($p=0.007$) than individuals without this genotype (27).
231 There was no difference between CQ and DCQ levels in patients with normal or
232 mutated genotypes and in the frequency of these *CYP2C8* alleles in cases or in
233 the control groups.

234 The *CYP3A5*3* variant is related to decreased enzyme activity and
235 *CYP3A5*6* and **7* are null alleles that cause enzyme absence (29). Kim *et al*
236 (26) demonstrated a role for *CYP3A5* in CQ metabolism, but another study
237 showed a smaller role for this enzyme in the formation of DCQ *in vitro* (42). A
238 recent study demonstrated that polymorphisms of *CYP3A5* and *CYP3A4* did not
239 show any significant association with blood levels of hydroxychloroquine and
240 desethylhydroxychloroquine in patients with Systemic Lupus Erythematosus

241 (43). In our study, the metabolite/drug ratio was higher in individuals carrying
242 alleles associated with normal *CYP3A4* metabolism than in individuals with
243 mutated genotypes in the control group. However, studies conducted so far
244 have not clarified whether the occurrence of SNPs alters the metabolism of the
245 drug (22).

246 In our study, we found no association between mutated genotypes and
247 changes in CQ and DCQ levels. Although other studies report a role for the
248 alleles associated with slow metabolism of *CYP2C8*, *CYP3A4* and *CYP3A5* in
249 the levels of drugs for clinical use (37, 44, 45), the evidence of the influence of
250 these alleles on enzyme phenotype is still limited (46). It is known that there
251 may be a failure in the CYP450 genotype/phenotype correlation, with about
252 50% of errors in phenotype prediction being described. Factors such as gene
253 splicing, transcriptional regulation, influence of microRNAs, in addition to other
254 existing SNPs, would play a role in the final enzyme activity phenotype (47).
255 These studies suggest that genotype information alone would not be sufficient
256 to replace phenotype measurements, in this case, measurements of drug
257 levels. In addition, the expression and activity of CYP enzymes can be affected
258 by the inflammatory response triggered by the infection, as already
259 demonstrated for *P. falciparum* malaria, with *CYP1A2* (48, 49) and *CYP3A* (50).

260 *In vivo* resistance to CQ signifies a persistence of *P. vivax* asexual stages,
261 despite the presence of adequate levels of CQ plus DCQ (concentration higher
262 than 100 ng/dL) (16). For *ex vivo* conditions, resistance occurs when IC50%
263 values greater than 100nM of CQ are obtained after 42 hours of *P. vivax* culture
264 (13, 51).

265 It is known that DCQ's action is similar to that of CQ and is effective against
266 avian malaria, but little is known about its action in human malaria (52).
267 According to Fu *et al*, 1986 (6), DCQ is as effective as CQ in sensitive isolates
268 of *P. falciparum*, but its efficiency is significantly reduced in resistant isolates . *In*
269 *vivo* sensitivity trials with CQ and DCQ showed that DCQ was more effective,
270 and a combination of drugs had better potential when compared to
271 monotherapy with just CQ. For resistant isolates, better effectiveness was
272 reported using CQ monotherapy, and the combination of drugs was shown to
273 be better than when used alone (53).

274 A limitation of this study was that recurrent parasitemia prior to D28 in the
275 presence of normally lethal CQ blood levels could not be excluded, since
276 there may be a relapse of the CQ-recurrent parasite after clearance of the
277 original CQ-responsive parasitemia, however the frequency of this event is not
278 expected to vary between different CYP genotypes. Unfortunately, the *ex vivo*
279 and *in vivo* studies were not performed with the same samples of *P. vivax*.
280 According to our experience, parasitemia on recrudescence day (DR) is often
281 very low and the ring count is lower than 50% of parasites at the ring stage of
282 development, making the experiment unfeasible on DR (54), but patients in the
283 *ex vivo* study were followed-up for 28 days and none showed recurrence during
284 this period. The sample size used in the *ex vivo* study is not adequate to
285 confirm CQ resistance rates.

286 The study obtained a low sample size for CQ-recurrence, however, this
287 frequency is in agreement with previous studies (8, 10, 11). No genetic marker
288 analysis was performed for differentiating relapse, resistance or reinfection, as

289 some researchers have stated that there are no ideal genetic markers for these
290 (55, 56).

291 Our results demonstrate that CQ and DCQ had similar efficacy against *P.*
292 *vivax* isolates from the Amazon and could explain why alleles associated with
293 low enzyme activity found in patients in the control group did not necessarily
294 lead to failure in CQ treatment and early recurrence. The absence of drug
295 metabolism problems, even in the occurrence of SNPs in CYP genes in the
296 control group, indicates that these genetic host characteristics had little
297 influence on early recurrence caused by *P. vivax*.

298

299 **MATERIALS AND METHODS**

300 **Ethics statement**

301 The study was approved by the Ethics Review Board of *Fundação de*
302 *Medicina Tropical Dr Heitor Vieira Dourado* (FMT-HVD) (approval number
303 343/2009). Participants were instructed regarding the objectives of the study
304 and signed an informed consent form. In the case of minors, the consent form
305 was signed by the parents or legal guardians. Patients diagnosed with malaria
306 were treated according to the Brazilian Ministry of Health guidelines (57).

307 **Study site**

308 The study was carried out between 2012 and 2014 at FMT-HVD, an
309 infectious disease referral center located in Manaus, Western Brazilian
310 Amazon. *Ex vivo* studies were carried out on samples obtained from 2017 to
311 2018.

312 **Selection of patients**

313 The study included patients of either sex who were infected with *P. vivax*
314 malaria, aged 6 months to 60 years, had bodyweight greater than 5 kg, and
315 blood parasite density from 250 to 100,000 parasites/mL and axillary
316 temperature of 37.5°C or history of fever in the last 48 hours. Exclusion criteria
317 were use of antimalarials in the previous 30 days, refusal to be followed up for
318 28 days and any clinical complication (58). All patients received supervised
319 treatment with 25 mg/kg of chloroquine phosphate over a 3-day period (10
320 mg/kg on days 1 and 7.5 mg/kg on days 2 and 3). PQ was prescribed for a 7-
321 day period, at the dosage of 0.5 mg/kg per day, starting only at the end of the
322 follow-up or on the day of recurrence. Patients who vomited the first dose within
323 30 minutes after drug ingestion were re-treated with the same dose. Clinical and
324 laboratory tests were performed, and interviews and sample collection done on
325 follow-up D1, D2, D3, D4, D7, D14 and D28. If there were any extra days of
326 follow-up, the same sample collection procedures were performed.

327 This study was conducted by using a convenience sampling from previous
328 patient follow-ups. The enrolled patients were divided into cases (CQ-recurrent
329 patients) when they presented *P. vivax* early recurrence, with parasites
330 returning during the first 28 days after initial infection, and plasma levels of CQ
331 plus DCQ higher than 100 ng/mL; and controls (CQ-responsive patients), a
332 group that consisted of patients with no parasitemia recurrence during 28-days
333 of follow-up.

334

335

336 ***P. vivax* malaria diagnosis**

337 Asexual and sexual parasitemia at D1, as well as the clearance of asexual
338 parasitemia were determined by microscopy by two experienced microscopists
339 using parasite counts per 500 leukocytes. Patients were actively followed-up for
340 28 days. After day 28, recurrences were detected by passive case detection via
341 the SIVEP-Malaria system, the official malaria epidemiological surveillance
342 system in Brazil.

343 **Genotyping of CQ CYPs**

344 DNA was purified from the whole blood samples using QIAamp DNA mini Kit
345 (Qiagen, USA). We genotyped three single-nucleotide polymorphisms (SNPs) in
346 the *CYP2C8* (A805T [rs11572103]), (C792G [rs1058930]), (G416A
347 [rs11572080]); one SNP in the *CYP3A4* (A392G [rs2740574]) and two SNPs in
348 the *CYP3A5* (G14690A [rs10264272]), (A6986G [rs776746]). Analyses were
349 assessed using 7500 Fast Real-time PCR 2.3 version (Applied Biosystems)
350 software. Amplification reactions and cycling parameters were determined
351 according to the manufacturer's protocols.

352 **CQ and DCQ levels**

353 Three 100 μ L aliquots of sample collected on D28 of follow-up were used for
354 measuring CQ and DCQ levels. Analysis was assessed by high-performance
355 liquid chromatography (HPLC) as previously described (59).

356 ***P. vivax* ex vivo culture**

357 *Plasmodium* isolates were collected between August 2017 and June 2018
358 from FMT-HVD patients. Patients were recruited if they presented a

359 monoinfection with *P. vivax*, parasitemia $\geq 10,000$ parasites/mL and a majority
360 (>50%) of parasites at the ring stage of development. Four milliliters of whole
361 blood were collected by venipuncture. After removal of leucocytes by using
362 CF11 cellulose (Sigma-Aldrich), infected red blood cells (IRBC) were used for
363 *ex vivo* drug susceptibility testing.

364 CQ and DCQ susceptibility of *P. vivax* isolates were measured using a
365 protocol modified from the WHO microtest (60). Two hundred microliters of a
366 2% hematocrit blood media mixture (BMM), which consisted of McCoy's 5A
367 medium plus 20% AB human serum was added to each well of pre-dosed drug
368 plates containing 11 serial concentrations (2-fold dilutions) of the antimalarials
369 (1.95-1000) ng/mL of CQ diphosphate and DCQ and each concentration of drug
370 was tested in quadruplicate. A candle jar was used to mature the parasites at
371 37.5°C for 48 h. Incubation was stopped when $\geq 40\%$ of ring-stage parasites had
372 reached the mature schizont stage in the drug-free control well.

373 Thick-blood smears were made from each well, then stained with 5%
374 Giemsa solution and examined microscopically. The number of schizonts per
375 200 asexual stage parasites was determined for each drug concentration and
376 normalized to the control well.

377 **Statistical analyses**

378 Allele and genotype frequencies were estimated by gene counting and
379 haplotype frequencies and linkage disequilibrium were estimated with
380 Haploview. Fisher's exact test or χ^2 test was performed to compare the
381 *CYP2C8/CYP3A4/CYP3A5* allele and the genotype frequencies between cases
382 and controls. The odds ratios (OR) with their respective 95% confidence

383 intervals (95% CI) were determined to verify the risk of CQ- recurrent depending
384 on the diplotypes found and to relate late clearance of parasitemia and cases.
385 In the *ex vivo* essay, the percentage of schizont maturation at each drug
386 concentration was estimated in 200 asexual parasites, and results were entered
387 in the online *IC Estimator* software to calculate the IC50% of each sample by
388 nonlinear regression analysis and t-test of averages comparison and graph
389 construction was performed. A p-value <0.05 was considered significant in all
390 analyses. Analysis was performed using software GraphPad Prism.

391

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393

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399

400 **AUTHOR CONTRIBUTIONS**

401

402 Conceived and designed the experiments: GCM, WMM, MVGL, AMS.
403 Sample processing: ACGA, MCBP, EFGF, YEARS, ELS. Performed the
404 experiments: ACGA, MCBP, EFGF, LBR and JLFV. Data entry and analyses:
405 ACGA and MCBP. Wrote the paper: ACGA, MCBP, GCM, YEARS, LBR and
406 MAMB. All authors read and approved the final manuscript.

407

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741 **FIGURE LEGENDS**

742

743 **Figure 1. Desethylchloroquine/chloroquine ratio between different**
744 **genotype groups.** No significant difference was found between the
745 metabolite/drug ratios between different genotypes (associated with low and
746 normal enzyme activity) in patients with *P. vivax* early recurrence (CQ-
747 recurrent) and CQ-responsive for *CYP2C8* **(A)** and *CYP3A5* **(C)**. For *CYP3A4*
748 **(B)**, the ratio was higher for genotype associated with normal metabolizing
749 among CQ-responsive patients. Values obtained by unpaired t-test.

750

751 **Figure 2. Asexual parasitemia clearance between low and normal activity**
752 **genotypes.** Parasitemia means at visits D1, D2, D3, D4 and D7 visits were
753 evaluated. Between genotypes associated with low and normal enzyme activity,
754 there was no significant difference in clearance day and asexual parasitemia,
755 considering CQ-recurrent and CQ-responsive, for *CYP2C8* **(A)**, *CYP3A4* **(B)**
756 and *CYP3A5* **(C)**. Values obtained by paired t-test.

757

758 **Figure 3. Ex vivo efficacy of chloroquine and desethylchloroquine in *P.***
759 **vivax isolates.** The drug and metabolite showed similar efficacy against
760 isolates in patients from the Brazilian Amazon. Values obtained by paired t-test.

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766 **TABLES**

767 Table 1. Demographic and parasitological baseline data of individuals involved

768 in this study.

Characteristics	CQ- Recurrent	CQ-Responsive	<i>p</i>-value^a
N	26	99	
Age (mean, 95%CI)	34.69 (27.59-41.78)	35.21 (31.94-38.47)	0.8872
Gender; male (n,%)	19 (73.1)	73 (73.7)	1.0000
Parasitemia D0 (mean parasites/ μ L, 95%CI)	2618.82 (1359.20-3878.43)	2961.6 (2297.90-3625.29)	0.6368
Gametocytemia D0 (mean gametocytes/ μ L, 95%CI)	45.26 (24.89-65.62)	75.3 (39.12-111.47)	0.4060

Recurrent = new malaria

episode by D28.

Responsive = no malaria

episode by D28.

^a χ^2 or *t* test, Exact Fisher test.

769

770 Table 2. Chloroquine CYPs allele frequency.

Gene	Alleles	CQ- Recurrent (52)		CQ-Responsive (198)		<i>p</i>-value^a
		n	Frequency	n	Frequency	
CYP2C8	*1	46	0.8846	179	0.9040	0.6137
	*2	0	0.0000	6	0.0303	0.3493
	*3	4	0.0769	11	0.0556	0.5225
	*4	2	0.0385	2	0.0101	0.192
CYP3A4	*1A	42	0.8077	178	0.8990	0.0916
	*1B	10	0.1923	20	0.1010	
CYP3A5	*1A	49	0.9423	195	0.9848	0.1064
	*3	2	0.0385	2	0.0101	0.192
	*6	1	0.0192	1	0.0051	0.3734

n = number of chromosomes

^a χ^2 test

771 Table 3. Parasitemia clearance, drug levels and malaria recurrence patterns between different genotype groups.

772

	n	Asexual parasitemia clearance day (n,%) ^a				CQ levels at D28 (mean, 95%CI) ^b	DCQ levels at D28 (mean, 95%CI) ^b	Malaria episodes up to 1 year (n,%) ^{a,c}		
		D1	D2	D3	D7			0	1	>1
CYP2C8										
CQ- Recurrent										
*1	20	0 (0)	11 (55)	4 (20)	5 (25)	63.8 (49.33-78.26)	108.4 (89.68-127.11)	8 (40)	9 (45)	3 (15)
*2/*3/*4 allele carriers	6	1 (16.7)	2 (33.3)	0 (0)	3 (50)	42.2 (17.37-67.02)	130.6 (57.82-203.37)	2 (33.3)	3 (50)	1 (16.7)
<i>p-value</i>		0.2308	0.6447	0.5425	0.3301	0.1293	0.3266	1.0000	1.0000	1.0000
CQ-Responsive										
*1	82	3 (3.7)	36 (43.9)	30 (36.6)	13 (15.8)	43.4 (37.85-48.94)	93.3 (68.17-118.42)	59 (71.9)	14 (17.1)	9 (11)
*2/*3/*4 allele carriers	17	1 (5.9)	9 (52.9)	5 (29.4)	2 (11.8)	64.1 (23.29-104.90)	79.9 (40.23-119.56)	10 (58.9)	4 (23.5)	3 (17.6)
<i>p-value</i>		0.5354	0.5956	0.7813	1.0000	0.0528	0.6459	0.3843	0.5047	0.4277
CYP3A4										
CQ- Recurrent										
*1A	17	1 (5.9)	7 (41.2)	3 (17.6)	6 (35.3)	62.06 (46.61-77.50)	121.93 (94.73-149.12)	5 (29.4)	10 (58.8)	2 (11.8)
*1B allele carriers	9	0 (0)	6 (66.7)	1 (11.1)	2 (22.2)	55 (30.04-79.95)	96.66 (75.71-117.60)	5 (55.6)	2 (22.2)	2 (22.2)
<i>p-value</i>		0.4375	0.411	1.0000	0.6673	0.5842	0.1948	0.2341	0.11	0.5906
CQ-Responsive										
*1A	81	4 (4.9)	37 (45.7)	27 (33.3)	13 (16.1)	48.68 (39.82-57.53)	100.48 (74.65-126.30)	56 (69.2)	15 (18.5)	10 (12.3)
*1B allele carriers	18	0 (0)	8 (44.4)	8 (44.4)	2 (11.1)	39.25 (18.81-59.68)	48.52 (29.23-67.80)	13 (72.2)	3 (16.7)	2 (11.1)
<i>p-value</i>		1.0000	1.0000	0.4195	0.732	0.3706	0.0662	1.0000	1.0000	1.0000
CYP3A5										
CQ- Recurrent										
*1A	23	1 (4.4)	10 (43.5)	4 (17.4)	8 (34.7)	61.63 (47.95-75.30)	113 (91.80-134.19)	7 (30.4)	12 (52.2)	4 (17.4)
*3/*6 allele carriers	3	0 (0)	3 (100)	0 (0)	0 (0)	44 (6.91-81.08)	111.66 (53.18-170.13)	3 (100)	0 (0)	0 (0)

<i>p-value</i>		1.0000	0.22	1.0000	0.5292	0.3570		0.9637		0.0462	0.2246	1.0000
CQ-Responsive												
*1A	96	4 (4.2)	42 (43.8)	35 (36.5)	15 (15.5)	47.5 (39.29-55.70)		92.46 (70.20-114.71)		67 (69.8)	17 (17.7)	12 (12.5)
*3/*6 allele carriers	3	0 (0)	3 (100)	0 (0)	0 (0)	29.92 (42.81-102.65)		45.25 (71.03-161.53)		2 (66.7)	1 (33.3)	0 (0)
<i>p-value</i>		1.0000	0.0905	0.5501	1.0000	0.4584		0.4615		1.0000	0.456	1.0000

^a χ^2 test^b *t* test or Exact Fisher test^c SIVEP-Malaria

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