

1 First report of the East-Central South African Genotype of Chikungunya
2 Virus in Rio de Janeiro, Brazil

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25 Running title: ECSA Genotype detected during the chikungunya in Rio de Janeiro,
26 Brazil.

27 Abstract

28 **Background:** Chikungunya virus (CHIKV) is an arbovirus that causes an acute febrile
29 illness characterized by severe and debilitating arthralgia. In Brazil, the Asian and East-
30 Central South African (ECSA) genotypes are circulating in the north and northeast of
31 the country, respectively. In 2015, the first autochthonous cases in Rio de Janeiro, Brazil
32 were reported but until now the circulating strains have not been characterized.
33 Therefore, we aimed here to perform the molecular characterization and phylogenetic
34 analysis of CHIKV strains circulating in the 2016 outbreak occurred in the municipality
35 of Rio de Janeiro.

36 **Methods:** The cases analyzed in this study were collected at a private Hospital, from
37 April 2016 to May 2016, during the chikungunya outbreak in Rio de Janeiro, Brazil. All
38 cases were submitted to the Real Time RT-PCR for CHIKV genome detection and to
39 anti-CHIKV IgM ELISA. Chikungunya infection was laboratorially confirmed by at
40 least one diagnostic method and, randomly selected positive cases ($n=10$), were partially
41 sequenced (CHIKV E1 gene) and analyzed.

42 **Results:** The results showed that all the samples grouped in ECSA genotype branch and
43 the molecular characterization of the fragment did not reveal the A226V mutation in the
44 Rio de Janeiro strains analyzed, but a K211T amino acid substitution was observed for
45 the first time in all samples and a V156A substitution in two of ten samples.

46 **Conclusions:** Phylogenetic analysis and molecular characterization reveals the
47 circulation of the ECSA genotype of CHIKV in the city of Rio de Janeiro, Brazil and
48 two amino acids substitutions (K211T and V156A) exclusive to the CHIKV strains
49 obtained during the 2016 epidemic, were reported.

50

51 Introduction

52 Chikungunya virus (CHIKV) is an arbovirus belonging to the Togaviridae family and
53 Alphavirus genus that causes an acute febrile syndrome with severe and debilitating
54 arthralgia¹⁻⁴. It belongs to the Semliki Forest Complex and the viral particle is small,
55 composed of an icosahedral capsid surrounded by a lipid envelope that measures
56 approximately 60-70 nm in diameter and the genome consists of a positive sense single
57 stranded RNA measuring 12kb in length, which encodes four non-structural proteins
58 (NSP1-4) and five structural proteins (C, E3, E2, 6K and E1)⁵⁻⁷.

59 CHIKV was first described in 1952 on the Makonde Plains, along the border between
60 Tanzania and Mozambique (East Africa), and since its discovery, has been responsible
61 for important emerging and re-emerging epidemics in several tropical and temperate
62 regions of the world⁸. So far, distinct genotypes of CHIKV have been identified as West
63 African, East-Central South African (ECSA) and Asian. Besides, the Indian Ocean
64 Lineage (IOL) has emerged in Kenya during 2004 as a descendant lineage of ECSA and
65 caused several outbreaks in Indian Ocean Islands, India and Asia during 2005-2014,^{9,10}.
66 Although the *Aedes* (*Ae.*) *aegypti* mosquito has been highlighted as the main vector for
67 the urban cycle of CHIKV¹¹. *Ae. albopictus* also has a high vectorial competence for
68 the virus due to an A226V mutation in the E1 gene of ECSA genotype that generated the
69 IOL, which promotes an increase in infectivity in the midgut, dissemination to the
70 salivary glands and transmission by this mosquito^{9,12-14}. In addition, a large number of
71 imported and autochthonous cases of CHIKV have been reported in American, Europe
72 and Asian countries since 2006 due to viremic travelers arising from Africa, India and
73 Indian Ocean islands^{11,13}.

74 In the Americas, the first autochthonous transmission of Asian genotype was reported
75 during 2013 in San Martin Island, in the Caribbean^{14,15} and since that, many

76 autochthonous cases have emerged in Caribbean, South and Central America countries,
77 United States, Mexico, Brazil and the Andean countries¹⁶. In Brazil, the first
78 autochthonous cases of the Asian and ECSA genotypes were reported during 2014 in the
79 North and Northeast cities of Oiapoque (Amapá State) and Feira de Santana (Bahia
80 State), respectively^{10,17}. In 2015, 38,332 chikungunya suspected cases distributed in 696
81 municipalities were reported and this amount increased to 216,102 in 2016, distributed
82 in 2,248 municipalities until 32nd epidemiological week and, from those, approximately
83 50% were confirmed by clinical epidemiological criteria in the Northeast (Alagoas,
84 Bahia, Ceará, Maranhão, Paraíba, Pernambuco and Rio Grande do Norte municipalities)
85 and Southeast of the country (Rio de Janeiro and São Paulo municipalities)¹⁸. Despite
86 the Northern region of Brazil presented the highest incidence of chikungunya cases, the
87 virus has spread to the Southeast region in 2015 and it resulted in 18,173 cases during
88 2016, with 13,058 of those restricted to the city of Rio de Janeiro¹⁸.

89 The exponential growth of chikungunya cases in Rio de Janeiro represents a serious
90 public health problem, especially due to the current co-circulation with dengue and zika.
91 As both Asian and ECSA genotypes were introduced in Brazil in 2014, the viral
92 surveillance is of great importance to access the impact over a population, as the role of
93 distinct genotypes in the disease severity and chronicity is not well understood.
94 Moreover, the monitoring and characterization of CHIKV genotypes allow the
95 identification of possible mutations such as the E1-A226V, of described epidemiological
96 impact^{9,12}. Despite the increased incidence of the disease in the past year, the
97 information of CHIKV genotypes circulating in Brazil is still scarce. Here, we aimed to
98 perform the genotype characterization of CHIKV strains detected during the ongoing
99 2016 outbreak in Rio de Janeiro, Brazil.

100

101

102 Material and Methods

103 Ethical Statement

104 The samples analyzed in this study were from the an ongoing project for arbovirus
105 research in Rio de Janeiro, Brazil approved by resolution number CSN196/96 from the
106 Oswaldo Cruz Foundation Ethical Committee in Research (CEP 111/000), Ministry of
107 Health-Brazil. All participating subjects provided a written consent.

108 Clinical samples

109 The plasma samples analyzed in this study were collected from April 2016 to May 2016
110 during the chikungunya outbreak in Rio de Janeiro, Brazil. Patients were assisted at the
111 Hospital Rio Laranjeiras (HRL) where an infectious disease physician collected data on
112 demographic characteristics, symptoms and physical signs using a structured
113 questionnaire. Chikungunya suspected cases ($n=91$) were obtained during an active
114 surveillance performed by the Laboratory of Viral Immunology, IOC/FIOCRUZ. All
115 cases were submitted to the Real Time RT-PCR for CHIKV genome detection¹⁹ and to
116 the anti-CHIKV ELISA IgM kit (Euroimmun, Lubeck, Germany), according to the
117 manufacturer's protocol. Chikungunya infection was laboratorially confirmed by at least
118 one diagnostic method in 76.97% (70/91) of the cases, 48.57 (34/70) by serology and
119 84.28 (59/70), by Real Time RT-PCR. Moreover, 35.85% (23/70) of the cases were
120 confirmed by both methods. Chikungunya positive cases ($n=10$) by Real Time RT-PCR,
121 were randomly selected for partial sequencing (E1 gene) and phylogenetic analysis.

122 Chikungunya virus genome amplification, sequencing and phylogenetic
123 analysis

124 Viral RNA was extracted from 140µL of plasma samples using QIAamp Viral RNA
125 Mini Kit (Qiagen Inc., Germany) according to the protocol described by the
126 manufacturer and stored at -70°C.

127 For partial sequencing of CHIKV E1 gene, primers by²⁰ were used in two steps with
128 Qiagen OneStep RT-PCR Kit (Qiagen, Inc., Germany). Five microliters of the extracted
129 RNA was reverse transcribed into cDNA and amplified using sense ₁₀₂₄₆5'-
130 TTACCCNTTYATGTGGGG-3'₁₀₂₆₂ and antisense ₁₀₇₉₃5'-CTTACSGGGTTTGTYGC-
131 3'₁₀₇₇₇ primers, on thermocycling parameters of one cycle of reverse transcription
132 (50°C/60 min), one cycle for activation of hotstart polymerase enzyme, reverse
133 transcriptase inactivation and degradation of the template for the
134 cDNA (95°C/15min) followed by 40 cycles of denaturation (94°C/30 sec), annealing
135 (60°C/3 min) and extension (68°C/30 sec), ending with a final extension cycle
136 (68°C/10 min), in a GeneAmp® PCR System 9700 (Applied Biosystems®, California,
137 USA). The volume of 0,5µL of PCR products were submitted to semi-nested PCR using
138 primers sense in combination with primer ₁₀₇₁₄5'-TRAAGCCAGATGGTGCC-3'₁₀₆₉₈ for
139 amplification of a 469 bp fragment, on thermocycling parameters of one cycle of
140 denaturation (94°C/2 min), followed by 40 cycles of denaturation (95°C/30 sec),
141 annealing (55°C/1 min) and extension (72°C/30 sec), ending with a final extension cycle
142 (72°C/5 min), also in a GeneAmp® PCR System 9700 (Applied Biosystems®,
143 California, USA).

144 The fragments generated were purified using PCR Purification Kit or Gel Extraction Kit
145 (QIAGEN, Inc., Germany) and sequenced in both directions using the BigDye
146 Terminator Cycle Sequencing Ready Reaction version 3.1 kit (Applied Biosystems®,
147 California, USA). The thermocycling conditions consisted of 40 cycles of denaturation
148 (94°C/10 sec), annealing (50°C/5 sec) and extension (60°C/4 min). Sequencing was
149 performed on an ABI 3730 DNA Analyzer, Applied Biosystems®, California, USA²¹.

150 The sequences analysis was performed using BioEdit
151 (<http://www.mbio.ncsu.edu/bioedit/bioedit.html>), sequences' identity was performed
152 using BLAST (<http://blast.ncbi.nlm.nih.gov/Blast.cgi>) and alignments using CLUSTAL
153 OMEGA (<http://www.ebi.ac.uk/Tools/msa/clustalo/>). Phylogenetic trees were
154 constructed using the MEGA 6 (<http://www.megasoftware.net/>), by the "Neighbor-
155 Joining" method, Kimura-2 parameter model (K2), with a bootstrap of 1,000
156 replications. The tree was built based on the analysis of the best fit for model, as
157 provided by the software. Partial CHIKV genome sequences were deposited in GenBank
158 and accession number were as follow: KX966400 to KX966409.

159 Results

160 The molecular characterization and phylogenetic analysis of representative strains
161 ($n=10$) of CHIKV detected in infected patients during the 2016 outbreak in Rio de
162 Janeiro was performed in comparison to reference sequences available on Genbank and
163 were used to represent the Asian, ECSA and West Africa genotypes. The results showed
164 that all the analyzed strains grouped in the ECSA genotype branch, together with a
165 sequence from a sample identified in Bahia in 2014 (Figure). Additionally, the
166 molecular characterization of the E1 fragment revealed that the alanine amino acid was
167 present at the E₂₂₆ position, showing no A226V mutation. Interestingly, a K211T amino
168 acid substitution was identified in all analyzed samples and a V156A substitution was
169 identified in two samples of this study. Furthermore, the CHIKV sequences identified in
170 Bahia belonging to the ECSA genotype did not show this substitution at the 211 amino
171 acid and where a lysine (K) is found in the prototype.

172 Discussion and Conclusions

173 Since its first description, CHIKV has been responsible for important emerging and
174 reemerging epidemics of arbovirus disease characterized by severe and incapacitating

175 polyarthralgia syndrome^{8,22}. Because of the intense movement of viremic travelers
176 arising from Africa, India and Indian Ocean islands, many imported cases of this disease
177 were reported in American, European and Asian countries since 2006^{11,13}.

178 Due to the high vector density, the presence of susceptible individuals and the intense
179 movement of people, Brazil has a major risk for the occurrence of arbovirus epidemics.
180 After its introduction, CHIKV has caused outbreaks in many regions of Brazil, mainly
181 affecting the Northern region. Despite that, the Southeast Region has played an
182 important role in the disease epidemiology as imported cases are reported since 2010,
183 and where stands out with the most cases of autochthonous transmission of this virus
184 during 2015 and 2016^{23,18}.

185

186 The exponential growth of CHIKV cases in Rio de Janeiro represents a serious public
187 health problem and the co-circulation of three arboviruses (DENV, CHIKV and ZIKV)
188 results in difficult differential diagnosis of those diseases²⁴. Prior to this study, no
189 phylogenetic information was available on the autochthonous CHIKV strains circulating
190 in Rio de Janeiro and, the data available was from the Asian genotype characterized in
191 imported cases analyzed in 2014 and 2015²⁵.

192

193 From our knowledge, this is the first report on the ECSA genotype circulation during the
194 2016 outbreak in Rio de Janeiro. This genotype was first reported in Feira de Santana,
195 Bahia, Northeast region of Brazil, during 2014 and studies revealed that the strains
196 originated from Angola (West Africa). Moreover, it was the first time that this genotype
197 was reported in Americas. The other CHIKV introduction in Brazil was from the Asian
198 genotype in Oiapoque, Amapá, North Brazil, also during 2014 and, studies revealed that
199 those strains were originated from the Caribbean and South America^{10,17,26}.
200 Additionally, the molecular characterization the E1 gene fragment analyzed showed that

201 an alanine was present at the E226 position, therefore showing no A226V mutation.
202 Studies performed during the 2005-2006 epidemic occurred in the Reunion Island
203 characterized that this mutation was responsible for generating the IOL, responsible for
204 an increased CHIKV transmission by the vector *Ae. Albopictus*^{9,12-14}. Furthermore, the
205 E1 gene represents a target region for this analysis due to the high antigenic variability,
206 role in the attachment, viral entry into target cells and viral replication during CHIKV
207 infection^{7,27}. However, this study revealed a K211T amino acid substitution in all
208 samples analyzed and a V156A substitution in two sequences. The former substitution
209 was not identified in the strains from Bahia, which has a Lysine (K) as the prototype of
210 this genotype. Further studies are needed to clarify the consequences of those mutations,
211 including to the mosquitoes fitness and the human immune system, but other studies
212 suggest that new mutations such as L210Q, I211T and G60D in the E2 region of the IOL
213 also offer advantages for the transmission of CHIKV by *Ae. Albopictus*^{12,28,29}. The
214 mutations K211E on E1 and V264A on E2 were reported to impact *Ae. aegypti*'s fitness
215 in India during the 2006 to 2010 epidemic^{30,31}.

216

217 This study provides the first genotype surveillance of autochthonous CHIKV cases
218 during the 2016 epidemic in Rio de Janeiro and stress the need for monitoring the spread
219 of the distinct genotypes and the identification of possible mutations that may facilitate
220 the viral transmission by the mosquitoes' vectors. Notwithstanding, none of the
221 chikungunya patients were hospitalized or had other complications not related to classic
222 rheumatologic chikungunya syndrome. Rio de Janeiro is an important port of entrance
223 and spread of arboviruses, as observed for the distinct DENV serotypes, especially due
224 to its high vector density, susceptible individuals and intense tourists movement. The
225 recent events occurred in Rio de Janeiro also reinforces the need for viruses'
226 surveillance and characterization.

227 Authors' contributions

228 FBS and FBN designed the study. TMAS and FBN implemented the sequencing study,
229 analyzed the data and wrote the paper. PCGN, JBCS, FPP, LSB and MCC collected and
230 processed the samples. TCC and NRCF analyzed the data. PVD and CCS assisted the
231 patients during cases investigation and samples collection. ELA and RMRN provided
232 the laboratory structure and funding for carrying out the experiments. FBS is the
233 guarantor of the paper.

234 Equal contribution

235 Flavia Barreto dos Santos and Fernanda de Bruycker-Nogueira contributed equally to
236 the work.

237

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253 Competing interest

254 None declared.

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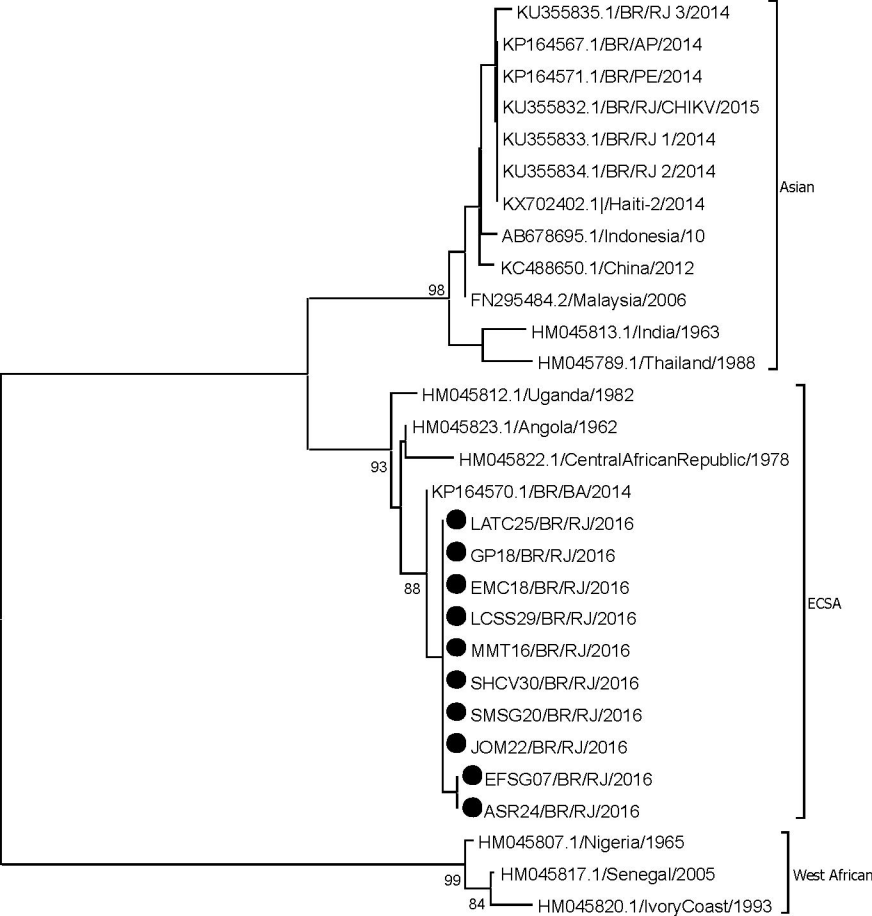
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381 **FIGURE LEGEND**

382 Figure: Genotyping of CHIKV strains ($n=10$) identified in Rio de Janeiro during the
383 outbreak occurred in 2016. Neighbor Joining method, K2 parameters model, bootstrap
384 of 1,000 replications. The CHIKV sequences analyzed are represented by black circles.
385 CHIKV strains were named as follows: GenBank accession number (or name
386 strain)/country/year.

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